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## COMPLETENESS OF FLOYD LOGIC

This is an abstract of our paper “A characterisation of Floyd-provable programs” submitted to Theoretical Computer Science.

$\omega$  denotes the set of natural numbers.

$Y =^d \{y_i : i \in \omega\}$  is the set of *variable symbols*.  $L$  denotes the set of classical first order formulas of type  $t$  (cf. [2]) possibly with free variables (elements of  $Y$ ), where  $t$  is the similarity type of arithmetic, i.e. it consists of “+ , · , 0 , 1” with arities “2, 2, 0, 0”.

### I. The definition of Floyd logic

#### 1. Syntax

The set  $U$  of commands is:

$$\begin{array}{ll} (j : y \leftarrow \tau) \in U & \text{if } j \in \omega, y \in Y \text{ and } \tau \text{ is a } t\text{-type term} \\ (j : \text{IF } \chi \text{ THEN } v) \in U & \text{if } j, v \in \omega \text{ and } \chi \in L \text{ is a formula} \\ & \text{without quantifiers} \end{array}$$

These are the only elements of  $U$ .

The set  $P$  of *programs* is:

$$P =^d \{ \langle (i_0 : u_0), \dots, (i_n : u_n) \rangle \in U^n : n \in \omega, i_k \neq i_l \text{ if } k \neq l \},$$

i.e. a program is a finite sequence of commands in which no two members have the same “label”.

The set of *Floyd statements* is defined as:

$$S_F =^d \{(p, \psi) : p \in P, \psi \in L \text{ and all free variables of } \psi \text{ occur in } p\}.$$

CONVENTIONS: Throughout this paper  $p \in P$  is arbitrary and the letters  $n, i_m, u_m$  denote parts of  $p$  as follows:

$$p =^d \langle (i_0 : u_0), \dots, (i_n : u_n) \rangle \text{ and } i_{n+1} =^d \min(\omega \setminus \{i_m : m \leq n\}).$$

Further,  $V_p$  denotes the variables (elements of  $Y$ ) occurring in  $p$ .

## 2. Semantics

First we define continuous traces of a program in a classical model of  $L$ .

Let  $\underline{A}$  be a  $t$ -type model;  $A$  denotes its universe, cf. [2].

A *continuous trace* of a program  $p$  in  $\underline{A}$  is a sequence  $\langle (l_a, q_a) \rangle_{a \in A}$ , indexed by the elements of  $A$ , such that (i)-(iii) below are satisfied:

- (i):  $l_a \in \omega$  and  $q_a : V_p \rightarrow A$  is a valuation, for every  $a \in A$ .
- (ii):  $l_0 = i_0$  and for every  $a \in A$

$$(l_{a+1}, q_{a+1}) = (l_a, q_a) \text{ if } l_a \notin \{i_m : m \leq n\},$$

else denoting by  $m$  the number for which  $l_a = i_m$

- a. if  $u_m = "y_w \leftarrow \tau"$ , then

$$l_{a+1} = i_{m+1} \text{ and } q_{a+1}(y_j) = \begin{cases} q_a(y_j) & \text{if } j \neq w, \\ \tau[q_a]_{\underline{A}} & \text{if } j = w \end{cases}$$

where  $\tau[q_a]_{\underline{A}}$  denote the value of the term  $\tau$  in  $\underline{A}$  at the valuation  $q_a$  (cf. [2], p. 27).

- b. if  $u_m = "IF \chi THEN v"$ , then

$$q_{a+1} = q_a \text{ and } l_{a+1} = \begin{cases} v & \text{if } \underline{A} \models \chi[q_a] \text{ cf. [2], p. 27)} \\ i_{m+1} & \text{otherwise.} \end{cases}$$

- (iii): If for every  $a \in A$  the valuation  $g_a$  is defined as:

$$g_a(y_j) =^d \begin{cases} l_a & \text{if } j = \min\{k : y_k \notin V_p\} \\ q_a(y_j) & \text{if } y_j \in V_p \end{cases}$$

then  $\langle g_a \rangle_{a \in A}$  satisfies the induction axioms, i.e. for every  $\varphi \in L$  with free variables in  $V_p$

$$\underline{A} \models ((\varphi[g_0] \wedge \bigwedge_{a \in A} (\varphi[g_a] \rightarrow \varphi[g_{a+1}])) \rightarrow \bigwedge_{a \in A} \varphi[g_a]).$$

Now, a Floyd statement  $(p, \psi) \in S_F$  is said to be *partially correct* w.r.t. continuous traces in  $\underline{A}$  (denoted by  $\underline{A} \models^{pc} (p, \psi)$ )

iff

for any continuous trace  $\langle (l_a, q_a) \rangle_{a \in A}$  of  $p$  in  $\underline{A}$  and  
for any  $a \in A : l_a \notin \{i_m : m \leq n\}$  implies  $\underline{A} \models \psi[q_a]$ .

### 3. Derivation system (rules of inference)

In the following we recall the so called Floyd-Hoare derivation system. This system serves to derive pairs  $(p, \psi)$  from theories  $T \subseteq L$ .

Let  $(p, \psi) \in S_F$  and  $T \subseteq L$ .

The set of labels of  $p$  is defined as:

$$lab(p) =^d \{i_m : m \leq n + 1\} \cup \{v : (\exists m \leq n) u_m = \text{"IF } \chi \text{ THEN } v"\}.$$

Note that  $lab(p)$  is finite.

Now, a *Floyd-Hoare derivation* of  $(p, \psi)$  from  $T$  consists of:

a mapping  $\Phi : lab(p) \rightarrow L$

together with classical first order derivations listed in (i)-(iv) below:

- (i): A derivation  
 $T \vdash \Phi(i_0)$
- (ii): To each command  $(i_m : y_j \leftarrow \tau)$  occurring in  $p$  a derivation:  
 $T \vdash (\Phi(i_m) \rightarrow \Phi(i_{m+1})(y_j/\tau))$   
where  $\varphi(y/\tau)$  denotes the formula obtained from  $\varphi$  by substituting  $\tau$  in place of  $y$  in the usual way.
- (iii): To each command  $(i_m : \text{IF } \chi \text{ THEN } v)$  occurring in  $p$  derivations:  
 $T \vdash ((\chi \wedge \Phi(i_m)) \rightarrow \Phi(v))$   
 $T \vdash ((\neg \chi \wedge \Phi(i_m)) \rightarrow \Phi(i_{m+1}))$
- (iv): To each  $z \in (lab(p) \setminus \{i_m : m \leq n\})$  a derivation:  
 $T \vdash (\Phi(z) \rightarrow \psi)$

Now the existence of a Floyd-Hoare derivation of  $(p, \psi)$  from  $T$  is denoted by  $T \vdash^{FH} (p, \psi)$ .

## II. Completeness of Floyd logic

Let  $PA'$  consist of the Peano axioms (cf. [2], p. 42) together with the additional axiom

$$\begin{aligned} \Pi =^d & \text{“} (\forall x, b, t, n)(\exists x', b') \\ & ((\forall i \leq t)(\forall r, r') ((\exists z[(1+(i+1)b]z + r = x \wedge r < 1+(i+1)b] \wedge \\ & \quad \wedge \exists z[(1+(i+1)b']z + r' = x' \wedge r' < 1+(i+1)b'] \rightarrow \\ & \quad \rightarrow r = r')) \wedge \\ & \quad \wedge \exists z[(1+(t+2)b']z + n = x' \wedge n < 1+(t+2)b']) \text{”}. \end{aligned}$$

$\underline{N}$  denotes the standard model of arithmetic.

Note that  $\Pi \in L$  and  $\underline{N} \models PA'$ .

**THEOREM 1. (Completeness)** *Let  $T \supseteq PA'$  be arbitrary.*

*Now, for every  $(p, \psi) \in S_F$ :*

*$(p, \psi)$  is Floyd-Hoare derivable from  $T$  iff*

*$(p, \psi)$  is partially correct w.r.t. continuous traces in every model of  $T$ , i.e.*

*$T \vdash^{FH} (p, \psi)$  if and only if  $T \models^{pc} (p, \psi)$ .*

**DEFINITION.** Let  $(p, \psi) \in S_F$ .

1.  $(p, \psi)$  is *partially correct w.r.t. standard traces* in  $\underline{A}$   
iff  
for any trace  $\langle (l_a, q_a) \rangle_{a \in A}$  of  $p$  in  $\underline{A}$  and for any standard element  $m$  of  $A$ ,  
if  $l_m \notin \{i_z : z \leq n\}$  then  $\underline{A} \models \psi[q_m]$ .
2.  $p$  *terminates* in  $\underline{A}$  for standard data in standard time  
iff  
for any trace  $\langle (l_a, q_a) \rangle_{a \in A}$  of  $p$  in  $\underline{A}$  such that all values of the function  $q_0 : V_p \rightarrow A$  are standard there is a standard element  $m$  of  $A$  such that  
 $l_m \notin \{i_z : z \leq n\}$ .

THEOREM 2. (Necessity of nonstandard time) *Let  $T \subseteq L$  be recursively enumerable and let  $\underline{N} \models T$ ,  $T \supseteq PA$ . Now there exists  $(p, \psi) \in S_F$  such that (i)-(iii) below are true.*

- (i):  $(p, \psi)$  is partially correct w.r.t. standard traces in every model of  $T$ .
- (ii):  $p$  terminates in every model of  $T$  for standard data in standard time.
- (iii):  $T \not\models^{FH} (p, \psi)$ ,  
i.e. there is no Floyd-Hoare derivation of  $(p, \psi)$  from  $T$ .

## References

- [1] Z. Manna, **Mathematical theory of computation**, McGraw-Hill 1974.
- [2] C. C. Chang and H. J. Keisler, **Model Theory**, North-Holland 1973.

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