# Utilizing proof assistant systems and the LogiKEy methodology for experiments on free logic in HOL

Christoph Benzmüller U Bamberg & FU Berlin

jww colleagues, students & in particular: Dana Scott



ExtenDD Seminar, January 8, 2025

# Initial Comment

## Free Logic

Is interesting and relevant, sure, but there have been relatively few attempts so far at implementation & automation, and few concrete demonstrations of it's practical use; the danger of this is that it may be considered as only theoretically interesting.

## In this talk I demonstrate/report

- How free logic(s) can be easily implemented and automated
- How free logic(s) can used in concrete case studies to reveal interesting aspects

The key to all this is the **LogiKEy methodology** for logic-pluralistic knowledge representation and reasoning.

## Talk Structure

## Methodology

- Universal (Meta-)Logical Reasoning & Computational Metaphysics
- Logic-Pluralistic KR&R: LogiKEy

## Free first-order logic in HOL

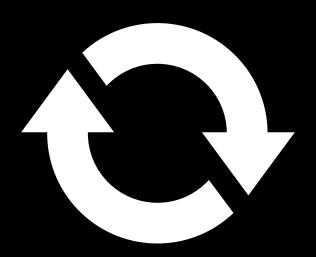
- Implementation in HOL using LogiKEy
- Application in Category Theory (with Dana Scott)

Free higher-order logic in HOL

Conclusion

# Methodological development

Early attempts at Universal (Meta-)Logical Reasoning



Studies in Computational Metaphysics

# Universal (Meta-)Logical Reasoning

"If we had it [a characteristica universalis], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."



(Leibniz, 1677)

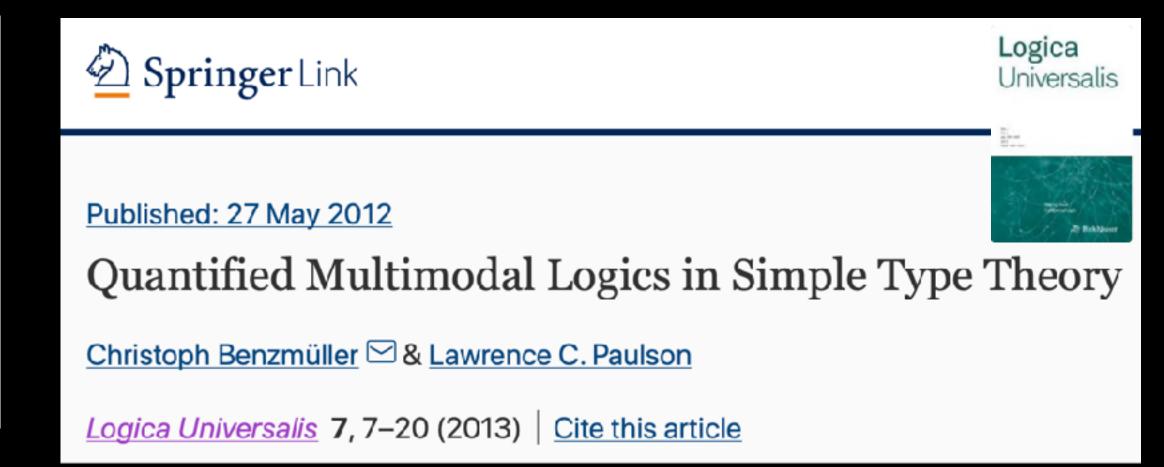


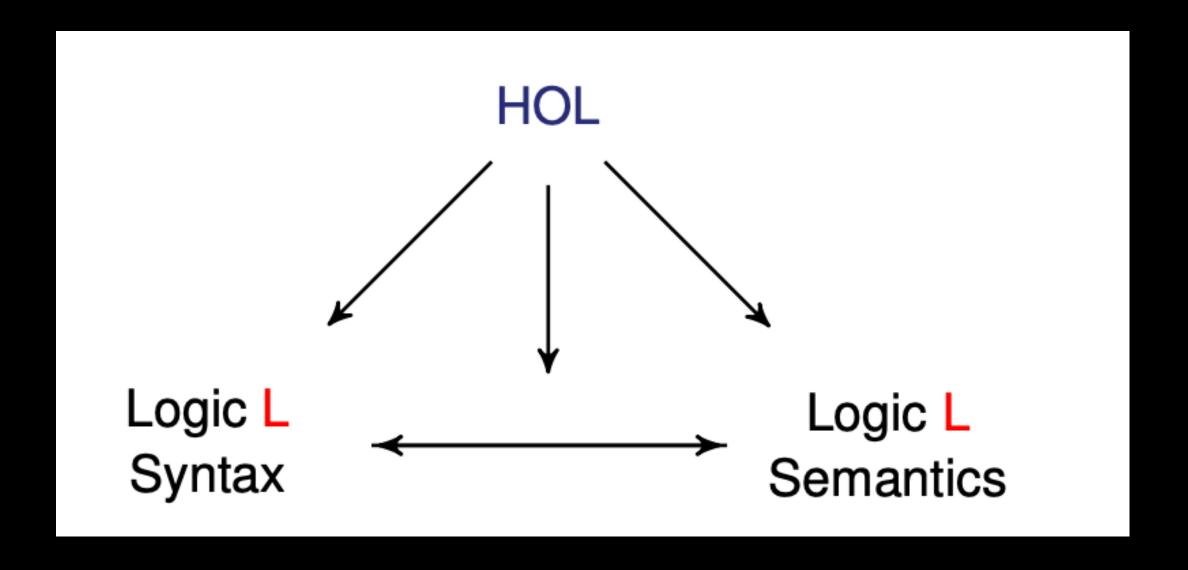
Science of Computer Programming

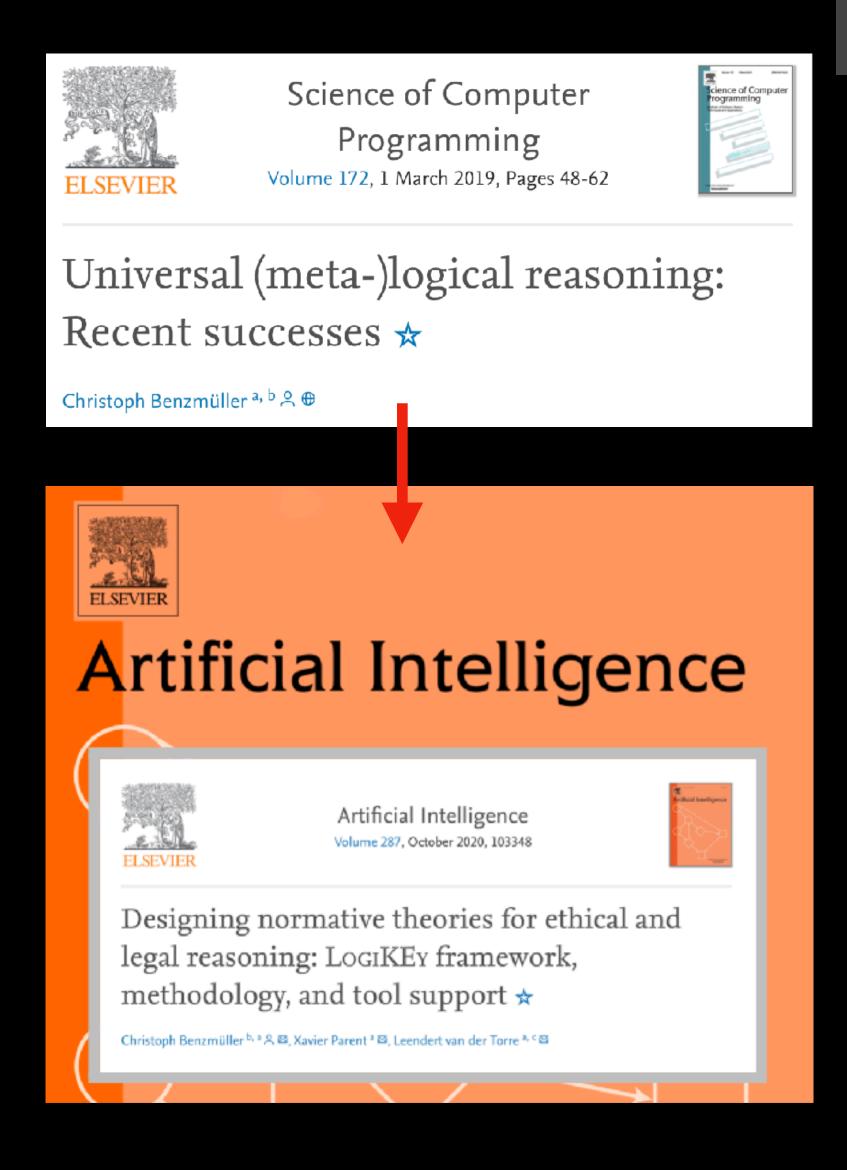
Volume 172, 1 March 2019, Pages 48-62

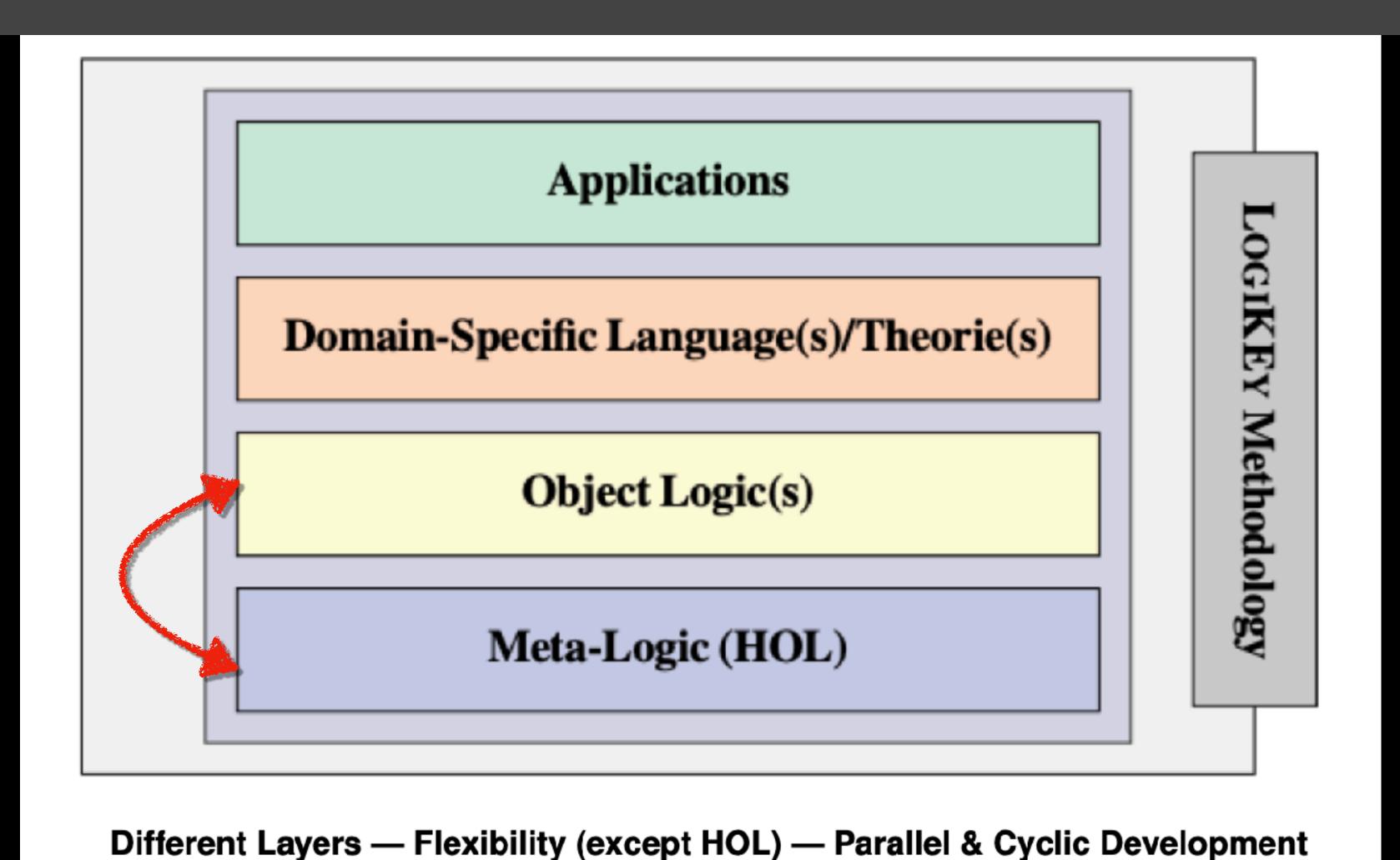
Universal (meta-)logical reasoning: Recent successes \*

Christoph Benzmüller a, b ≥ ⊕









## Metaphysics



#### Automating Gödel's Ontological Proof of God's Existence with Higher-order Automated Theorem Provers

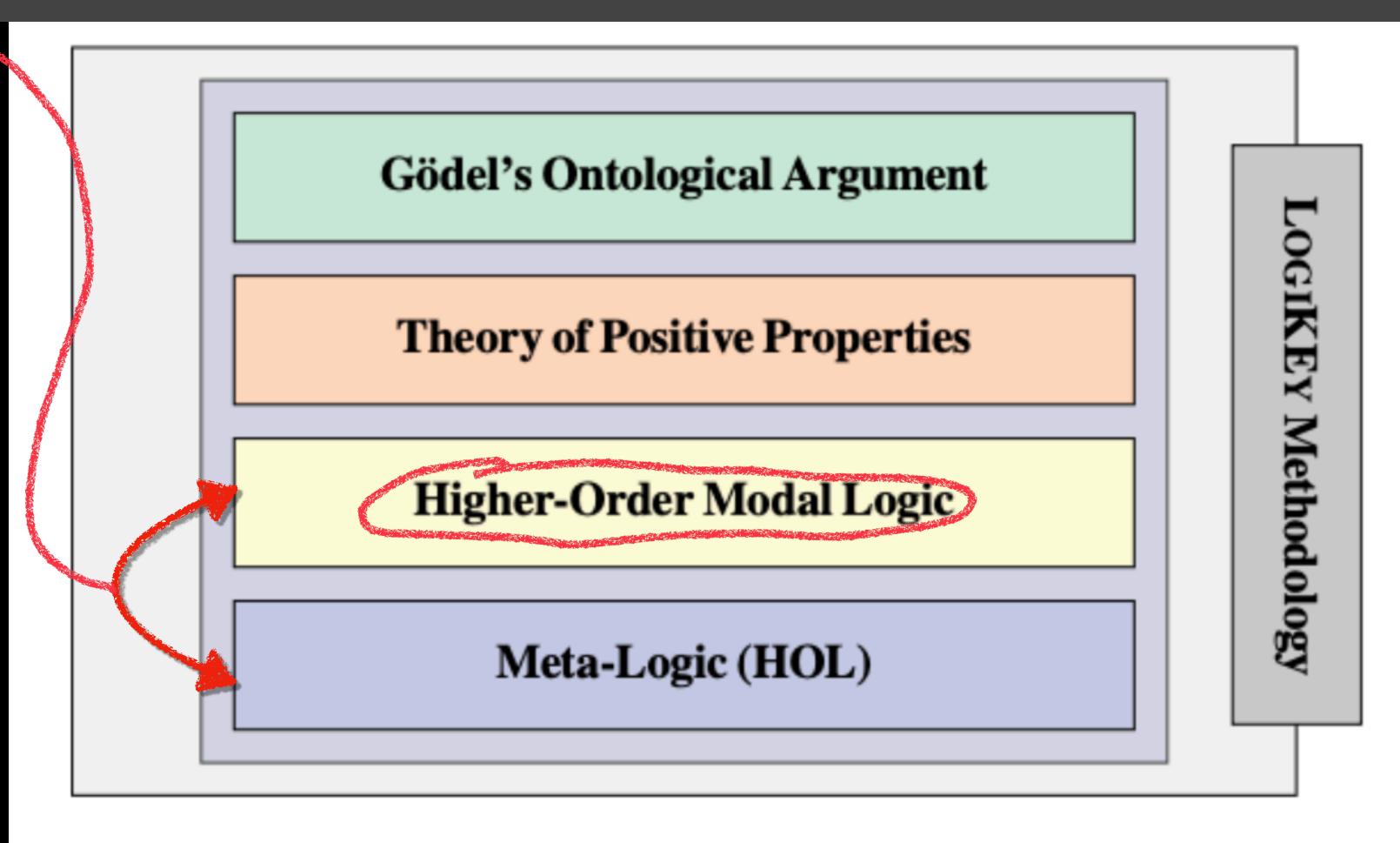
Authors Christoph Benzmüller, Bruno Woltzenlogel Paleo

Pages 93 - 98

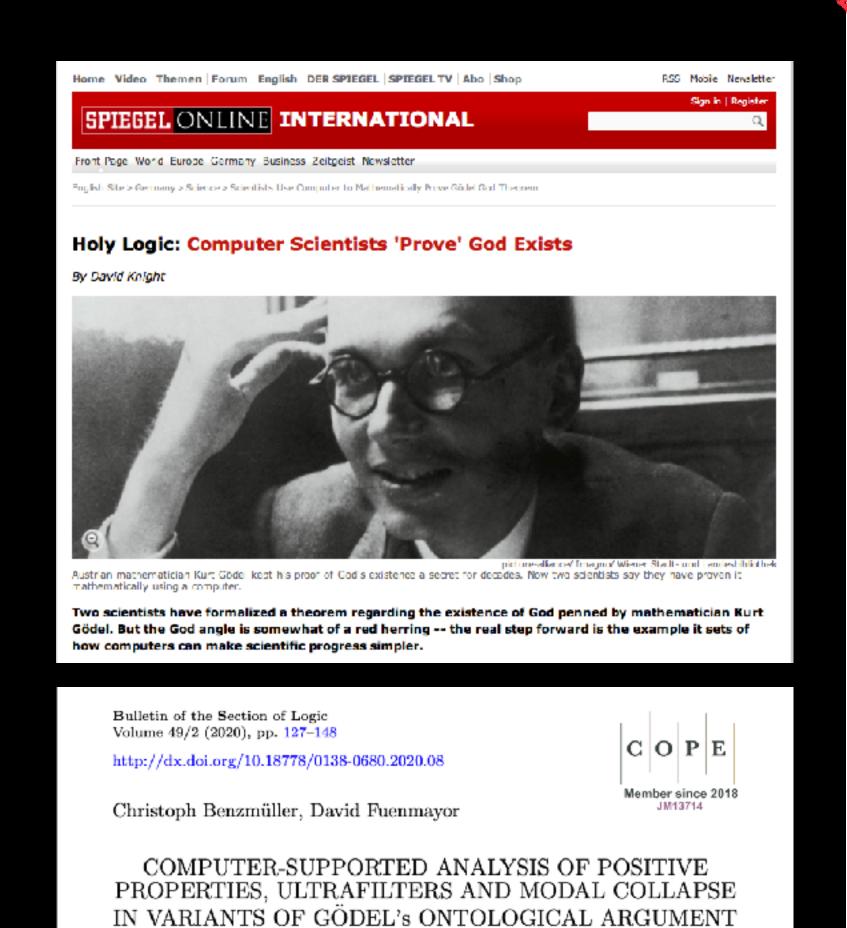
DOI 10.3233/978-1-61499-419-0-93

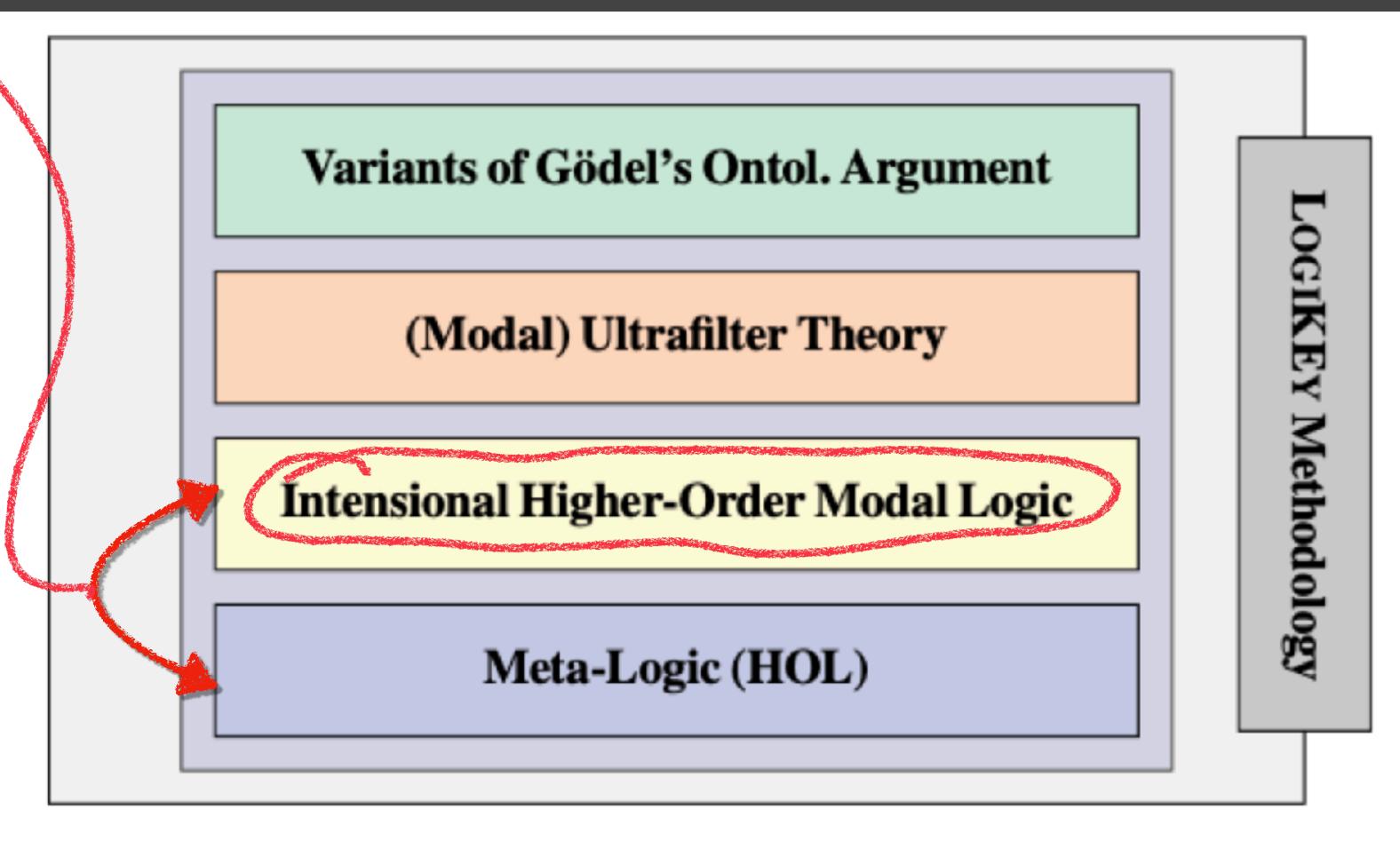
Series Frontiers in Artificial Intelligence and Applications

Ebook Volume 263: ECAI 2014



## Metaphysics





#### Law & Ethics

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when quoting this document, please refer to the following

DOI: <u>10.4230/LIPIcs.ITP.2021.7</u> URN: <u>urn:nbn:de:0030-drops-139028</u>

URL: <a href="https://drops.dagstuhl.de/opus/volltexte/2021/13902/">https://drops.dagstuhl.de/opus/volltexte/2021/13902/</a>

Benzmüller, Christoph; Fuenmayor, David

Value-Oriented Legal Argumentation in Isabelle/HOL

pdf-format:

LIPIcs-ITP-2021-7.pdf (2 MB)

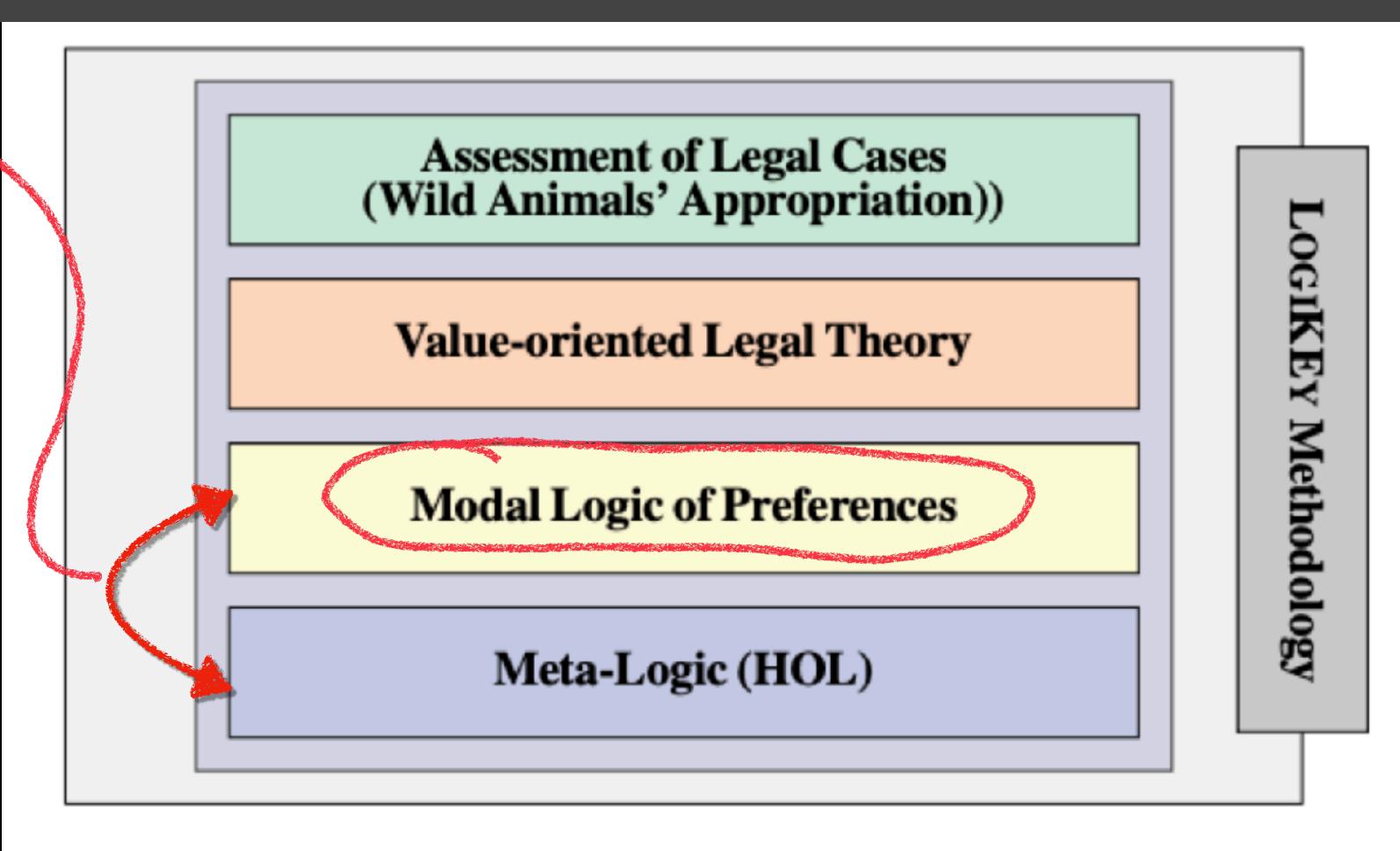
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Modelling Value-Oriented Legal Reasoning in LogiKEY

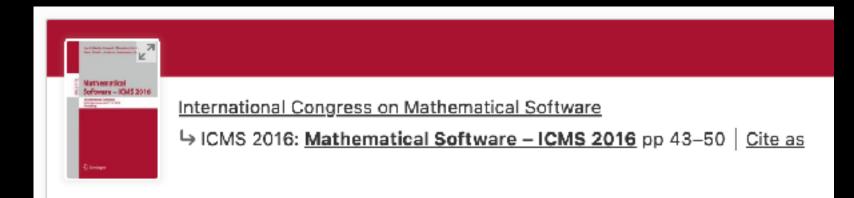
by Christoph Benzmüller <sup>1,2,\*</sup> ☑ <sup>(0)</sup>, David Fuenmayor <sup>1,2</sup> ☑ <sup>(0)</sup> and Bertram Lomfeld <sup>3</sup> ☑ <sup>(0)</sup>

- Al Systems Engineering, University of Bamberg, 96045 Bamberg, Germany
- <sup>2</sup> Department of Mathematics and Computer Science, Freie Universität Berlin, 14195 Berlin, Germany
- <sup>3</sup> Department of Law, Freie Universität Berlin, 14195 Berlin, Germany
- \* Author to whom correspondence should be addressed

Logics 2024, 2(1), 31-78; https://doi.org/10.3390/logics2010003



Category Theory



Automating Free Logic in Isabelle/HOL

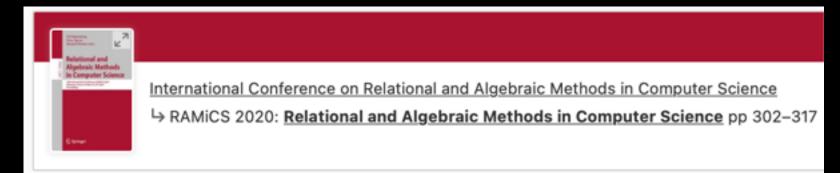


Published: 01 January 2019

Automating Free Logic in HOL, with an Experimental Application in Category Theory

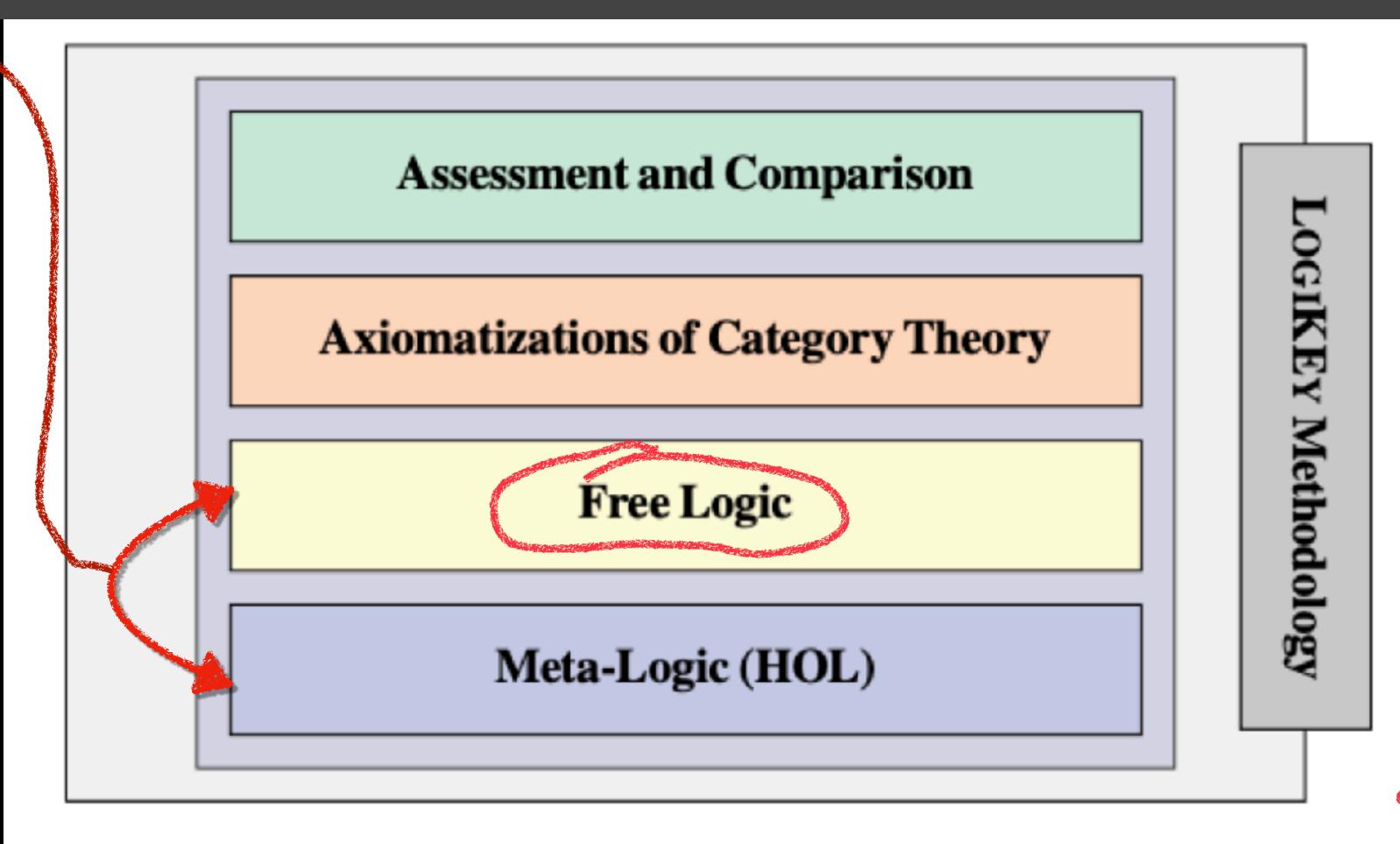
Christoph Benzmüller 2 & Dana S. Scott

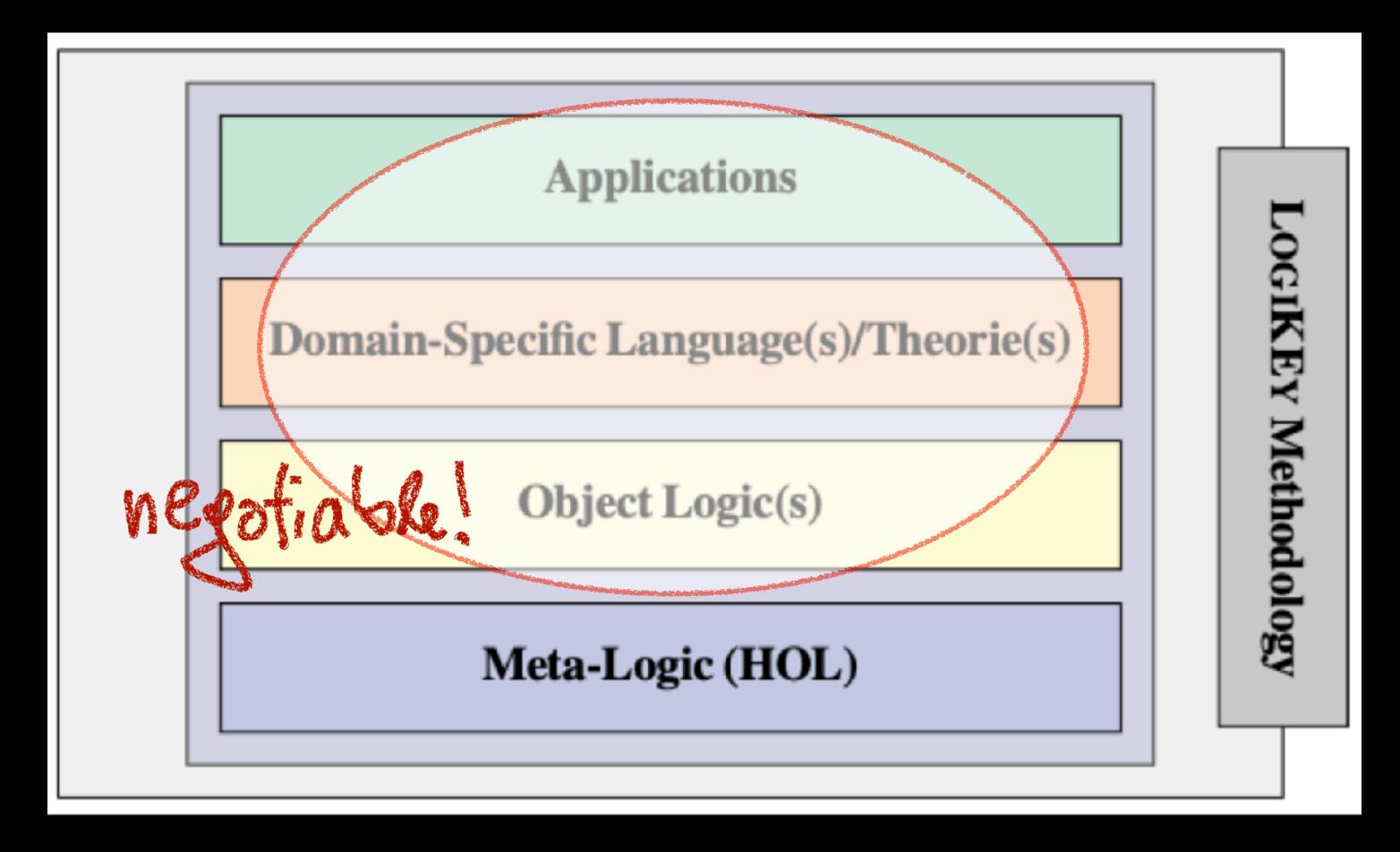
Journal of Automated Reasoning 64, 53-72 (2020) Cite this article



Computer-Supported Exploration of a Categorical Axiomatization of Modeloids

Lucca Tiemens <sup>™</sup>, Dana S. Scott, Christoph Benzmüller & Miroslav Benda



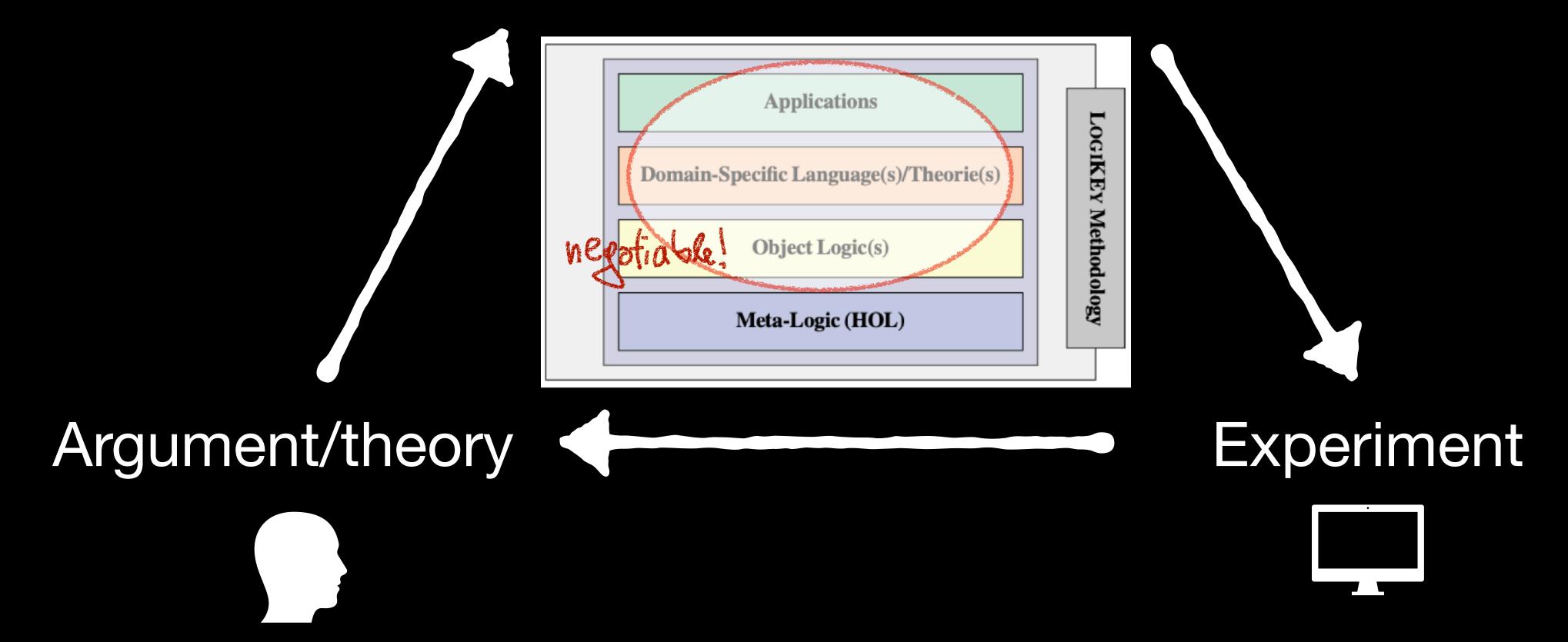


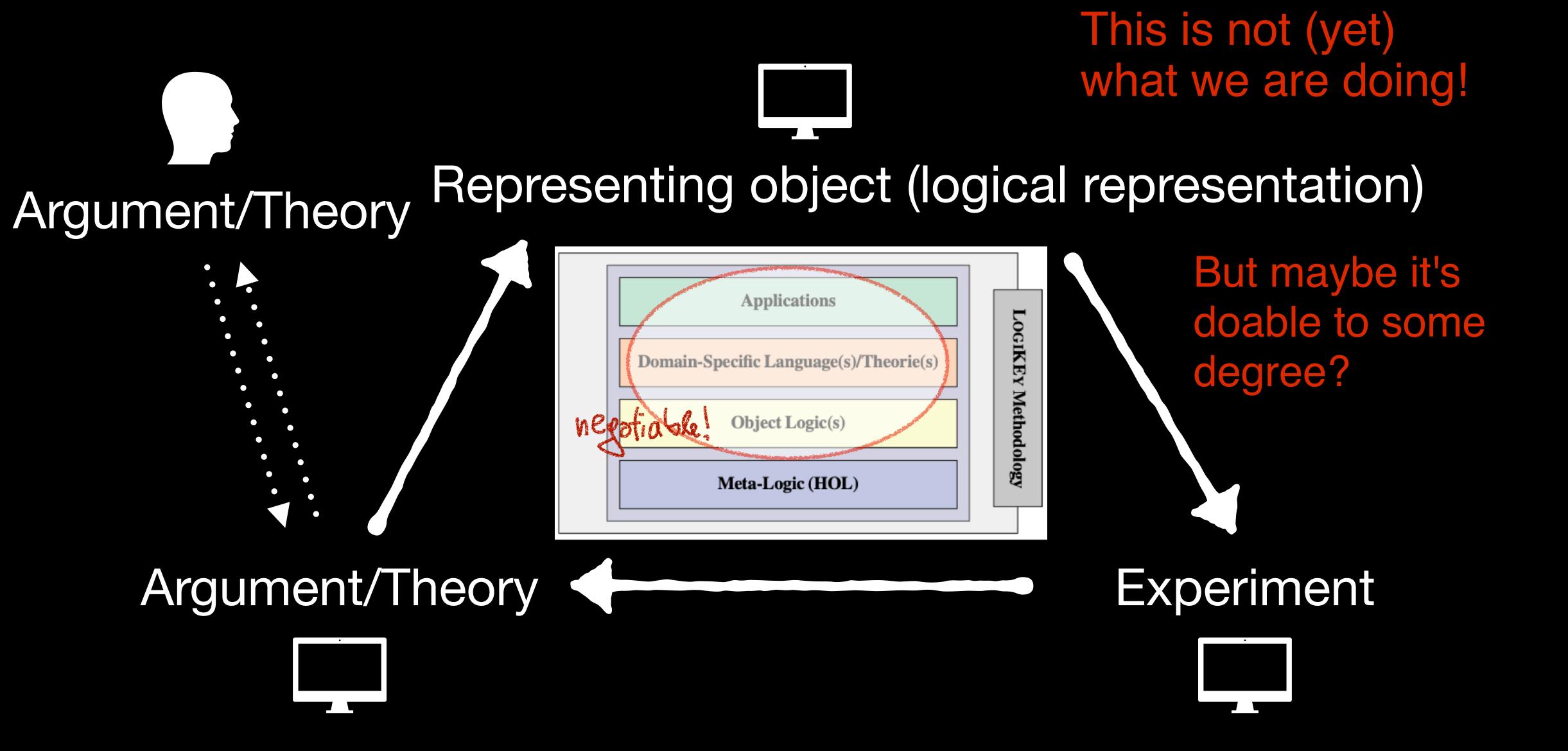
LogiKEy enables both: applications and meta-logical studies. Highly useful and relevant for the exploration of logics.



Human-Computer Interaction

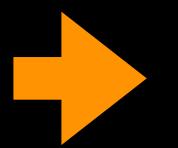
Representing object (logical representation)





## Experiments in Computational Metaphysics:

ECAI 2013, IJCAI & KI 2016, KI 2017 (with Bruno Woltzenlogel-Paleo)

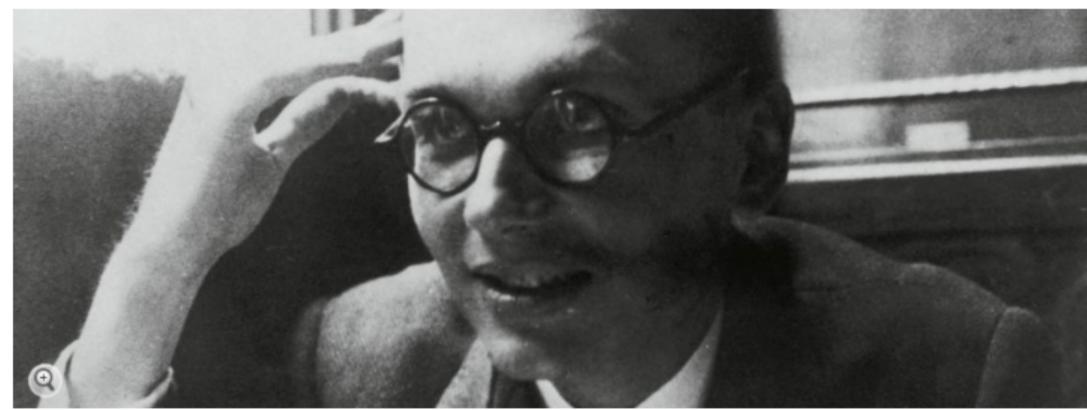






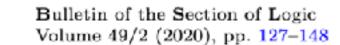
#### Holy Logic: Computer Scientists 'Prove' God Exists

By David Knight



picture-alliance/ Imagno/ Wiener Stadt- und Landesbibliothek
Austrian mathematician Kurt Gödel kept his proof of God's existence a secret for decades. Now two scientists say they have proven it
mathematically using a computer.

Two scientists have formalized a theorem regarding the existence of God penned by mathematician Kurt Gödel. But the God angle is somewhat of a red herring -- the real step forward is the example it sets of how computers can make scientific progress simpler.

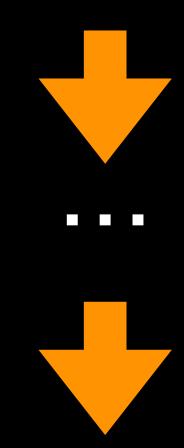


http://dx.doi.org/10.18778/0138-0680.2020.08



Christoph Benzmüller, David Fuenmayor

COMPUTER-SUPPORTED ANALYSIS OF POSITIVE PROPERTIES, ULTRAFILTERS AND MODAL COLLAPSE IN VARIANTS OF GÖDEL'S ONTOLOGICAL ARGUMENT





Computer Science > Logic in Computer Science

[Submitted on 13 Feb 2022]

A Simplified Variant of Gödel's Ontological Argument

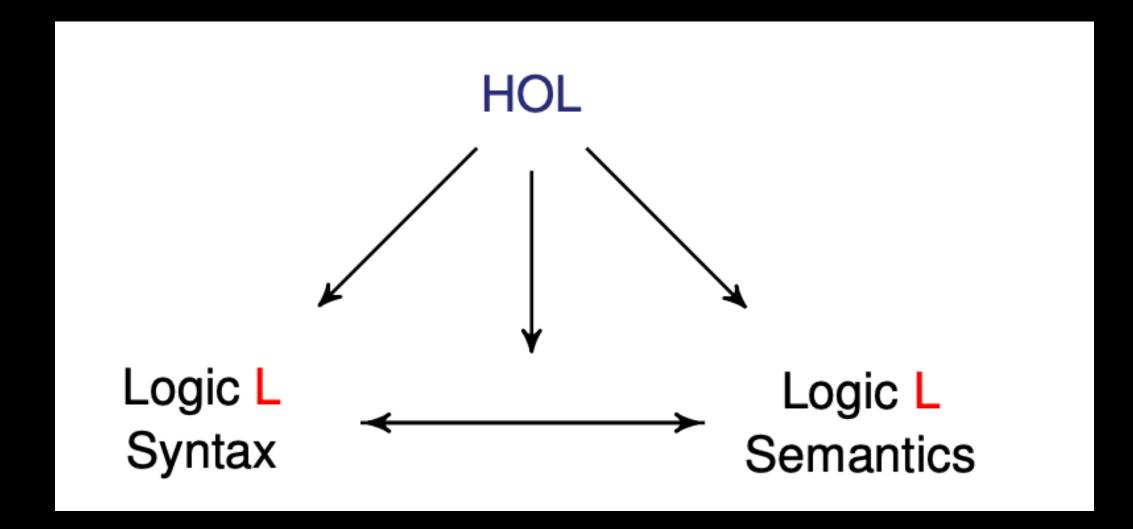
Christoph Benzmüller

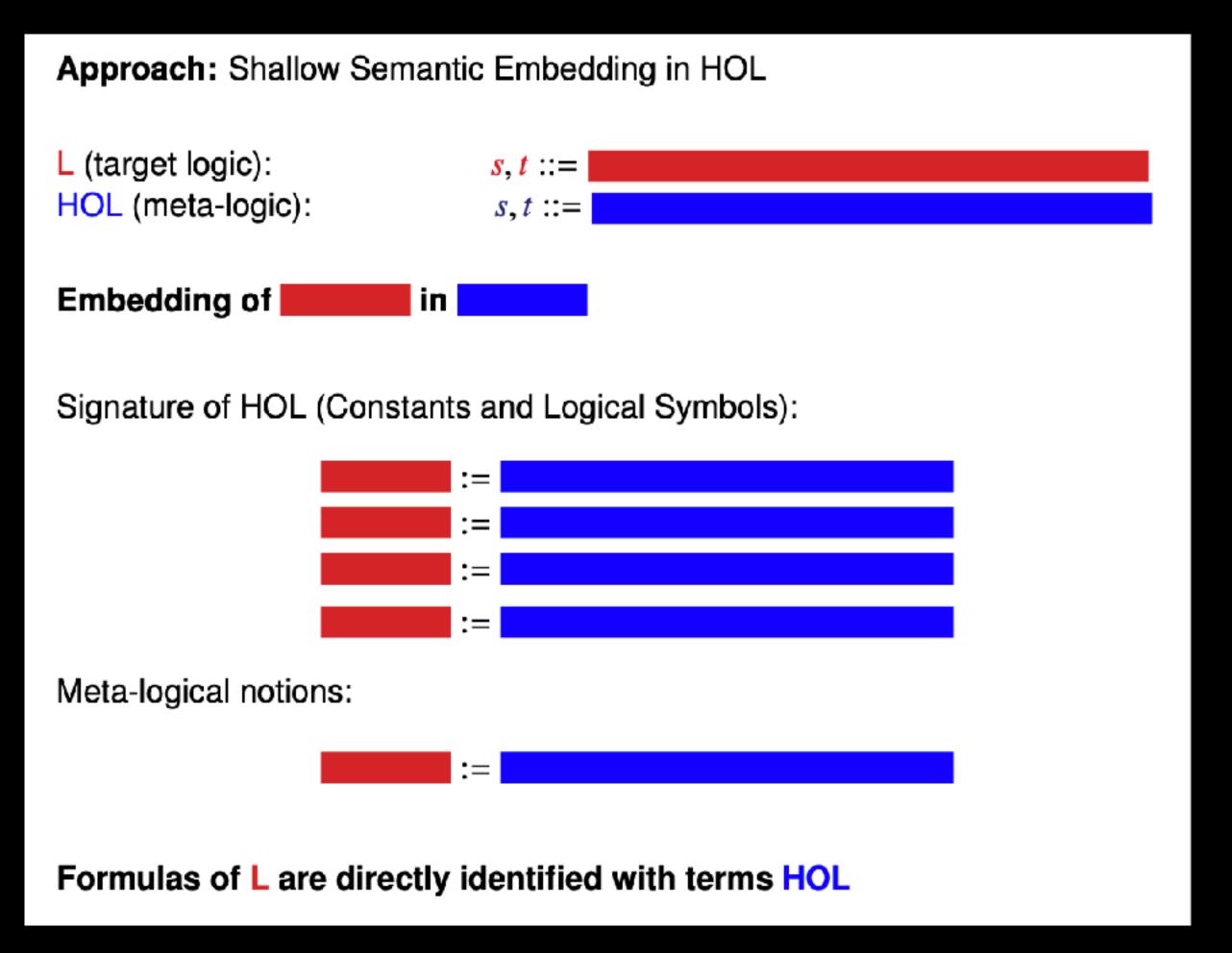
# Universal (Meta-)Logical Reasoning in HOL

#### via Shallow Embeddings in Higher-Order Logic (HOL)

"If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."

(Leibniz, 1677)





defining equations are passed to HOL theorem prover(s)

## Classical Higher-Order Logic (HOL)

Expressivity	FOL	HOL	Example
Quantification over - Individuals - Functions - Predicates/Sets/Rels			$\forall X \ p(f(X))$ $\forall F \ p(F(a))$ $\forall P \ P(f(a))$
Unnamed - Functions - Predicates			$\lambda X X X $ $\lambda X (X = X)$
Statements about - Funcs/Preds/Sets/Rels			$reflexive \leftrightarrow$
Powerful definitions			$reflexive := \lambda R \ \forall X \ (R \ X \ X)$

## Classical Higher-Order Logic (HOL)

Expressivity	FOL	HOL	Example
Quantification over			
- Individuals	$\checkmark$	$\checkmark$	$\forall X \ p(f(X))$
- Functions	-	$\checkmark$	$\forall F \ p(F(a))$
- Predicates/Sets/Rels	-	$\checkmark$	$\forall P \ P(f(a))$
Unnamed			
- Functions	-	<b>✓</b>	$\lambda X X$
- Predicates	-		$\lambda X \ (X = X)$
Statements about			
- Funcs/Preds/Sets/Rels	-		$reflexive \leftrightarrow$
Powerful definitions	-		$reflexive := \lambda R \ \forall X \ (R \ X \ X)$

## Classical Higher-Order Logic (HOL)

Expressivity	FOL	HOL	Example
Quantification over			
- Individuals			$\forall X_i \ p_{i \to o}(f_{i \to i}(X_i))$
- Functions	-		$\forall F_{i \to i} \ p_{i \to o}(F_{i \to o}(a_i))$
- Predicates/Sets/Rels	-		$\forall P_{i \to o} \ P_{i \to o}(f_{i \to i}(a_i))$
Unnamed			
- Functions	-	$\checkmark$	$\lambda X_i X_i$
- Predicates	-		$\lambda X_i (X = X)_i$
Statements about			
- Funcs/Preds/Sets/Rels	-	$\checkmark$	$reflexive_{(o \to o \to o) \to o} \leftrightarrow_{o \to o \to o}$
Powerful definitions	•		$reflexive_{(\alpha \to \alpha \to o) \to o} :=$ $\lambda R_{(\alpha \to \alpha \to o)} \ \forall X_{\alpha} \ (R \ X \ X)$

Types: Prevent paradoxes and inconsistencies

Simple Types:

$$\alpha, \beta$$
 ::=  $i \mid o \mid (\alpha \rightarrow \beta)$ 

(we may add further base types; types are often not displayed)

Simply Typed λ-Calculus (with constants):

$$s,t$$
 ::=  $p_{\alpha}$  |  $X_{\alpha}$  |  $(\lambda X_{\alpha}s_{\beta})_{\alpha \to \beta}$  |  $(s_{\alpha \to \beta}t_{\alpha})_{\beta}$ 

constants variables lambda abstraction application

abstraction and application interact, e.g.: 
$$((\lambda X (p X)) t) \xrightarrow{\beta-reduction} (p t)$$

HOL defined on Top of Simply Typed λ-Calculus

add special constant symbols to signature, e.g.

$$\neg_{o \to o} \quad \lor_{o \to o \to o} \quad \Pi_{(\alpha \to o) \to o}$$
 (or only  $=_{\alpha \to \alpha \to o}$ )

- ightharpoonup no binder besides  $\lambda$  needed:  $\forall X_{\alpha} s_{o}$  stands for  $\Pi_{(\alpha \to o) \to o}(\lambda X_{\alpha} s_{o})$
- ▶  $\bot$ ,  $\top$ ,  $\rightarrow$ ,  $\leftrightarrow$ ,  $\exists$ , = can be defined: e.g.,  $\exists X_{\alpha} s_{o}$  stands for  $\neg \forall X_{\alpha} \neg s_{o}$

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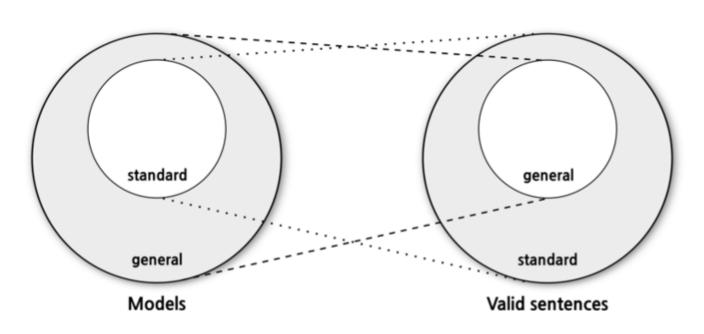
#### **HOL: Well Understood Semantics**

HOL with standard semantics:

incomplete

HOL with Henkin's general semantics:

semi-decidable & compact



more model structures ... fewer valid formulas

#### Important principles are still valid in Henkin's general models:

Comprehension (type-restricted):

$$\forall G \exists F \forall \overline{X^n} F \overline{X^n} = G$$

Boolean Extensionality:

$$\forall P \,\forall Q \,((P \leftrightarrow Q) \rightarrow P = Q)$$

Functional Extensionality:

$$\forall F \, \forall G \, ((\forall X \, F \, X = G \, X) \rightarrow F = G)$$

#### Note: Any "Henkin-valid" formula is also valid in standard semantics!

#### Suggested Reading

Origin

[Church, JSL, 1940]

Henkin's general semantics: [Henkin, JSL, 1950] [Andrews, JSL, 1971, 1972]

Extensionality&Intensionality: [BenzmüllerEtAl., JSL, 2004] [Muskens, JSL, 2007]

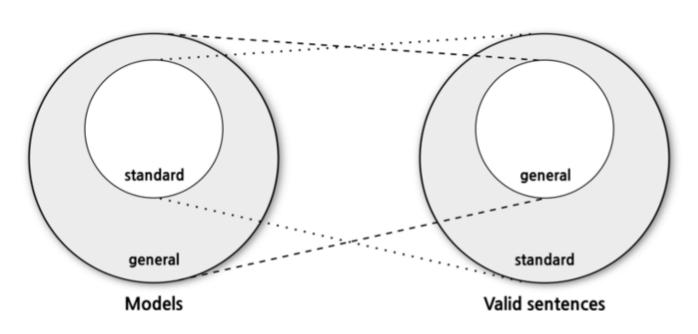
#### **HOL: Well Understood Semantics**

HOL with standard semantics:

incomplete

HOL with Henkin's general semantics:

semi-decidable & compact



more model structures ... fewer valid formulas

#### Important principles are still valid in Henkin's general models:

Comprehension (type-restricted):

$$\forall G \, \exists F \, \forall \overline{X^n} \, F \overline{X^n} = G$$

Boolean Extensionality:

$$\forall P \, \forall Q \, ((P \leftrightarrow Q) \rightarrow P = Q)$$

Functional Extensionality:

$$\forall F \, \forall G \, ((\forall X \, F \, X = G \, X) \rightarrow F = G)$$

Note: Any "Henkin-valid" formula is also valid in standard semantics!

Suggested Reading

Origin

[Church, JSL, 1940]

Henkin's general semantics: [Henkin, JSL, 1950] [Andrews, JSL, 1971, 1972]

Extensionality&Intensionality: [BenzmüllerEtAl., JSL, 2004] [Muskens, JSL, 2007]

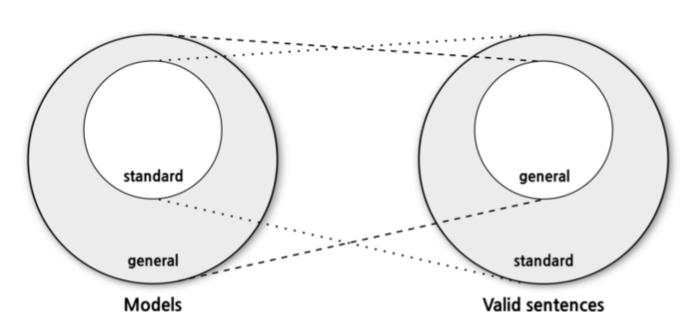
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[PhD thesis by Steen]

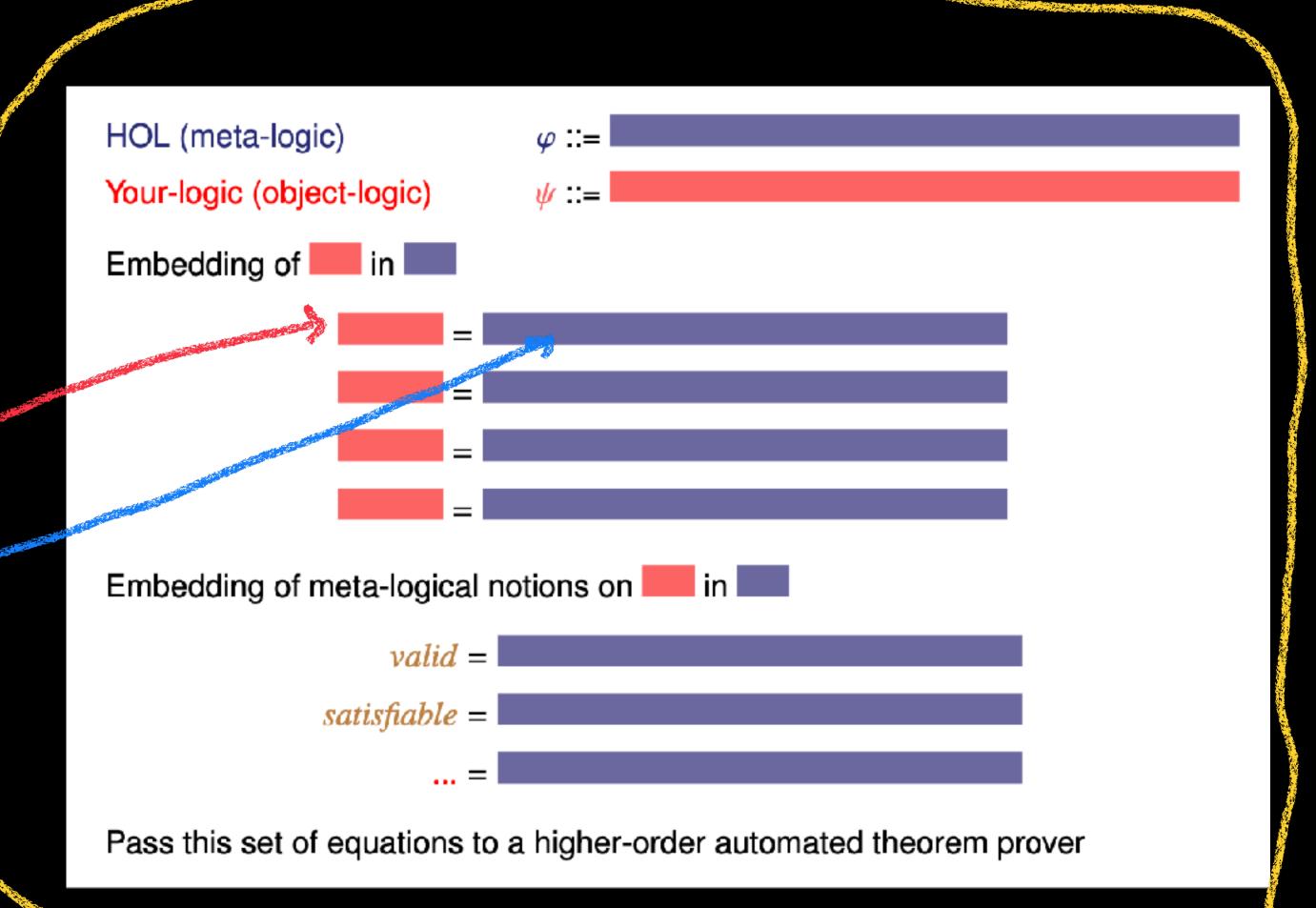
# LogiKEy

#### **Applications**

Domain-Specific Language(s)/Theorie(s)

Object Logic(s)

Meta-Logic (HOL)



Approach: Shallow Semantic Embedding in HOL

L (target logic): s, t :=HOL (meta-logic): s, t :=

Embedding of \_\_\_\_\_ in

Signature of HOL (Constants and Logical Symbols):

Meta-logical notions:

Formulas of L are directly identified with terms HOL

# **Kripke Style Semantics** (propositional modal logic K) $M, g, s \models P \quad \text{if and only if} \quad s \in g(P) \\ M, g, s \models \neg \varphi \quad \text{if and only if} \quad M, g, s \not\models \varphi \\ M, g, s \models \varphi \lor \psi \quad \text{if and only if} \quad M, g, s \models \varphi \text{ or } M, g, s \models \psi \\ M, g, s \models \square \varphi \quad \text{if and only if} \quad \text{for all } t \text{ with } sRt \text{ we have } M, g, t \models \varphi \\ \end{cases}$

#### **Kripke Style Semantics**

(propositional modal logic K)

```
M,g,s\models P if and only if s\in g(P)

M,g,s\models \neg \varphi if and only if M,g,s\not\models \varphi

M,g,s\models \varphi\lor\psi if and only if M,g,s\models \varphi or M,g,s\models \psi

M,g,s\models \Box \varphi if and only if for all t with sRt we have M,g,t\models \varphi
```

#### Standard Translation for Propositional Fragment (encoded in HOL)

- $ightharpoonup P = P_{i \rightarrow o}$

#### **Validity**

 $[\varphi_{i\to o}] = \forall w_i \varphi w$ 

#### Modal Logic (in fact, Hybrid Logic) as a Fragment of HOL

# Kripke Style Semantics (adding quantifiers) $M, g, s \models \forall x \varphi \text{ if and only if } \text{for all } d \in D \text{ we have } M, ([d/x]g), s \models \varphi$

#### Standard Translation extended for Quantifiers (and encoded in HOL)

- remember:  $\forall x_{\alpha} \ s$  is shorthand for  $\Pi_{(\alpha \to \rho) \to \rho}(\lambda x_{\alpha} \ s)$  —no binder needed!!!
- $\blacksquare = \lambda \Phi_{\alpha \to (i \to o)} \lambda w_i \Pi_{(\alpha \to o) \to o} (\lambda x_\alpha \Phi x w)$

#### Example (compositionality and $\lambda$ -conversion at work; omitting types)

```
Kripke Style Semantics (adding quantifiers) M,g,s\models \forall x\ \varphi \quad \text{if and only if} \quad \text{for all } d\in D \text{ we have } M,([d/x]g),s\models \varphi
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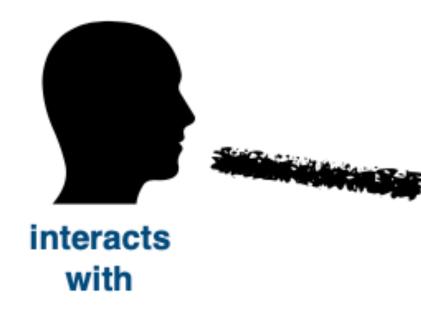
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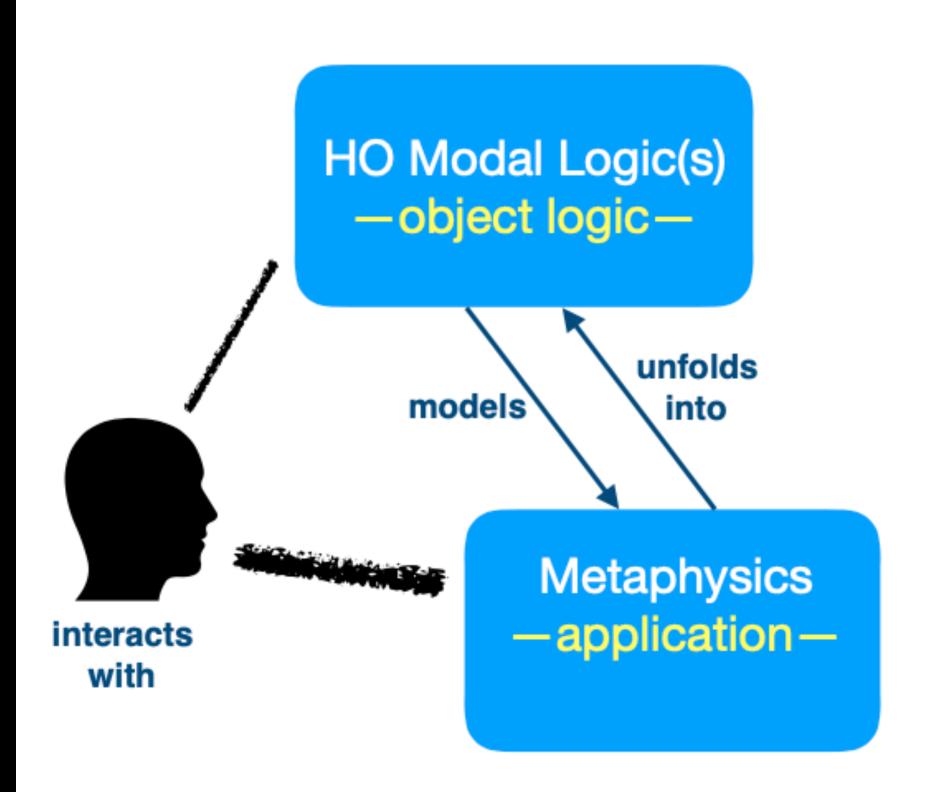
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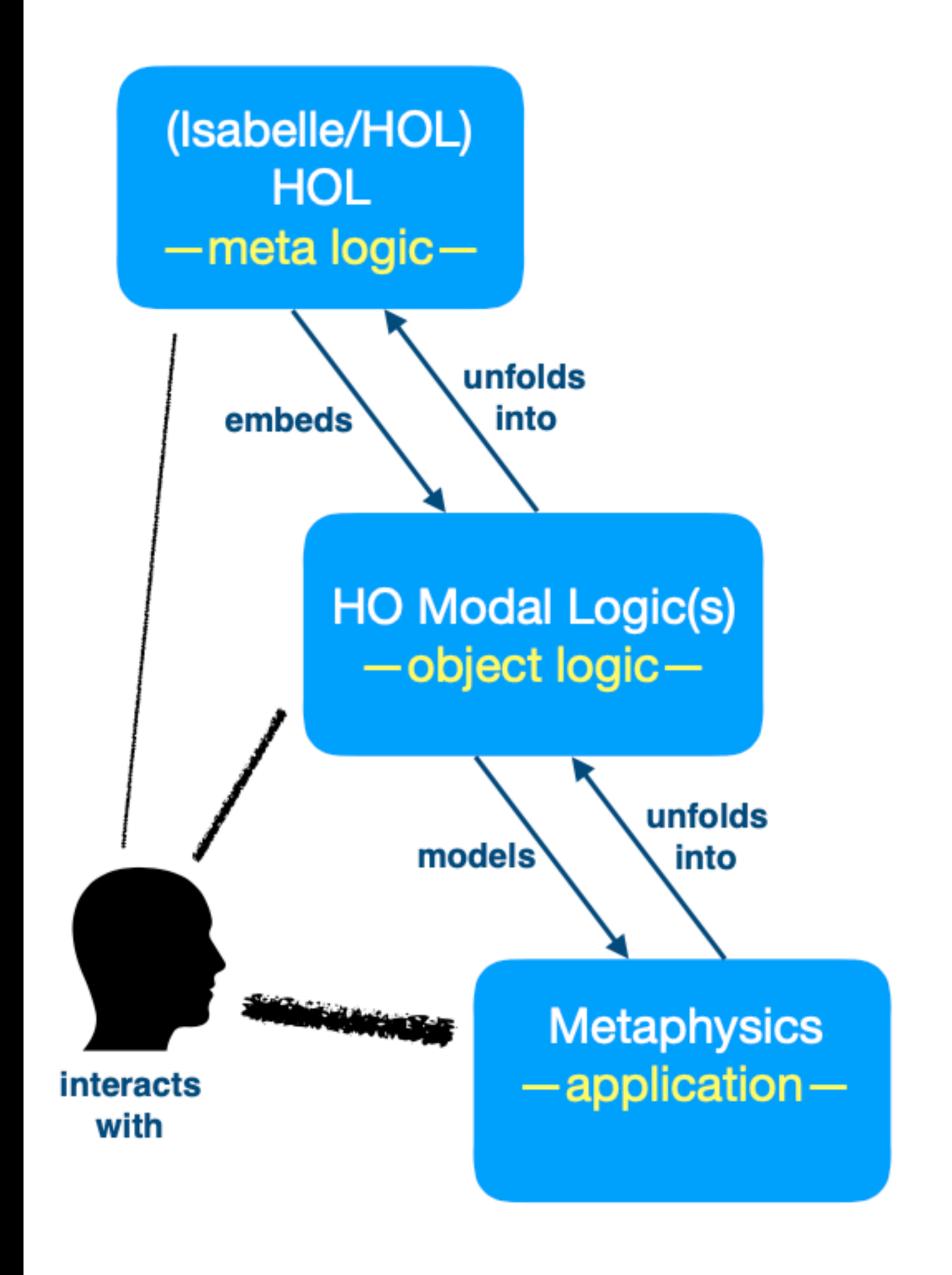
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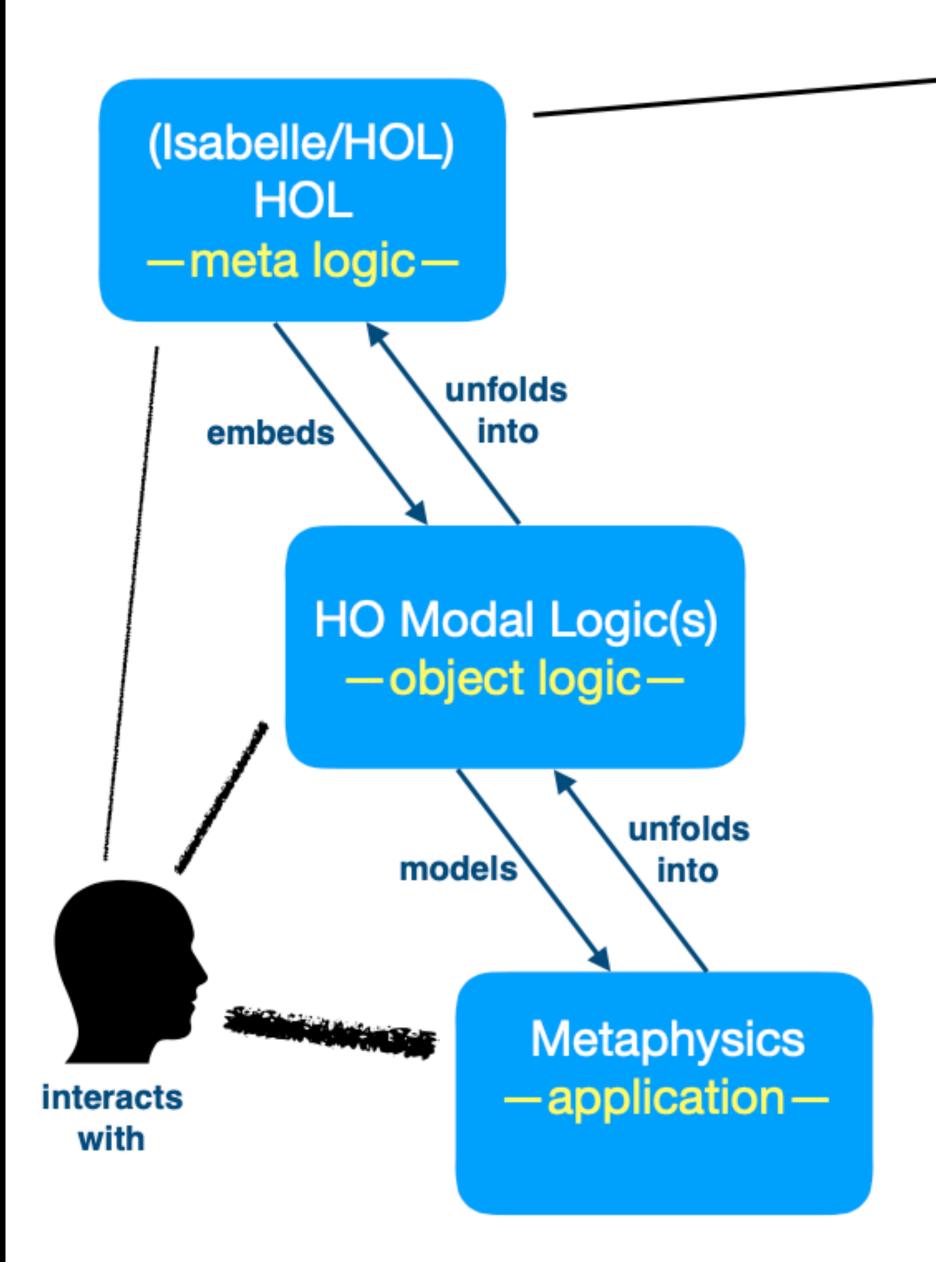


Metaphysics

-application-

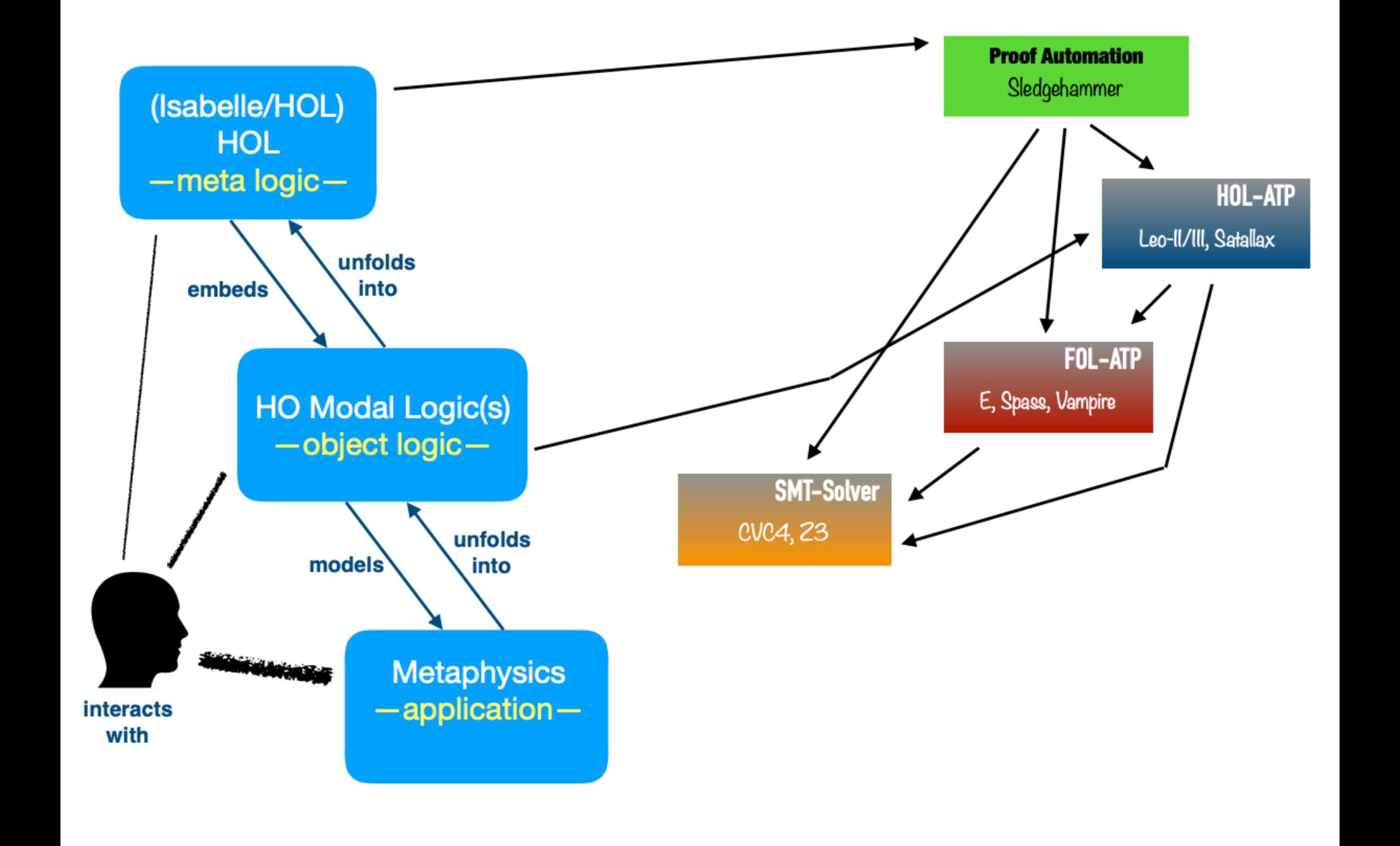


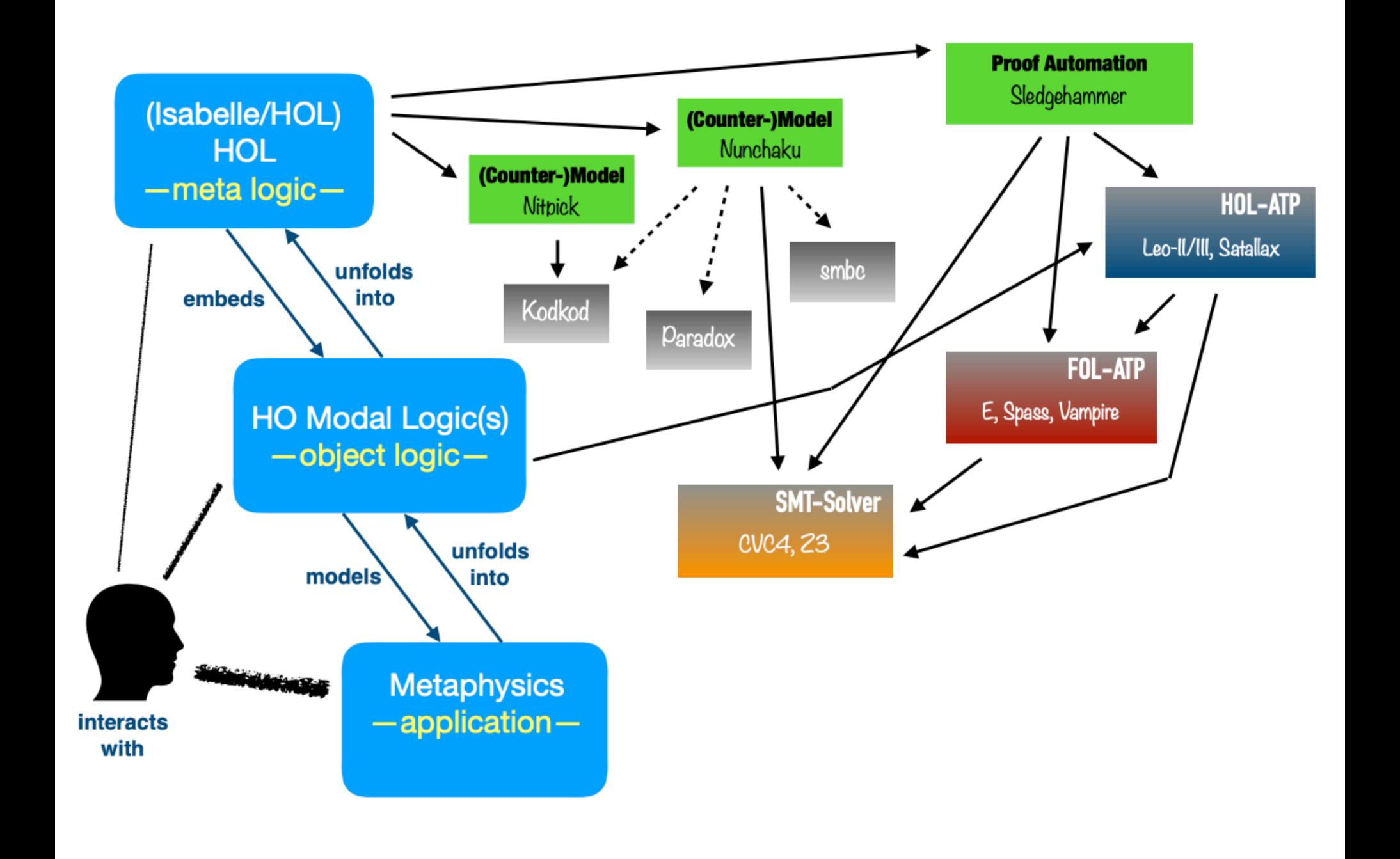


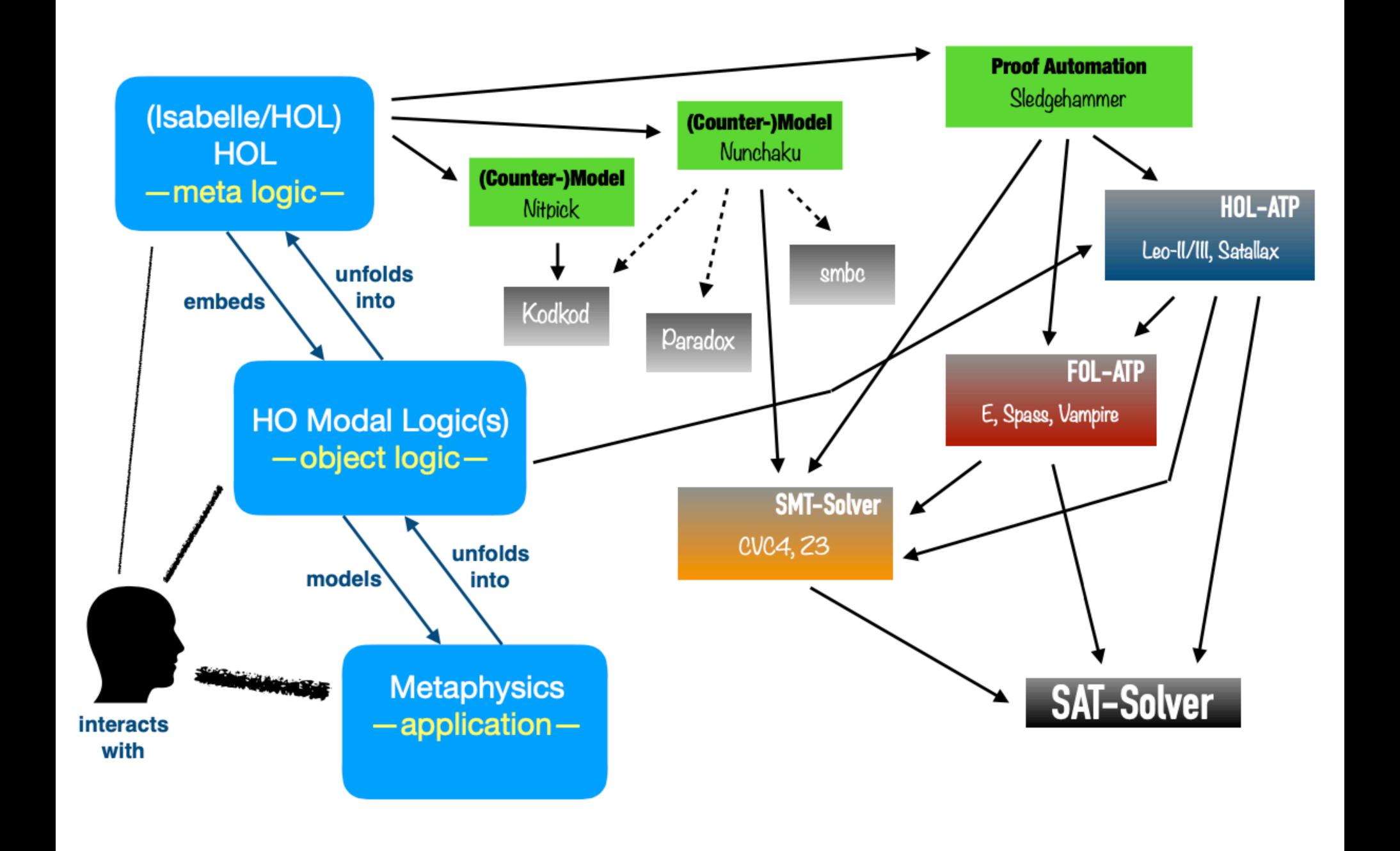


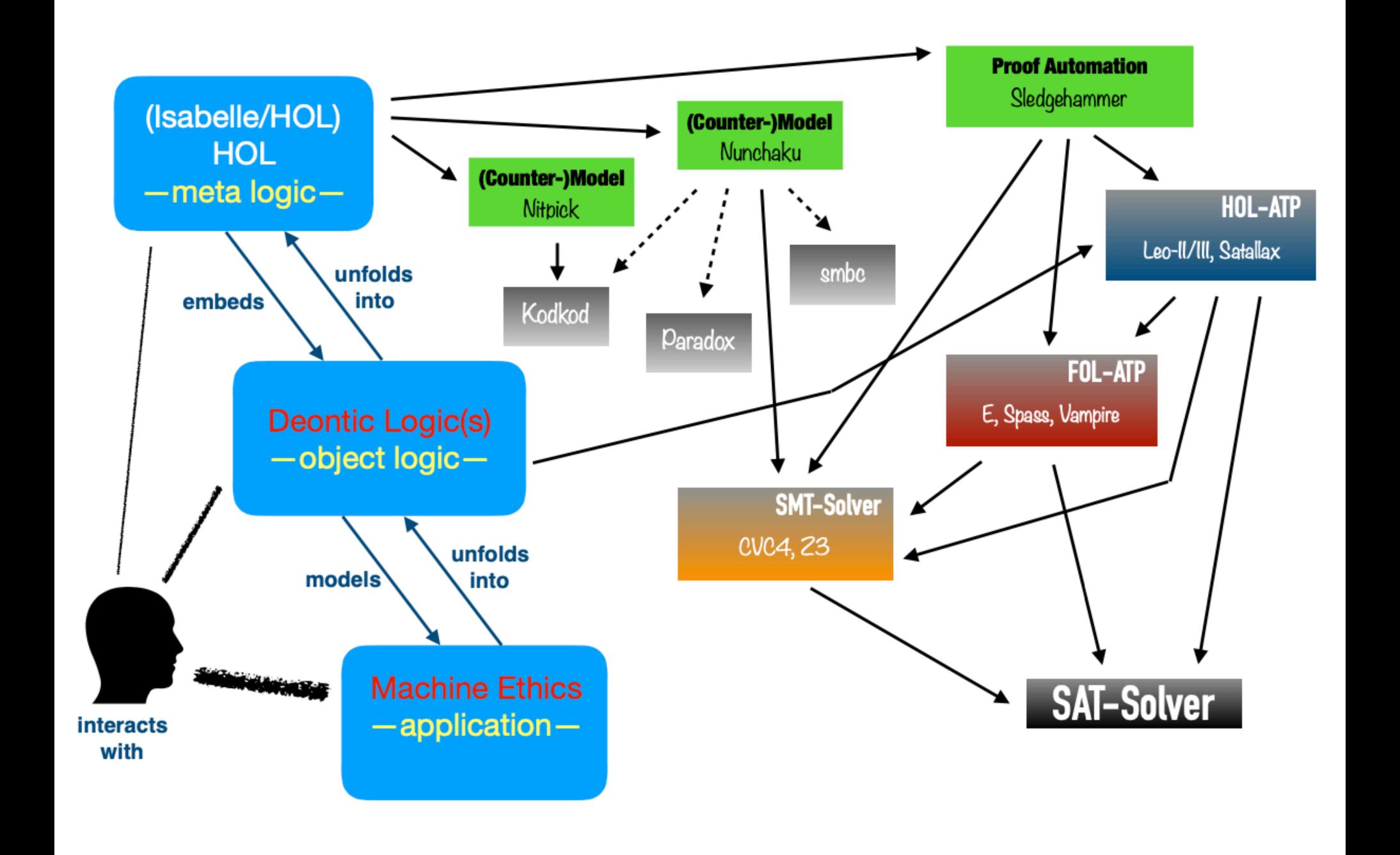
#### **Proof Automation**

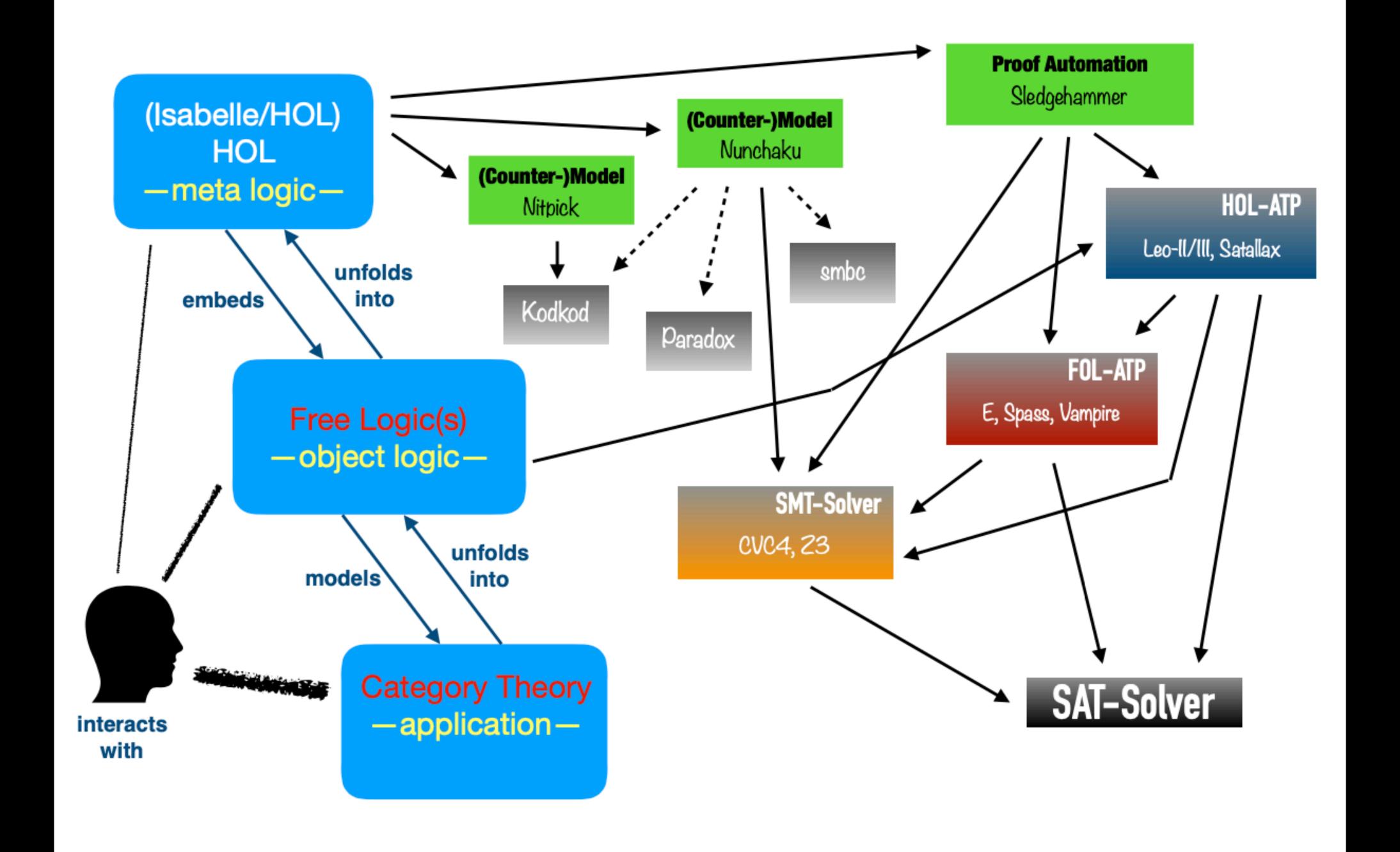
Sledgehammer

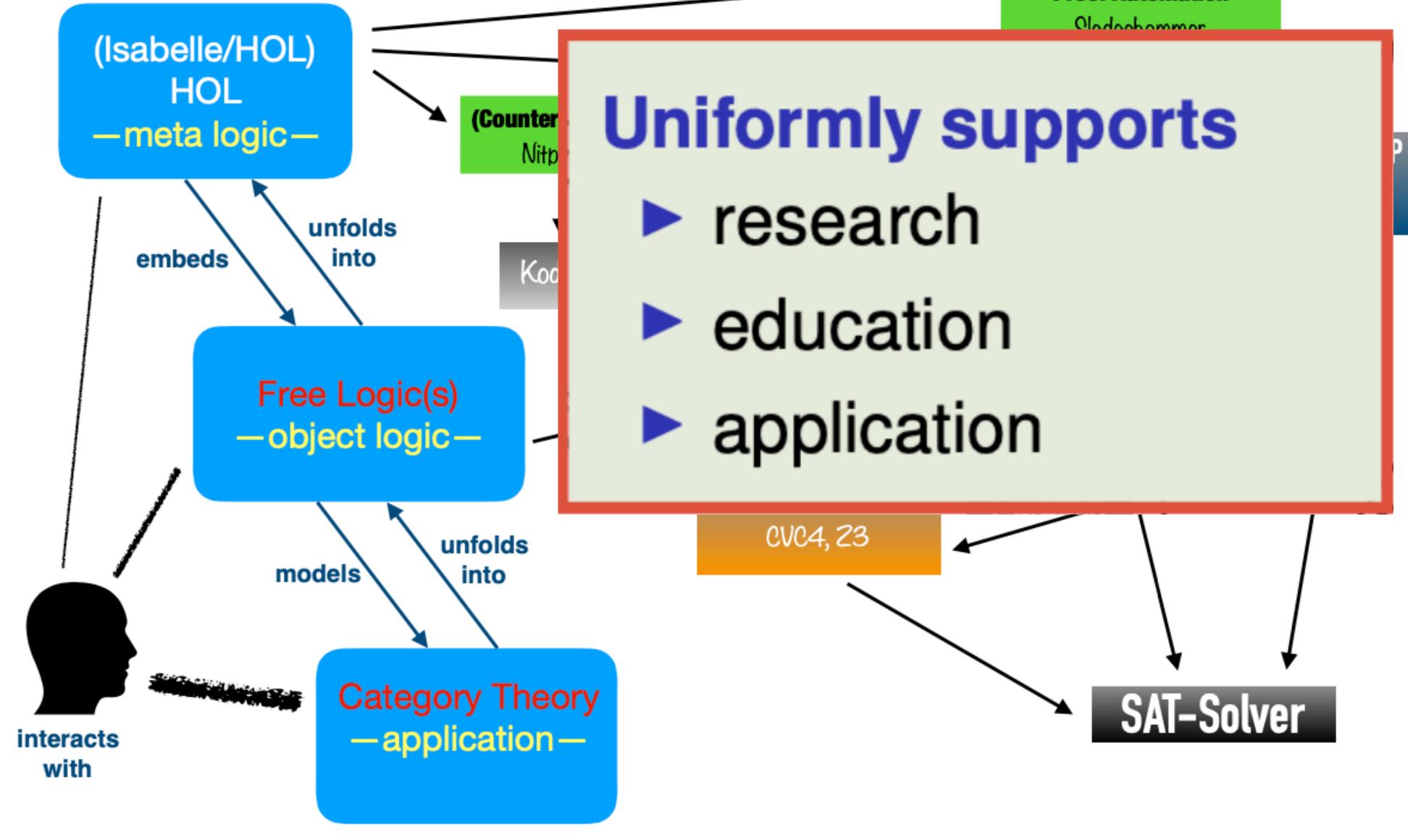


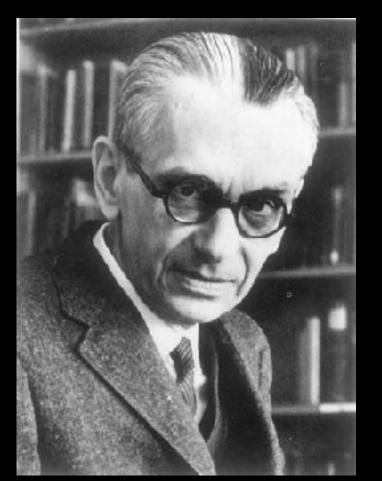








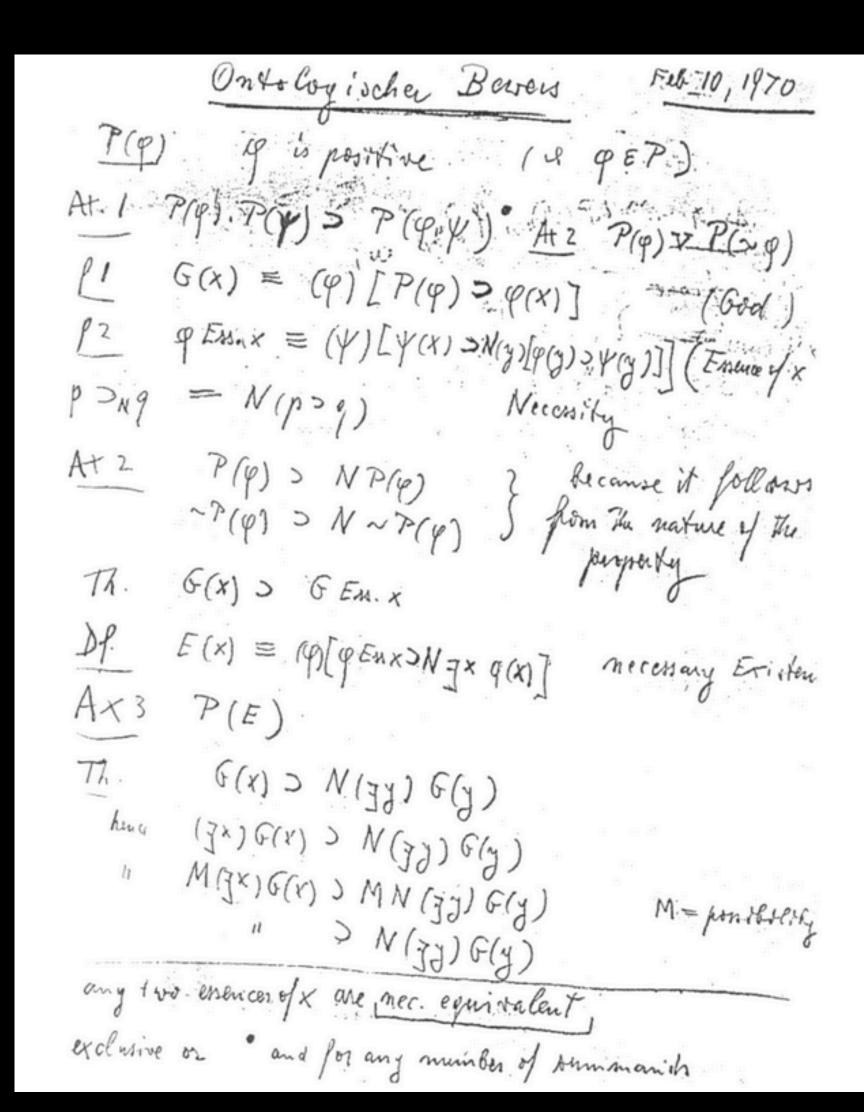




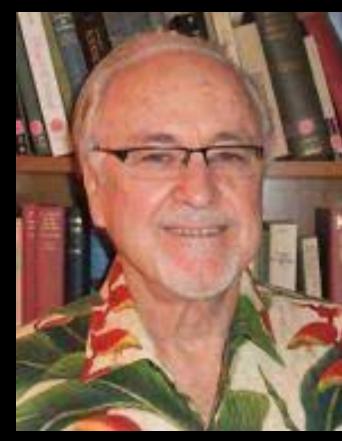
Kurt Gödel



Dana Scott



M (7x) G(x). means all pos. prope is: compatoble This is the because of: A+4: P(q). 9.2, Y: > P(q) which in pl Ante SX=X is positive Dut if a system 5 of post, people, vere in com It would mean, that the sum prop. s (which u positive) would be x + x Positive means positive in the moral action sense (in departly of the accidental structure of The world ). Only then the at time. It me also meand "atthroution" as opposed to privation (or contain y per vation) - This interprets propler proof of a house int: (x) N ~ p(x) - OMeritue: (x) x x + hance x + X hosting not X=x my Terntruy At or the existing pool from from X i.e. the mormal form in terms if elem. peop. contain. Member without negation.



Anthony C. Anderson



Melvin Fitting

Kurt Gödel's Ontological Argument (in higher-order modal logic)

## Why is all this relevant for this talk?

Talk at Berkley where Dana Scott was present:

A Success Story of Higher-Order Theorem Proving in Computational Metaphysics, Logic Colloquium, University of California, Berkeley, USA, 2016.

$$\Pi = \lambda \phi \lambda w \forall x (\phi x w)$$

(constant domain/possibilist quantifier)

alternatively becomes

$$\Pi = \lambda \phi \lambda w \, \forall x \, (\underline{\mathsf{ExistsInW}} \, xw \, \longrightarrow \, \phi \, xw)$$

(varying domain/actualist quantifier)

Dana then pointed me to free logic and suggested joint exploration studies ...

(For experiments on different quantifiers see the new AFP entry at: <a href="https://www.isa-afp.org/entries/Notes">https://www.isa-afp.org/entries/Notes</a> On Goedels Ontological Argument.html)

## Free first-order logic in HOL

**Scott 1967** 

16

Dana Scott. "Existence and description in formal logic." In: Bertrand Russell: Philosopher of the Century, edited by R. Schoenman. George Allen & Unwin, London, 1967, pp. 181-200. Reprinted with additions in: Philosophical Application of Free Logic, edited by K. Lambert. Oxford Universitry Press, 1991, pp. 28 - 48.

DANA SCOTT

#### Existence and Description in Formal Logic

The problem of what to do with improper descriptive phrases has bothered logicians for a long time. There have been three major suggestions of how to treat descriptions usually associated with the names of Russell, Frege and Hilbert-Bernays. The author does not consider any of these approaches really satisfactory. In many ways Russell's idea is most attractive because of its simplicity. However,

Technically the idea is to permit a wider interpretation of free variables. All bound variables retain their usual existential import (when we say something exists it does exist), but free variables behave in a more "schematic" way. Thus there will be no restrictions on the use of modus ponens or on the rule of substitution involving free variables and their occurrences. The laws of quantifiers require some modification, however, to make the existential assumptions explicit. The modification is very straightforward, and I shall argue that what has to be done is simply what is done naturally in making a relativization of quantifiers from a larger domain to a subdomain. Again in intuitionstic logic we have to take care over relativization, because we cannot say that either the subdomain is empty or not thus a given element may be only "partially" in the subdomain.

IDENTITY AND EXISTENCE IN INTUITIONISTIC LOGIC

#### **Scott 1977**

Dana Scott

Merton College, Oxford, England

Standard formulations of intuitionistic category theorists, generally do not take in (For a recent reference see Makkai and Reyes there is a simple psychological reason: we d

> ist. Certainly we should on explicit. In classica possible to split the de question does or does no s, and the circumstance ns, for example. Many p

 $\text{Ex} \leftrightarrow \text{Edom}(\mathbf{x})$ 

 $\text{Ex} \leftrightarrow \text{Ecod}(x)$ 

(3)dom(x) = cod(y)

(4) $x \circ (y \circ z) \equiv (x \circ y) \circ z$ 

(5)  $x \circ dom(x) \equiv x$ 

(6) $cod(x) \circ x \equiv x$ 

ocate in a mild way in this paper what I consider a simple al formulation of logic allowing reference to partial elements. be entirely formal here, but for the model theory of the system nsult Fourman and Scott [10] for interpretations over a complete this includes the so-called Kripke models) and Fourman [8] en in 1975) for the interpretation in an arbitrary topos.

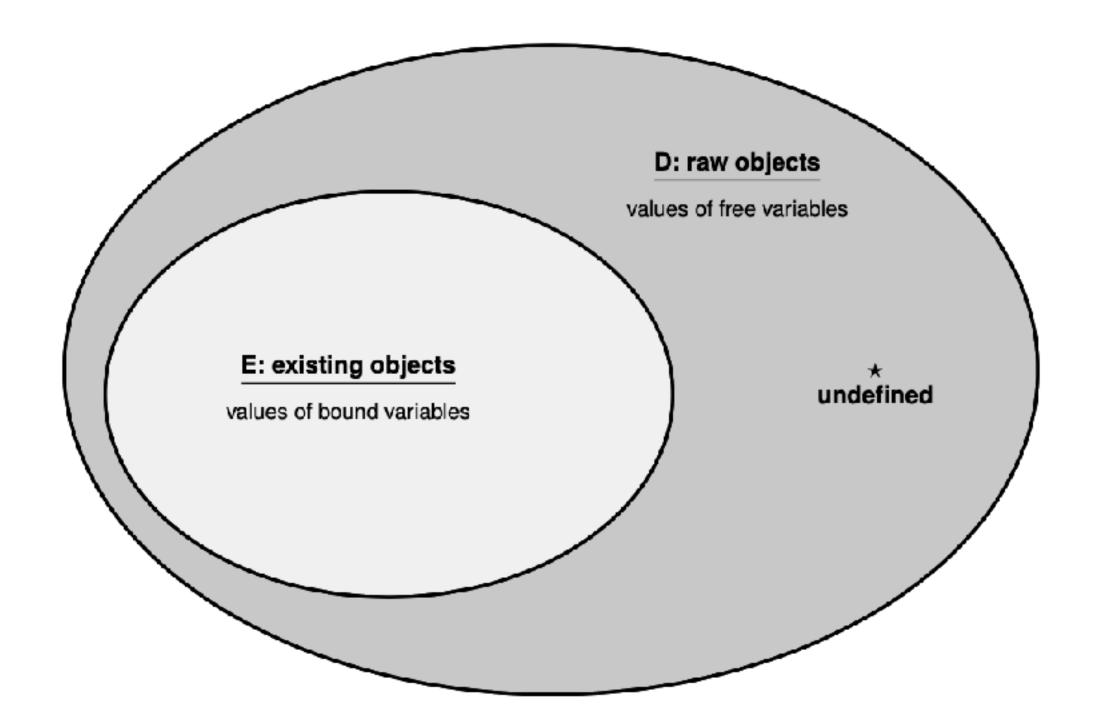
## Free Logic

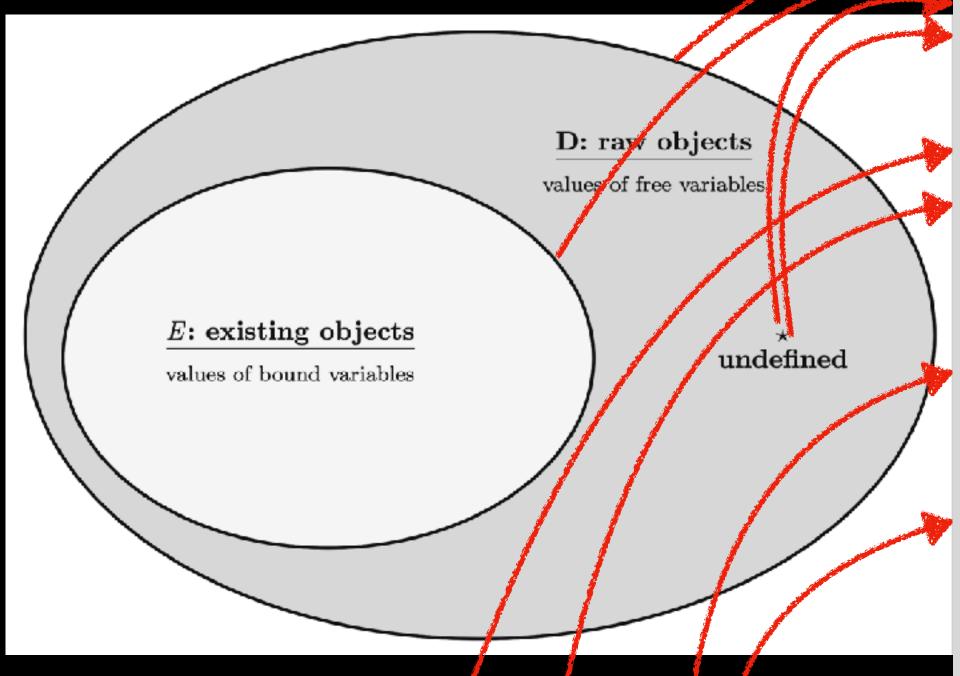
#### Existence and Description in Formal Logic (Dana Scott), 1967

**Principle 1:** Bound individual variables range over domain  $E \subset D$ 

**Principle 2:** Values of terms and free variables are in D, not necessarily in E only.

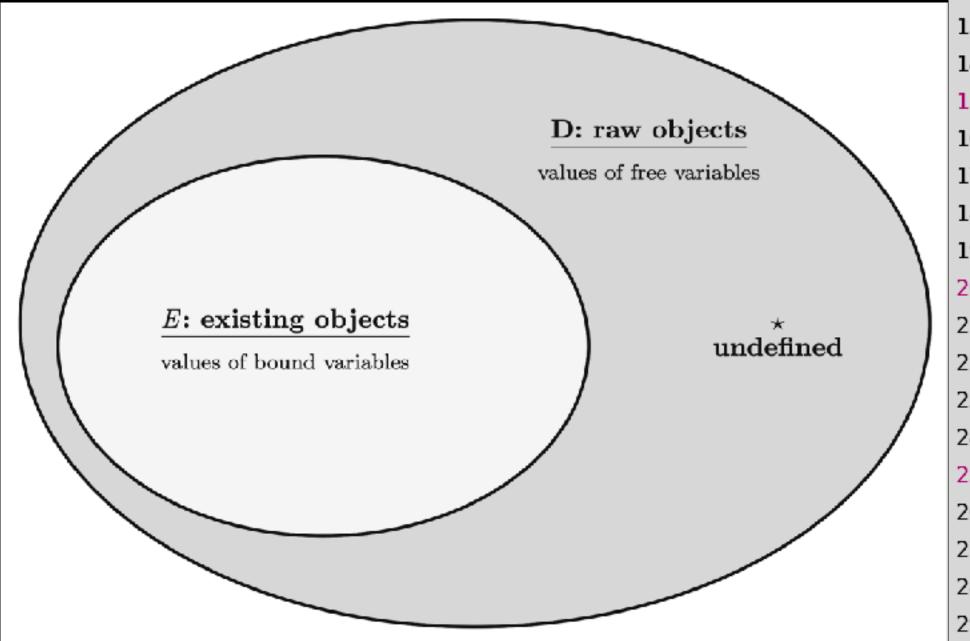
**Principle 3:** Domain *E* may be empty





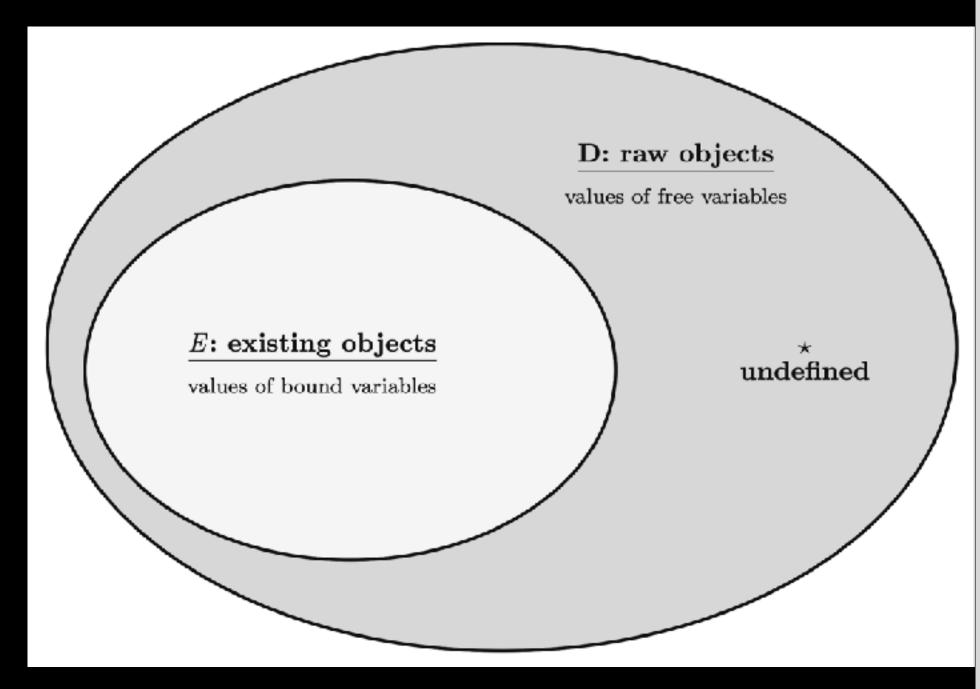
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- Free quantifier ∀ relativized by E
- Free description constrained by E

```
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 9 begin
10 typedecl i (*Type for individuals*)
ıı<mark>consts</mark> fExistence:: "i⇒bool" ("E") (*Existence/<u>definedness</u> predicate in free logic*)
12 consts fStar:: "i" ("★") (*Distinguished symbol for undefinedness*)
13 axiomatization where fStarAxiom: "\neg E(*)" (** is a ``non-existing'' object in D.*)
15 abbreviation fNot ("¬") (*Free negation*)
16 where "\neg \varphi \equiv \neg \varphi"
| 17 | abbreviation fImplies (infixr \rightarrow 13) (*Free implication*)
18 where "arphi 	o \psi \equiv arphi 	o \psi"
19 abbreviation fIdentity (infixr "=" 13) (*Free identity*)
where "l = r \equiv l = r"
| 21 | abbreviation fForall ("\forall") (*Free universal quantification guarded by @{text "E"}*)
where "\forall \Phi \equiv \forall x. E x \longrightarrow \Phi x"
23 abbreviation fForallBinder (binder "∀" [8] 9) (*Binder notation*)
where "\forallx. \varphi x \equiv \forall \varphi"
abbreviation fThat:: (i \Rightarrow bool) \Rightarrow i'' ("I")
where "I\Phi = if \exists x. E(x) \land \Phi(x) \land (\forall y. (E(y) \land \Phi(y)) \longrightarrow (y = x))
                     then THE x. E(x) \wedge \Phi(x)
                     else ★"
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   where "Ix. \varphi(x) \equiv I(\varphi)"
text < Further free logic connectives can now be defined as usual. >
34 abbreviation for (infixr "\" 11)
   where "\varphi \lor \psi \equiv (\neg \varphi) \rightarrow \psi"
36 abbreviation fAnd (infixr "∧" 12)
where "\varphi \wedge \psi \equiv \neg (\neg \varphi \vee \neg \psi)"
38 abbreviation fImplied (infixr "←" 13)
39 Where "\varphi \leftarrow \psi \equiv \psi \rightarrow \varphi"
                                                                         As usual
40 abbreviation fEquiv (infixr "↔" 15)
where "\varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \land (\psi \rightarrow \varphi)"
42 abbreviation fExists ("∃")
where "\exists \Phi \equiv \neg(\forall (\lambda y, \neg(\Phi y)))"
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where "\exists x. \varphi x \equiv \exists \varphi"
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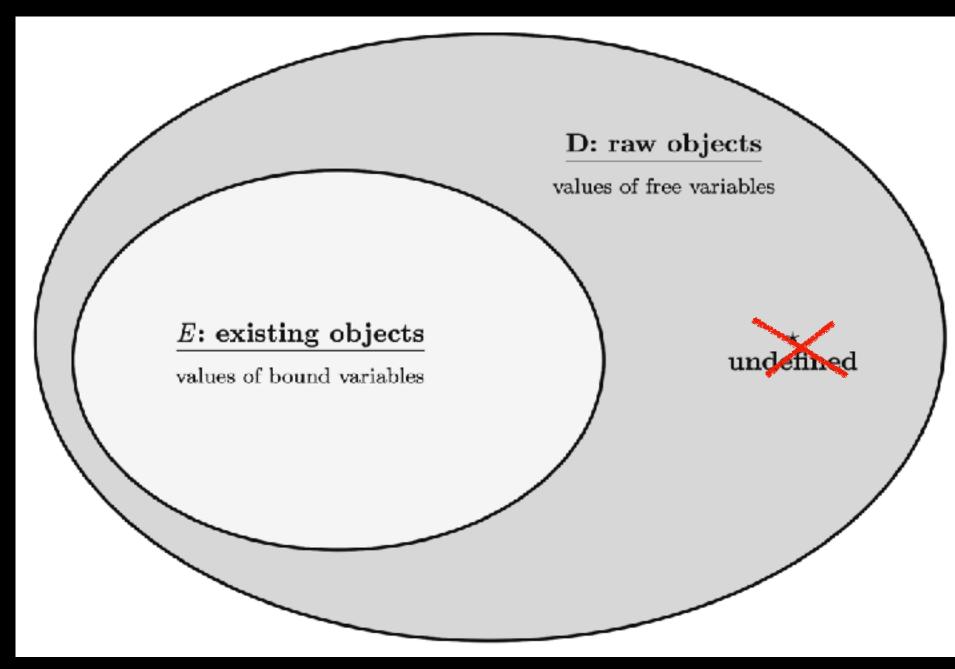
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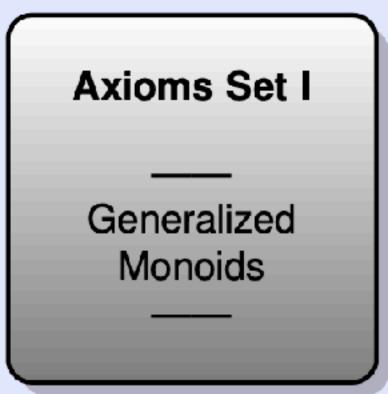
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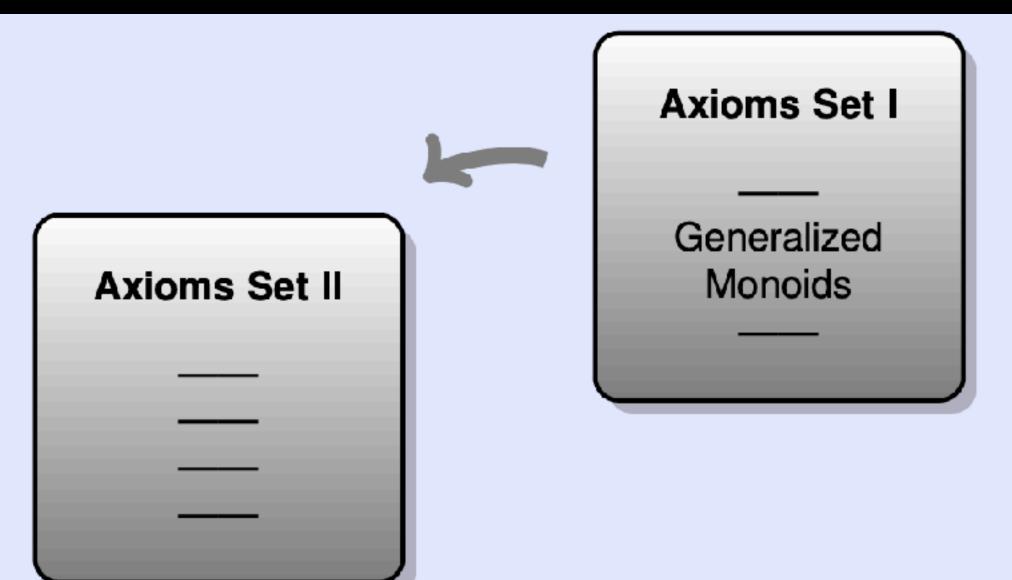
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15 abbreviation fNot ("¬") (*Free negation*)
16 where "\neg \varphi \equiv \neg \varphi"
| 17 | abbreviation fImplies (infixr \rightarrow 13) (*Free implication*)
18 where "\varphi \rightarrow \psi \equiv \varphi \longrightarrow \psi"
19 abbreviation fIdentity (infixr "=" 13) (*Free identity*)
where "l = r \equiv l = r"
| 21 | abbreviation fForall ("\forall") (*Free universal quantification guarded by @{text "E"}*)
where "\forall \Phi \equiv \forall x. E x \longrightarrow \Phi x"
23 abbreviation fForallBinder (binder "∀" [8] 9) (*Binder notation*)
where "\forall x. \varphi x \equiv \forall \varphi"
abbreviation fThat:: "(i\Rightarrowbool)\Rightarrowi" ("I")
26 where "I\Phi \cong if \existsx. E(x) \land \Phi(x) \times (\forall y. (E(y) \land \Phi(y)) \longrightarrow (y = x))
                      then THE x. E(x) \wedge \Phi(x)
abbreviation fThatBinder: (i \Rightarrow bool) \Rightarrow i (binder "I" [8] 9)
    where "Ix. \varphi(x) \equiv I(\varphi)"
text < Further free logic connectives can now be defined as usual. >
34 abbreviation for (infixr "\" 11)
   where "\varphi \lor \psi \equiv (\neg \varphi) \rightarrow \psi"
36 abbreviation fAnd (infixr "∧" 12)
where "\varphi \wedge \psi \equiv \neg (\neg \varphi \vee \neg \psi)"
38 abbreviation fImplied (infixr "←" 13)
39 Where "\varphi \leftarrow \psi \equiv \psi \rightarrow \varphi"
                                                                            As usual
40 abbreviation fEquiv (infixr "↔" 15)
where "\varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \land (\psi \rightarrow \varphi)"
42 abbreviation fExists ("3")
where "\exists \Phi \equiv \neg(\forall(\lambda y, \neg(\Phi y)))"
44 abbreviation fExistsBinder (binder "∃" [8]9)
45 where "\exists x. \varphi x \equiv \exists \varphi"
```

# Case Study in Axiomatic Category Theory



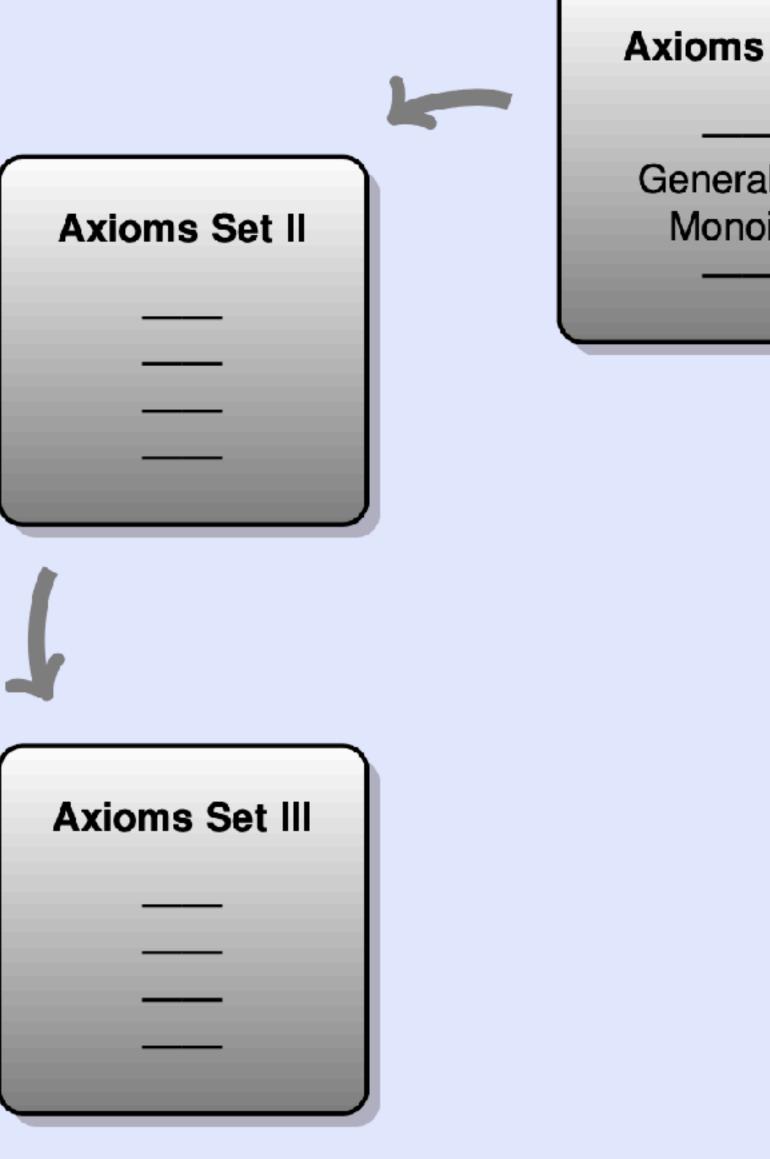


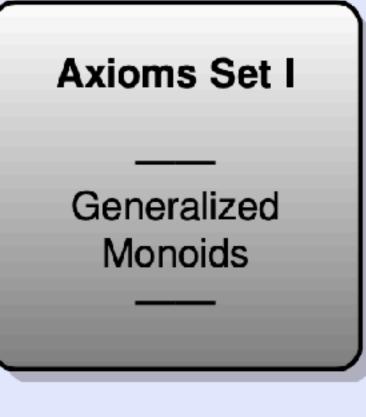
Dana Scott

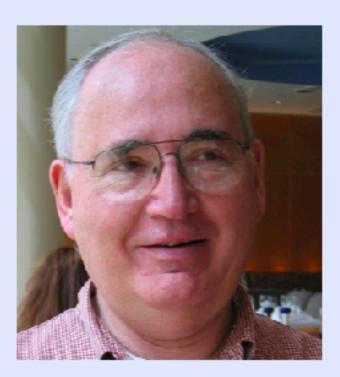




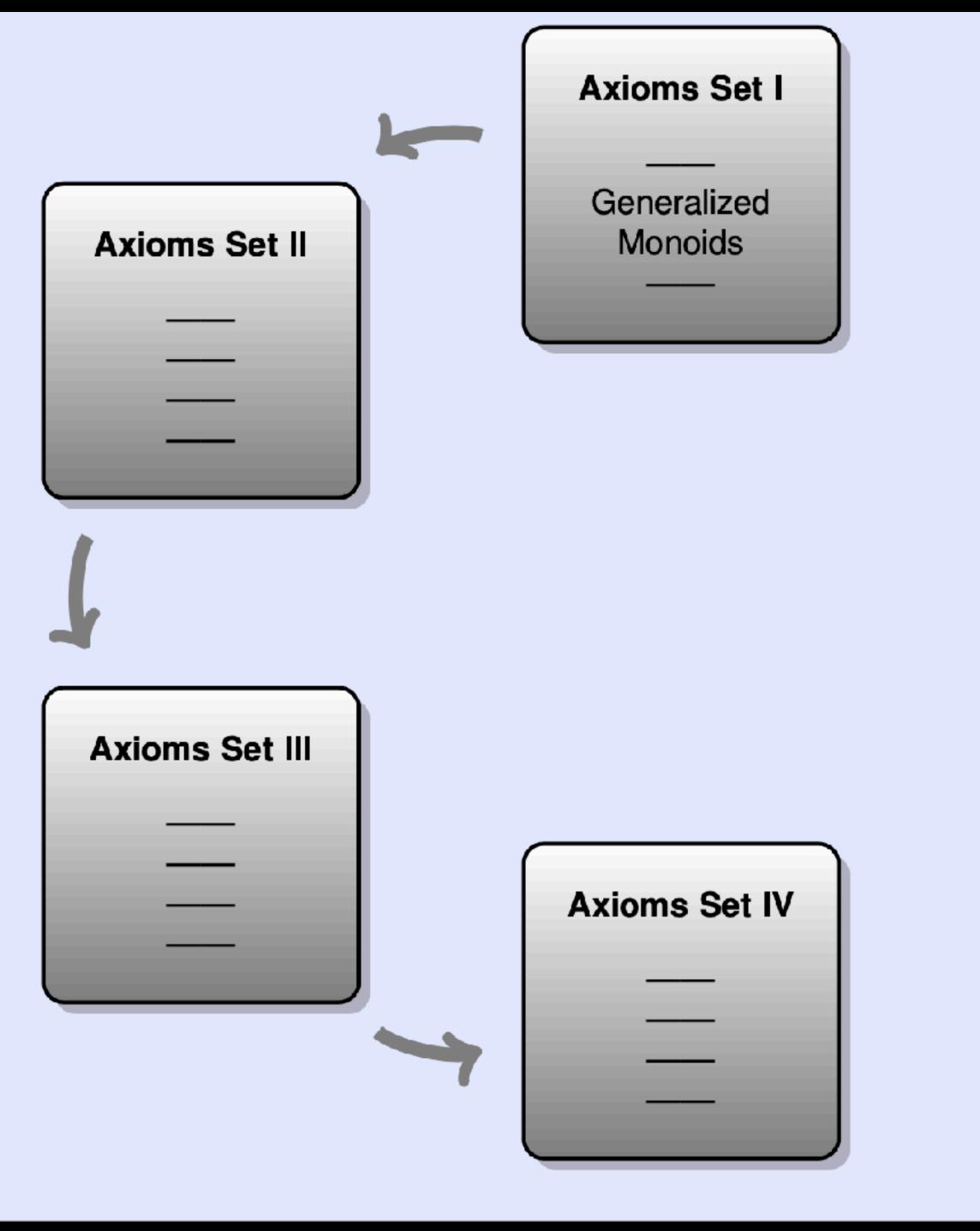
Dana Scott

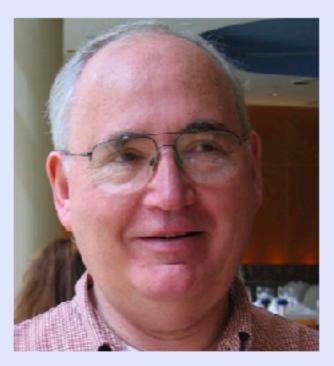




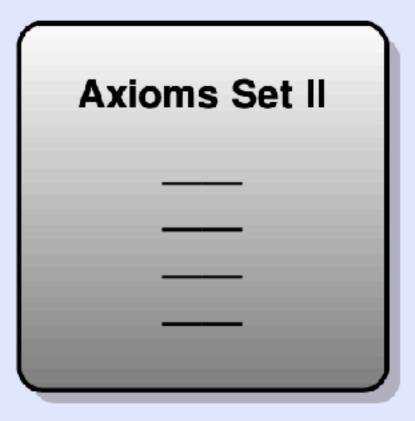


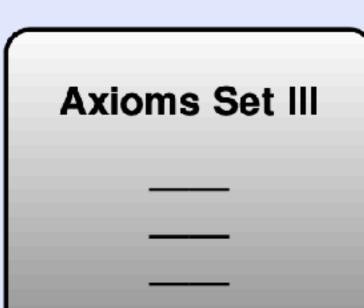
Dana Scott

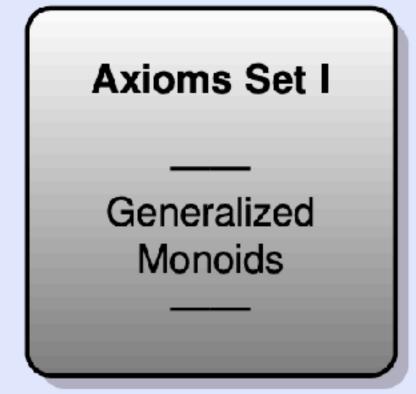




Dana Scott







Described formulations of intuitions leafs, whether by inglated on the contempts theorists, generally do not take lets account partially defined elements. Over a recent reference are manal and pages Evil , way, yo. selection between the account partially defined elements. Over a recent reference are manal and pages Evil , way, yo. selection defined elements. Over in a single paymentage of contempts of their which protect is a single payment for exemption explicit. In classical lagic tax protect is not important, because it is adopt possible to again the excludion for theorem late comes executing as the order in genetics from a size. In intuitionistic leafs take way is not open to us, and the figurantance complicates may construction, the tracey of femoriphisms, for example. Many proper I find to not agree with my, but there will be not represent their small enginess or on the rule of substitution decided it does not explicit to the second formulation on the sum of mode governs or on the rule of substitution decided in the example of the contemption explicitly the second formulation of the same of mode governs or on the rule of substitution decided from the order of the same and their concurrences. The laws of quantificat require some modification, however, to a more the extraction account ones and the law and the same sample to the date is a single what is done in account, and it should capture that sate the date in the contempt of quantification from a laws of model is decided in the contempt of quantification or an account.

DESCRIPTION DESCRIPTION IN LEGISLATION FOR LOCAL

simply what is done occurring in making a relativisation of quantifiers from a larger domain to a sub-comic. Again is intuitionally logic we never to these own color relativisation, because we cannot may that either the redomain in supply or not — time a given classest may be only "partially" in the conduction.

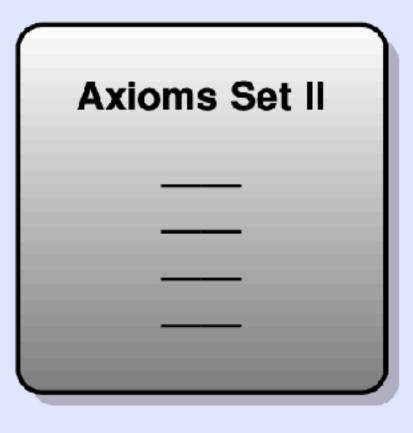
Axioms Set V

Dana Scott's Axioms from 1977

**Axioms Set IV** 



Dana Scott

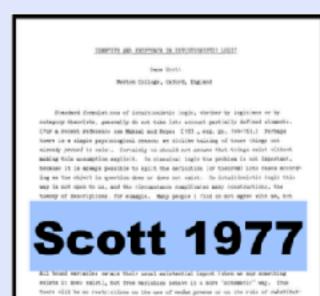




Axioms Set III

# Axioms Set I —— Generalized

Monoids



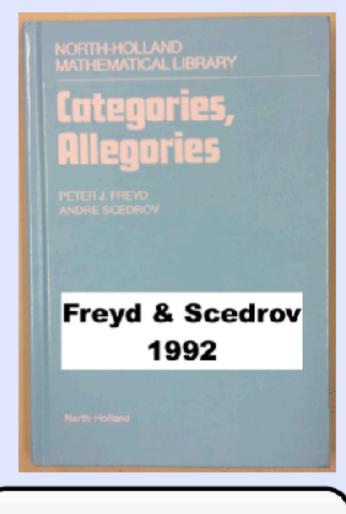
One benefiting free variables and their concernment. The laws of partifices caption mass modification, because, to make the entriential assumptions explicit. The modification is very straighthrowers, and I shad larger that what has be be done is simply what is now accountly in making a satisfication of quantifications from a larger domain to a subsemmin. Again is intuitionable logic we have to take ourse over criministation, breases we common may that without the subsemmin in empiry or not — time a given classes may be only "partially" in the subsemmin.

PRODUCT: The first Staft of this paper was written Stafing a wind on here at the SME, SMI-ids in Staffs, STS, and it has been revised since the Darton Spaperises. Do evide of inventional on was descripted in seminance an inferior translating in STAFTS a TAMESS DIV CONTRIBUTION and presents are contributed by STAFTS.

TOWNERS, T. STAFF, STAFF, C. C. Sharpoots, and S. STAFF, STAFF, STAFFS, STAFF, STAFFS, STAFF, STAFFS, S

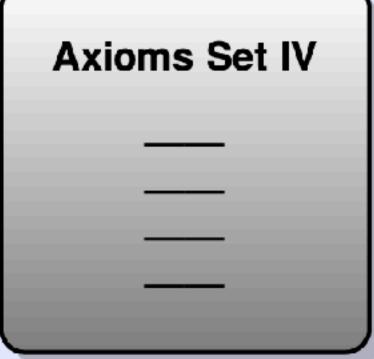
#### **Axioms Set V**

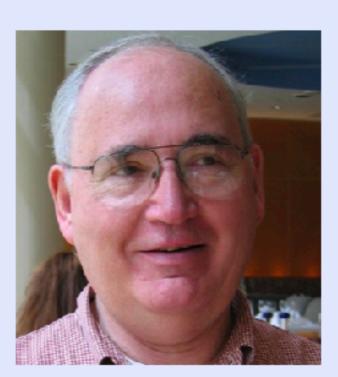
Dana Scott's Axioms from 1977 \_?\_



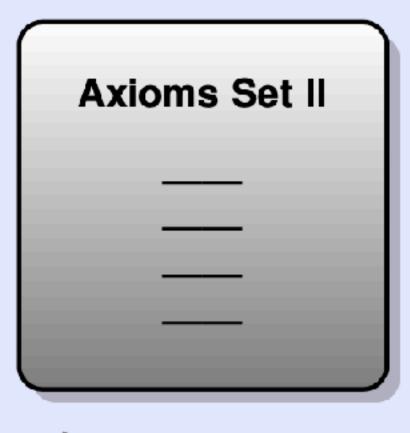
**Axioms Set VI** 

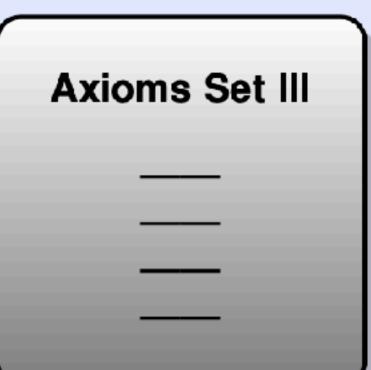
Freyd & Scedrov's Axioms from 1992

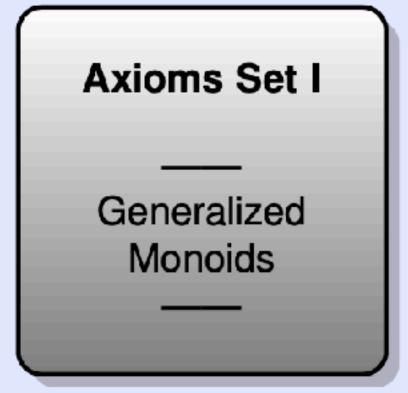


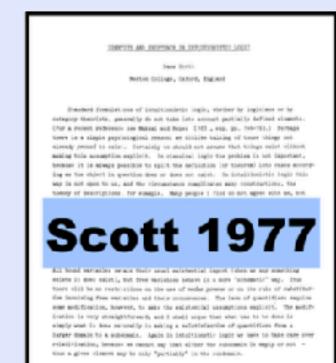


Dana Scott





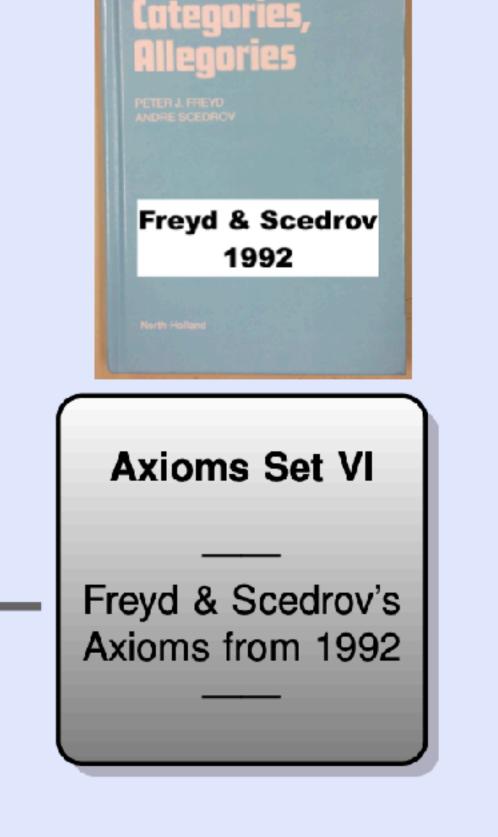


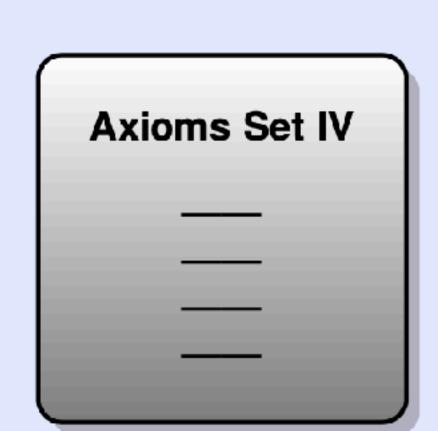


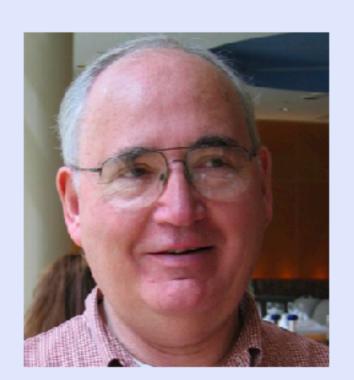
PRODUCE the first draft of this paper was switten during a wisit on here at the SME, SMI-is in Service, STA, and it has been extended alone the Durinos Spaperison. The wayle of irreships you was invaringed in semimons on infurit straining in "STATE" a THREE DAY OF CONTRACT OF CONTRACT OF CONTRACT OF CONTRACT OF CONTRACT, C. Sharppain, and E. Statens.

Axioms Set V

Dana Scott's
Axioms from 1977







all equivalent?



Dana Scott

### **Preliminaries**

Morphisms: objects of type of *i* (raw domain D)

### Partial functions:

domain
$$dom$$
of type  $i \rightarrow i$ codomain $cod$ of type  $i \rightarrow i$ composition $\cdot$ of type  $i \rightarrow i \rightarrow i$  (resp.  $i \times i \rightarrow i$ )

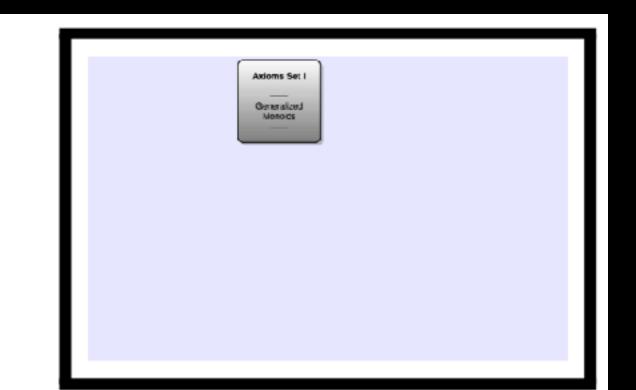
$$\cong$$
 denotes Kleene equality:  $x \cong y \equiv (Ex \lor Ey) \rightarrow x = y$ 

(where = is identity on all objects of type i, existing or non-existing)

≅ is an equivalence relation: Sledgehammer.

 $\simeq$  denotes existing identity:  $x \simeq y \equiv Ex \land Ey \land x = y$ 

 $\simeq$  is symmetric and transitive, but lacks reflexivity: SLEDGEHAMMER, NITPICK.



## **Preliminaries**



- ightharpoonup  $\simeq$  equivalence relation on E, empty relation outside E
- $ightharpoonup 1/0 \neq 1/0 \neq 2/0 \dots.$
- Ix.pkoFrance(x)  $\neq$  Ix.pkoFrance(x) Ix.pkoFrance(x)  $\neq$  Ix.pkoPoland(x)

$$\cong$$
 denotes Kleene equality:  $x \cong y \equiv (Ex \lor Ey) \rightarrow x = y$ 

(where = is identity on all objects of type i, existing or non-existing)

≅ is an equivalence relation: Sledgehammer.

 $\simeq$  denotes existing identity:  $x \simeq y \equiv Ex \land Ey \land x = y$ 

 $\simeq$  is symmetric and transitive, but lacks reflexivity: SLEDGEHAMMER, NITPICK.

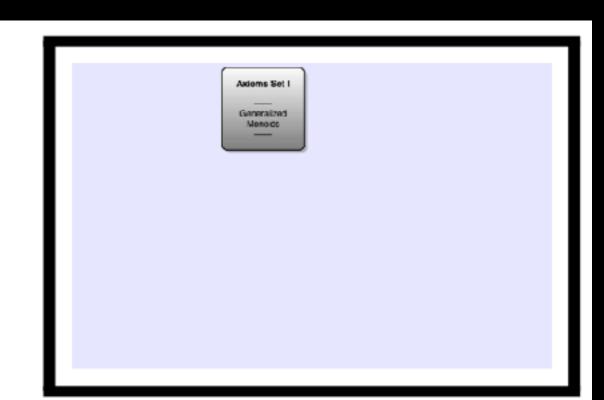
# **Category Theory** in Free Logic (in HOL)

```
235 section < Axioms Set V >
237 locale Axioms_Set_V =
238 assumes
    S1: "E(dom x) \rightarrow E x" and
    S2: "E(cod y) \rightarrow E y" and
    S3: "E(x·y) \leftrightarrow dom x \simeq cod y" and
    S4: "x \cdot (y \cdot z) \cong (x \cdot y) \cdot z" and
    S5: "x \cdot (dom x) \cong x" and
    S6: "(cod y) \cdot y \cong y"
245 begin (*The obligatory consistency checks*)
246
247
      lemma True
          nitpick [satisfy, user axioms, expect=genuine] oops (*model found*)
      lemma assumes "\exists x. \neg (E x)" shows True
249
250 Le
251
252 end
253
        nitpick [satisfy, user_axioms, expect=genuine] oops (*model found*)
      Lemma assumes "(\exists x. \neg (E \times)) \land (\exists x. (E \times))" shows True
        nitpick [satisfy, user axioms, expect=genuine] oops (*model found*)
context Axioms_Set_V (*Axioms Set IV is implied by Axioms Set V*)
begin
     Lemma S_{iv}FromV: "(E(x \cdot y) \rightarrow (E \times \wedge E y)) \wedge (E(dom \times ) \rightarrow E \times) \wedge (E(cod y) \rightarrow E y)"
257
        using S1 S2 S3 by blast
258 Lo
259 Lo
260 Lo
261 Lo
262 end
263
      Lemma E_{iv}FromV: "E(x \cdot y) \leftrightarrow (dom x \cong cod y \land E(cod y))"
                                                                               using S3 by metis
      lemma A_{iv}FromV: "x \cdot (y \cdot z) \cong (x \cdot y) \cdot z" using S4 by blast
      lemma C_{iv}FromV: "(cod y)·y \cong y" using S6 by blast
      lemma D_{iv}FromV: "x·(dom x) \cong x" using S5 by blast
context Axioms Set IV (*Axioms Set V is implied by Axioms Set IV*)
265 begin
266 lemm
     Lemma S1FromIV: "E(dom x) \rightarrow E x" using S<sub>iv</sub> by blast
267
      lemma S2FromIV: "E(cod y) \rightarrow E y" using S_{iv} by blast
      Lemma S3FromIV: "E(x \cdot y) \leftrightarrow dom x \simeq cod y" using E_{iv} by metis
268
      lemma S4FromIV: "x \cdot (y \cdot z) \cong (x \cdot y) \cdot z" using A_{iv} by blast
269
270
      lemma S5FromIV: "x \cdot (dom x) \cong x" using D_{iv} by blast
      lemma S6FromIV: "(cod y)·y \cong y" using C_{iv} by blast
271
```

**Table 1** Stepwise evolution of Scott's [33] axiom system for category theory from partial monoids

```
Axioms Set I
                                                              E(x \cdot y) \longrightarrow (Ex \wedge Ey)
   S_i
                                                              E(x \cdot y) \longleftarrow (Ex \wedge Ey \wedge (\exists z \cdot z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))
                                                              x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
                                                              \forall y \exists i \exists i \exists i \land i \cdot y \cong y
                                                              \forall x \cdot \exists j \cdot Ij \land x \cdot j \cong x
   D_i
Axioms Set II
   S_{ii}
                                                              E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)
                                                              E(x \cdot y) \longleftarrow (Ex \wedge Ey \wedge (\exists z \cdot z \geq z \wedge x \cdot z \geq x \wedge z \cdot y \geq y))
   E_{ii}
                                                              x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
   A_{ii}
                                                              Ey \longrightarrow (I(cod\ y) \land (cod\ y) \cdot y \cong y)
   C_{ii}
                                                              Ex \longrightarrow (I(dom\ x) \land x \cdot (dom\ x) \cong x)
   D_{ii}
Axioms Set III
                                                              E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)
   S_{iii}
                                                              E(x \cdot y) \longleftarrow (dom \ x \cong cod \ y \land E(cod \ y)))
   E_{iii}
                                                              x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
   A_{iii}
                                                              Ey \longrightarrow (I(cod\ y) \land (cod\ y) \cdot y \cong y)
   C_{iii}
                                                              Ex \longrightarrow (I(dom\ x) \land x \cdot (dom\ x) \cong x)
   D_{iii}
Axioms Set IV
                                                              E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)
   S_{iv}
                                                              E(x \cdot y) \longleftrightarrow (dom \ x \cong cod \ y \land E(cod \ y)))
   E_{iv}
                                                              x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
   A_{iv}
                                                              (cod\ y)\cdot y\cong y
   C_{iv}
                                                              x \cdot (dom \, x) \cong x
Axioms Set V [33]
   S1
                                                               E(dom x) \longrightarrow Ex
   S2
                                                              E(cod y) \longrightarrow Ey
   S3
                                                              E(x \cdot y) \longleftrightarrow dom \ x \simeq cod \ y
                                                              x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
   S4
   S5
                                                               (cod\ y)\cdot y\cong y
   S6
                                                               x \cdot (dom \ x) \cong x
```

We employ a partial, strict binary composition operation  $\cdot$  Left and right identity elements are addressed in  $C_i$ ,  $D_i$ ,  $\cdot$ 



## Categories: Axioms Set I

 $S_i$  Strictness  $E(x \cdot y) \rightarrow (Ex \wedge Ey)$ 

 $E_i$  Existence  $E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$ 

 $A_i$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$ 

 $C_i$  Codomain  $\forall y. \exists i. ID(i) \land i \cdot y \cong y$ 

 $D_i$  Domain  $\forall x.\exists j.ID(j) \land x \cdot j \cong x$ 

where I is an identity morphism predicate:

$$ID(i) \equiv (\forall x. \ E(i \cdot x) \rightarrow i \cdot x \cong x) \land (\forall x. \ E(x \cdot i) \rightarrow x \cdot i \cong x)$$

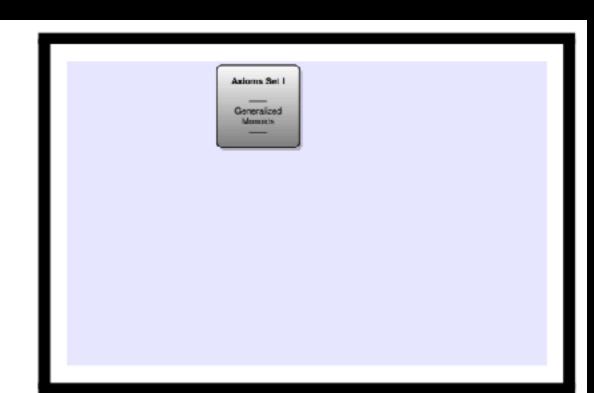
#### **Monoid**

Closure:  $\forall a, b \in S. \ a \circ b \in S$ 

Associativity:  $\forall a, b, c \in S. \ a \circ (b \circ c) = (a \circ b) \circ c$ 

Identity:  $\exists id_S \in S. \ \forall a \in S. \ id_S \circ a = a = a \circ id_S$ 

We employ a partial, strict binary composition operation  $\cdot$  Left and right identity elements are addressed in  $C_i$ ,  $D_i$ ,  $\cdot$ 



## Categories: Axioms Set I

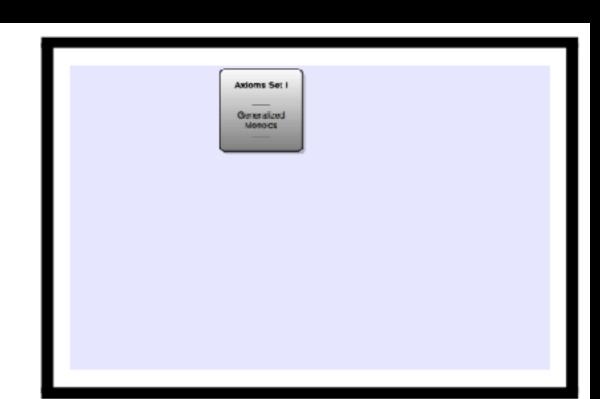
$$S_i$$
Strictness $E(x \cdot y) \rightarrow (Ex \wedge Ey)$  $E_i$ Existence $E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$  $A_i$ Associativity $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$  $C_i$ Codomain $\forall y. \exists i.ID(i) \wedge i \cdot y \cong y$  $D_i$ Domain $\forall x. \exists j.ID(j) \wedge x \cdot j \cong x$ 

where I is an identity morphism predicate:

$$ID(i) \equiv (\forall x. \ E(i \cdot x) \rightarrow i \cdot x \cong x) \land (\forall x. \ E(x \cdot i) \rightarrow x \cdot i \cong x)$$

- The i in axiom C is unique: **SLEDGEHAMMER**.
- The j in axiom D is unique: **SLEDGEHAMMER**.
- However, the i and j need not be equal: NITPICK

We employ a partial, strict binary composition operation  $\cdot$  Left and right identity elements are addressed in  $C_i$ ,  $D_i$ ,  $\cdot$ 



## Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \to (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \land i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \land x \cdot j \cong x$

where I is an identity morphism predicate:

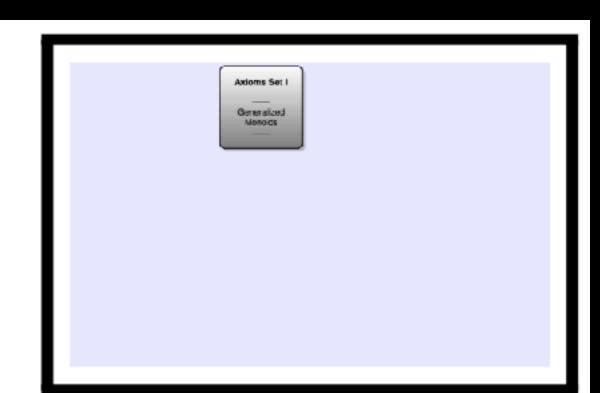
$$ID(i) \equiv (\forall x. \ E(i \cdot x) \rightarrow i \cdot x \cong x) \land (\forall x. \ E(x \cdot i) \rightarrow x \cdot i \cong x)$$

## **Experiments with Isabelle/HOL**

• The left-to-right direction of E is implied: **SLEDGEHAMMER**.

$$E(x \cdot y) \rightarrow (Ex \land Ey \land (\exists z.z \cdot z \cong z \land x \cdot z \cong x \land z \cdot y \cong y))$$

We employ a partial, strict binary composition operation  $\cdot$  Left and right identity elements are addressed in  $C_i$ ,  $D_i$ ,  $\cdot$ 



## Categories: Axioms Set I

```
S_iStrictnessE(x \cdot y) \rightarrow (Ex \wedge Ey)E_iExistenceE(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))A_iAssociativityx \cdot (y \cdot z) \cong (x \cdot y) \cdot zC_iCodomain\forall y. \exists i.ID(i) \wedge i \cdot y \cong yD_iDomain\forall x. \exists j.ID(j) \wedge x \cdot j \cong x
```

where I is an identity morphism predicate:

$$ID(i) \equiv (\forall x. \ E(i \cdot x) \rightarrow i \cdot x \cong x) \land (\forall x. \ E(x \cdot i) \rightarrow x \cdot i \cong x)$$

- Model finder Nitpick confirms that this axiom set is consistent.
- Even if we assume there are non-existing objects  $(\exists x. \neg (Ex))$  we get consistency.

### Interaction: Dana - Christoph - Isabelle/HOL



Dana Scott <dana.scott@cs.cmu.edu>

to me 🖃

- > On Aug 5, 2016, at 11:00 PM, Christoph Benzmueller
- >
- > When we take IDD(i) as
- > (all x)[ E(i.x) ==> i.x == x ] &
- > (all x)[ E(x.i) ==> x.i == x ]
- > and replace ID(i) in our SACDE-axioms by IDD(i) ther
- > ID(I) and IDD(i) are equivalent. See attachment New\_
- > So IDD(i) seem suited as a notion of identity morphis

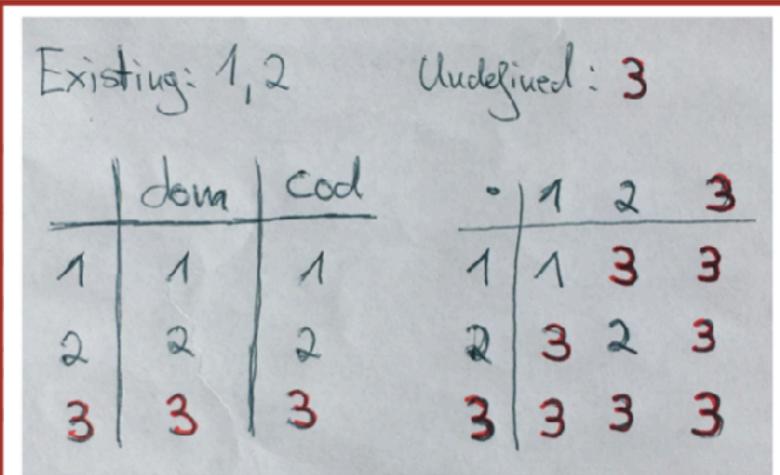
Ha! I am surprised, because I did not see how to prove

(all i)[ 
$$IDD(i) ==> i.i == i$$
 ]

I have to think about this. I hate it when computers are smarter than I am!

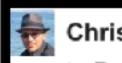
I guess C and D have to be used.





8/6/16

### Interaction: Dana - Christoph - Isabelle/HOL



Christoph Benzmueller < c.benzmueller@gmail.com>

to Dana 🔻

Dana,

here are the results of the experiments; doesn't look too good.

On Fri, Jul 22, 2016 at 11:43 PM, Dana Scott <a href="mailto:scott@cs.cmu.edu">dana.scott@cs.cmu.edu</a> wrote:

- > On Jul 21, 2016, at 9:32 AM, Christoph Benzmueller < c.benzmueller@gmail.com > wrote:
- >
- > The F-axioms are all provable from the old S-axioms.
- > But D2, D3 and E3 are not.

I think I see the trouble with those D axioms. But E3 is very odd.

E3: E(x.y) ==> (exist i)[Id(i) & x.(i.y) == x.y]

You see, by the S-axioms, if you assume E(x.y), then E(x) & E(y) & E(cod(x)) follows. So the "i" in the conclusion of E3 ought to be "cod(x)".

Please check, therefore, whether this is provable from the S-axioms:

(all x) Id(cod(x))

Apparently it isn't. See file Scott\_new\_axioms\_4.png; the countermodel is presented in the lower window; he have:

dom(i1)=i1, dom(i2)=i2, dom(i3)=i3 cod(i1)=i1, cod(i2)=i2, cod(i3)=i3 i1.i1=i1, i1.i2=i3, i1.i3=i3 i2.i1=i3, i2.i2=i2, i2.i3=i3

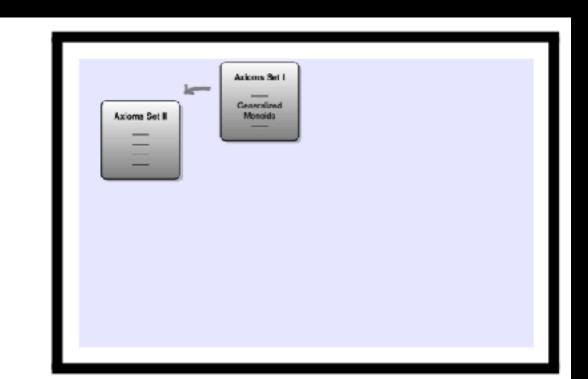
i3.i1=i3, i3.i2=i3, i3.i3=i3 E(i1),E(i2), ~E(i3) Countermodel by
Nitpick
converted by me
into a readable form

**②** 7/23/16

I have briefly checked it; it seems to validate each S-axiom.

If this is OK, then E3 should have been provable.

Axioms Set II is developed from Axioms Set I by Skolemization of i and j in axioms C and D. We can argue semantically that every model of Axioms Set I has such functions. The strictness axiom S is extended, so that strictness is now also postulated for the new Skolem functions dom and cod.



## Categories: Axioms Set II

 $S_{ii}$  Strictness  $E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$ 

 $E_{ii}$  Existence  $E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$ 

 $A_{ii}$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$ 

 $C_{ii}$  Codomain  $Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)$ 

 $D_{ii}$  Domain  $Ex \rightarrow (ID(dom\ x) \land x \cdot (dom\ x) \cong x)$ 

## Categories: Axioms Set I

 $S_i$  Strictness  $E(x \cdot y) \rightarrow (Ex \wedge Ey)$ 

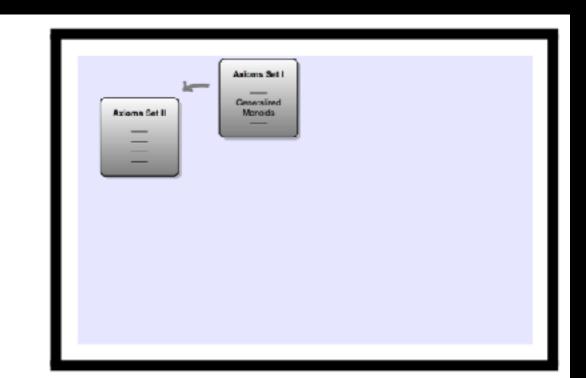
 $E_i$  Existence  $E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$ 

 $A_i$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$ 

 $C_i$  Codomain  $\forall y. \exists i. ID(i) \land i \cdot y \cong y$ 

 $D_i$  Domain  $\forall x. \exists j. ID(j) \land x \cdot j \cong x$ 

Axioms Set II is developed from Axioms Set I by Skolemization of i and j in axioms C and D. We can argue semantically that every model of Axioms Set I has such functions. The strictness axiom S is extended, so that strictness is now also postulated for the new Skolem functions dom and cod.



## **Categories: Axioms Set II**

```
S_{ii} Strictness E(x \cdot y) \rightarrow (Ex \land Ey) \land (E(dom\ x) \rightarrow Ex) \land (E(cod\ y) \rightarrow Ey)

E_{ii} Existence E(x \cdot y) \leftarrow (Ex \land Ey \land (\exists z.z \cdot z \cong z \land x \cdot z \cong x \land z \cdot y \cong y))

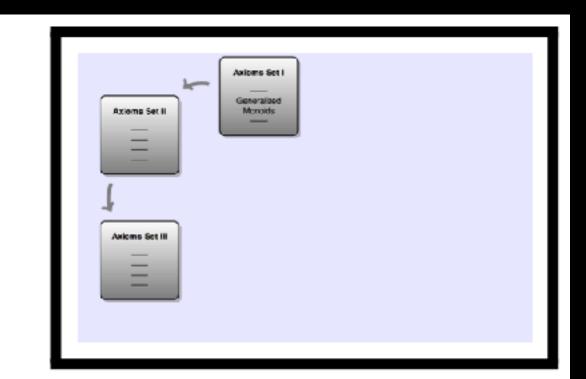
A_{ii} Associativity x \cdot (y \cdot z) \cong (x \cdot y) \cdot z

C_{ii} Codomain Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)

D_{ii} Domain Ex \rightarrow (ID(dom\ x) \land x \cdot (dom\ x) \cong x)
```

- Consistency holds (also when  $\exists x. \neg (Ex)$ ): confirmed by **NITPICK**.
- Axioms Set II implies Axioms Set I: easily proved by Sledgehammer.
- Axioms Set I also implies Axioms Set II (by semantical means on the meta-level)

In Axioms Set III the existence axiom E is simplified by taking advantage of the two new Skolem functions dom and cod.



## Categories: Axioms Set III

 $S_{iii}$  Strictness  $E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom x) \rightarrow Ex) \wedge (E(cod y) \rightarrow Ey)$ 

 $E_{iii}$  Existence  $E(x \cdot y) \leftarrow (dom \ x \cong cod \ y \land E(cod \ y))$ 

 $A_{iii}$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$ 

 $C_{iii}$  Codomain  $Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)$ 

 $D_{iii}$  Domain  $Ex \rightarrow (ID(dom\ x) \land x \cdot (dom\ x) \cong x)$ 

## **Categories: Axioms Set II**

 $S_{ii}$  Strictness  $E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom x) \rightarrow Ex) \wedge (E(cod y) \rightarrow Ey)$ 

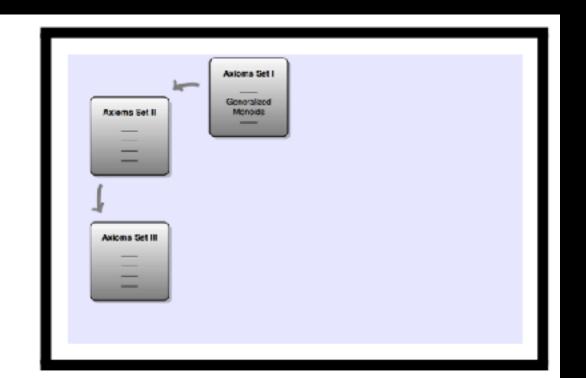
 $E_{ii}$  Existence  $E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z.z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$ 

 $A_{ii}$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$ 

 $C_{ii}$  Codomain  $Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)$ 

 $D_{ii}$  Domain  $Ex \rightarrow (ID(dom x) \land x \cdot (dom x) \cong x)$ 

In Axioms Set III the existence axiom E is simplified by taking advantage of the two new Skolem functions dom and cod.



## **Categories: Axioms Set III**

```
S_{iii} Strictness E(x \cdot y) \rightarrow (Ex \land Ey) \land (E(dom\ x) \rightarrow Ex) \land (E(cod\ y) \rightarrow Ey)

E_{iii} Existence E(x \cdot y) \leftarrow (dom\ x \cong cod\ y \land E(cod\ y))

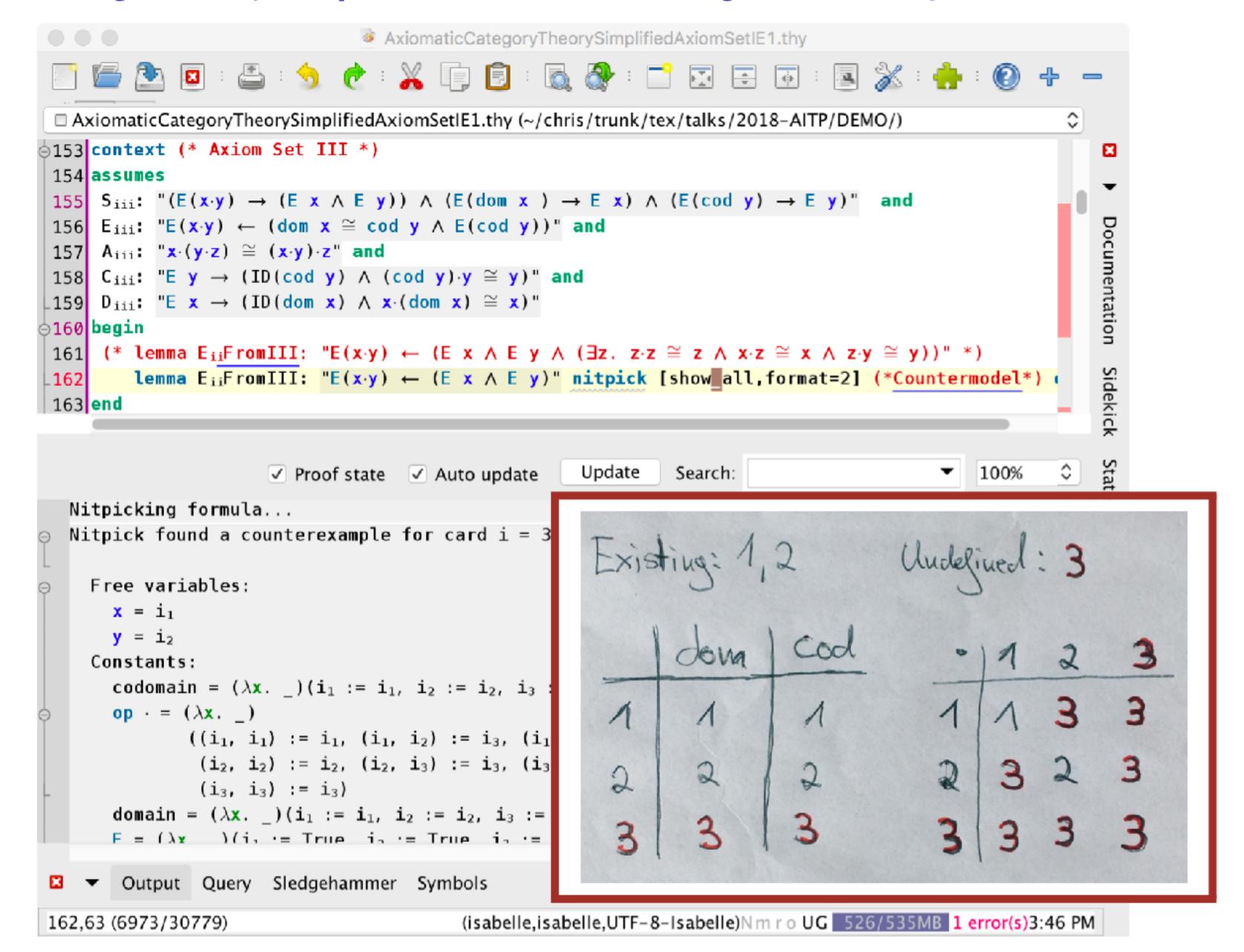
A_{iii} Associativity x \cdot (y \cdot z) \cong (x \cdot y) \cdot z

C_{iii} Codomain Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)

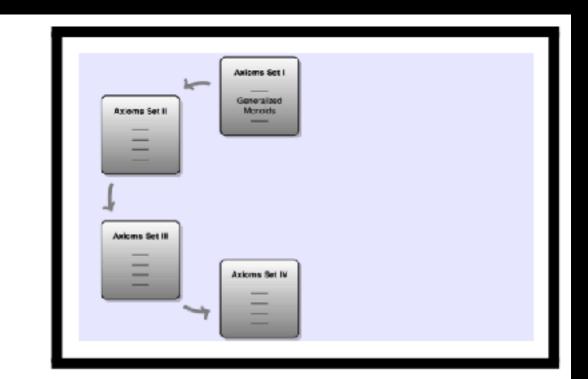
D_{iii} Domain Ex \rightarrow (ID(dom\ x) \land x \cdot (dom\ x) \cong x)
```

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by NITPICK.
- The left-to-right direction of existence axiom E is implied: **SLEDGEHAMMER**.
- Axioms Set III implies Axioms Set II: Sledgehammer.
- Axioms Set II implies Axioms Set III: Sledgehammer.

## Interesting Model (idempotents, but no left- & right-identities)



Axioms Set IV simplifies the axioms C and D. However, as it turned out, these simplifications also require the existence axiom E to be strengthened into an equivalence.



## **Categories: Axioms Set IV**

```
S_{iv} Strictness E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom \ x) \rightarrow Ex) \wedge (E(cod \ y) \rightarrow Ey) E_{iv} Existence E(x \cdot y) \leftrightarrow (dom \ x \cong cod \ y \wedge E(cod \ y)) A_{iv} Associativity x \cdot (y \cdot z) \cong (x \cdot y) \cdot z C_{iv} Codomain (cod \ y) \cdot y \cong y D_{iv} Domain x \cdot (dom \ x) \cong x
```

## Categories: Axioms Set III

```
S_{iii} Strictness E(x \cdot y) \rightarrow (Ex \land Ey) \land (E(dom\ x) \rightarrow Ex) \land (E(cod\ y) \rightarrow Ey)

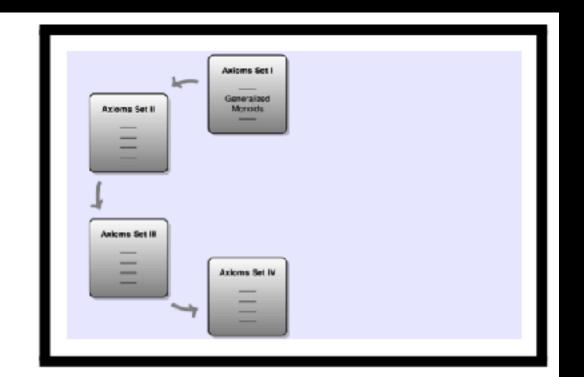
E_{iii} Existence E(x \cdot y) \leftarrow (dom\ x \cong cod\ y \land E(cod\ y))

A_{iii} Associativity x \cdot (y \cdot z) \cong (x \cdot y) \cdot z

C_{iii} Codomain Ey \rightarrow (ID(cod\ y) \land (cod\ y) \cdot y \cong y)

D_{iii} Domain Ex \rightarrow (ID(dom\ x) \land x \cdot (dom\ x) \cong x)
```

Axioms Set IV simplifies the axioms C and D. However, as it turned out, these simplifications also require the existence axiom E to be strengthened into an equivalence.



### **Categories: Axioms Set IV**

```
S_{iv} Strictness E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom \ x) \rightarrow Ex) \wedge (E(cod \ y) \rightarrow Ey)

E_{iv} Existence E(x \cdot y) \leftrightarrow (dom \ x \cong cod \ y \wedge E(cod \ y))

A_{iv} Associativity x \cdot (y \cdot z) \cong (x \cdot y) \cdot z

C_{iv} Codomain (cod \ y) \cdot y \cong y

D_{iv} Domain x \cdot (dom \ x) \cong x
```

- Consistency holds (also when  $\exists x. \neg (Ex)$ ): confirmed by **NITPICK**.
- Axioms Set IV implies Axioms Set III: Sledgehammer.
- Axioms Set III implies Axioms Set IV: Sledgehammer.

Axioms Set V simplifies axiom E (and S). Now, strictness of  $\cdot$  is implied.

## Categories: Axioms Set V (Scott, 1977)

<b>S</b> 1	Strictness	$E(dom\ x) \to Ex$
<i>S</i> 2	Strictness	$E(cod\ y) \rightarrow Ey$
<i>S</i> 3	Existence	$E(x \cdot y) \leftrightarrow dom \ x \simeq cod \ y$
<i>S</i> 4	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
<i>S</i> 5	Codomain	$(cod \ v) \cdot v \cong v$

 $x \cdot (dom \ x) \cong x$ 

 $x \cdot (dom \ x) \cong x$ 



Domain

Domain

*S*6

 $S_{iv}$  Strictness  $E(x \cdot y) \rightarrow (Ex \land Ey) \land (E(dom\ x) \rightarrow Ex) \land (E(cod\ y) \rightarrow Ey)$   $E_{iv}$  Existence  $E(x \cdot y) \leftrightarrow (dom\ x \cong cod\ y \land E(cod\ y))$   $A_{iv}$  Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$  $C_{iv}$  Codomain  $(cod\ y) \cdot y \cong y$ 

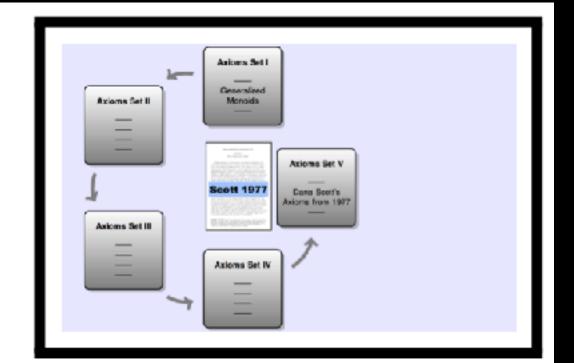
Axioms Set II

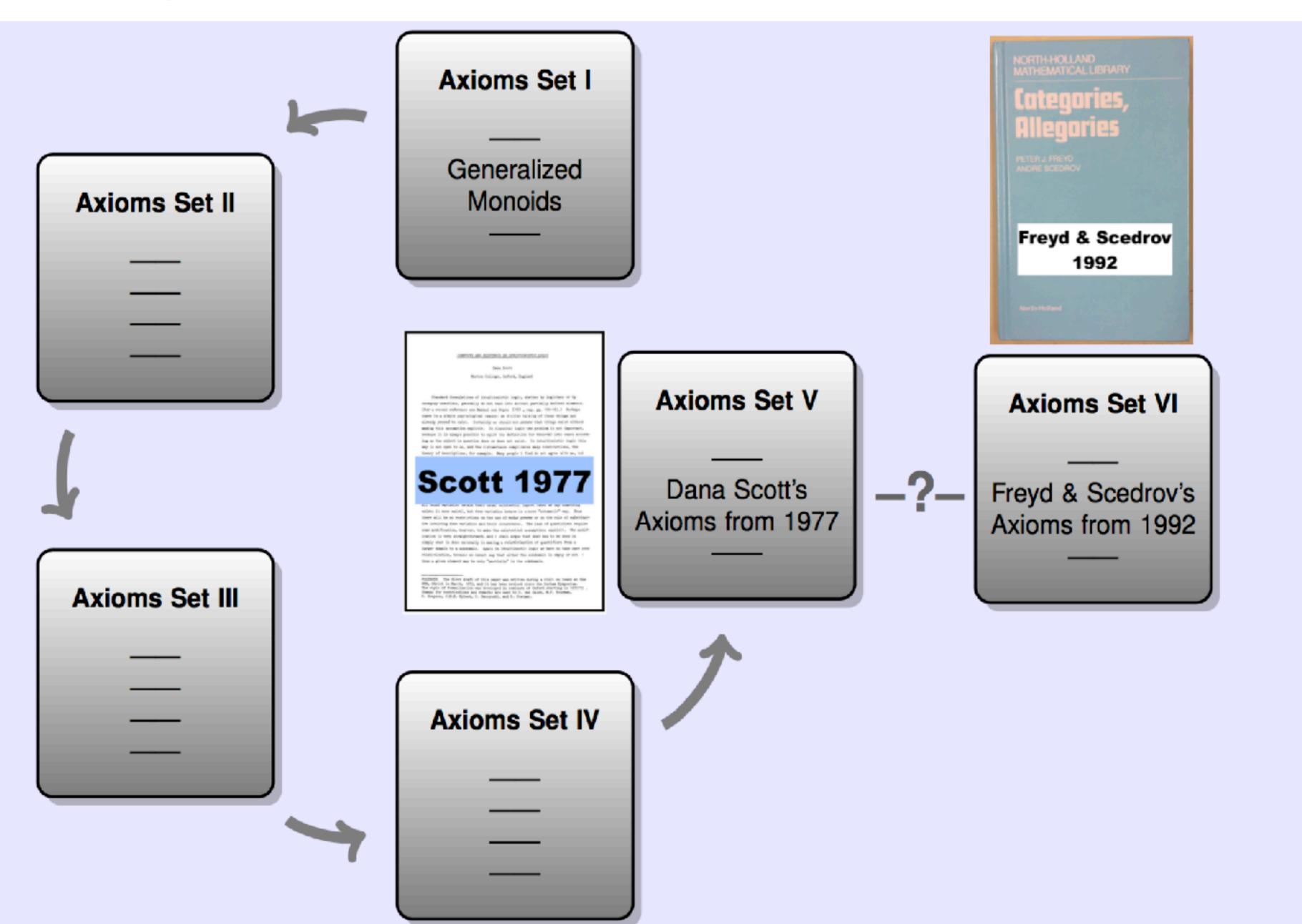
Axioms Set V simplifies axiom E (and S). Now, strictness of  $\cdot$  is implied.

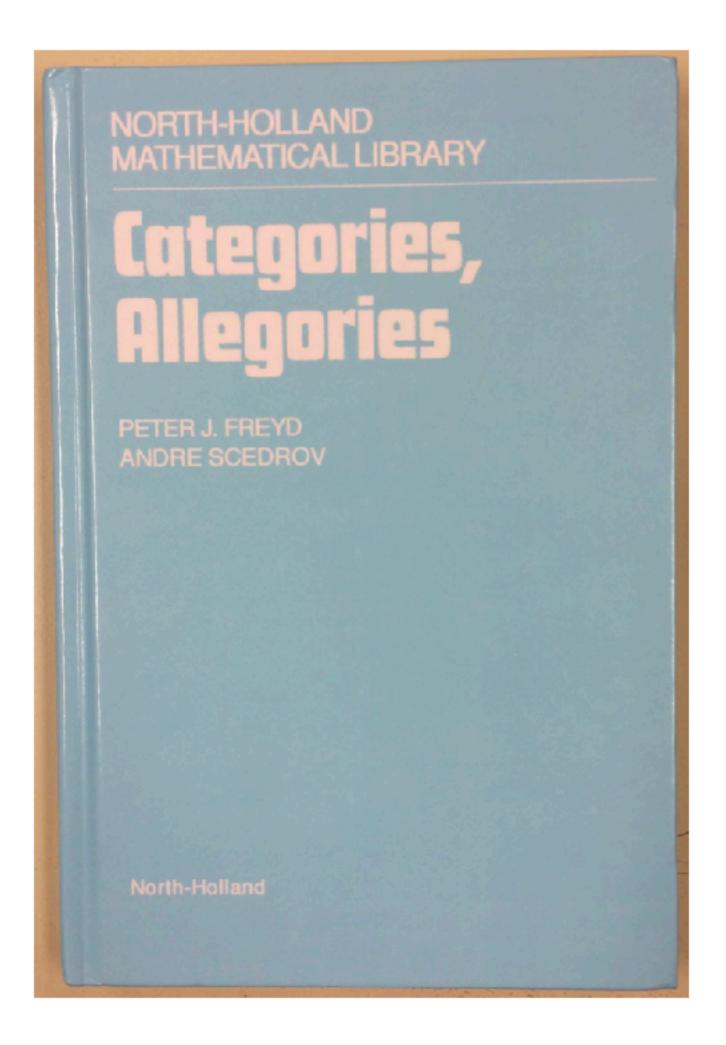
## Categories: Axioms Set V (Scott, 1977)

<b>S</b> 1	Strictness	$E(dom\ x) \rightarrow Ex$
<i>S</i> 2	Strictness	$E(cod\ y) \rightarrow Ey$
<i>S</i> 3	Existence	$E(x \cdot y) \leftrightarrow dom \ x \simeq cod \ y$
<i>S</i> 4	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
<i>S</i> 5	Codomain	$(cod\ y)\cdot y\cong y$
<i>S</i> 6	Domain	$x \cdot (dom \ x) \cong x$

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by NITPICK.
- Axioms Set V implies Axioms Set IV: Sledgehammer.
- Axioms Set IV implies Axioms Set V: Sledgehammer.







#### 1.1. BASIC DEFINITIONS

The theory of CATEGORIES is given by two unary operations and a binary partial operation. In most contexts lower-case variables are used for the 'individuals' which are called *morphisms* or *maps*. The values of the operations are denoted and pronounced as:

 $\Box x$  the source of x,  $x\Box$  the target of x, xy the composition of x and y.

The axioms:

$$\frac{\partial \mathcal{L}}{\partial x} \quad xy \text{ is defined} \quad iff \quad x \square = \square y ,$$

$$\frac{\partial \mathcal{L}}{\partial x} \quad (\square x)\square = \square x \quad and \quad \square(x\square) = x\square , \quad \frac{\partial \mathcal{L}}{\partial x}$$

$$\frac{\partial \mathcal{L}}{\partial x} \quad (\square x)x = x \quad and \quad x(x\square) = x , \qquad \frac{\partial \mathcal{L}}{\partial x}$$

$$\frac{\partial \mathcal{L}}{\partial x} \quad \square(xy) = \square(x(\square y)) \quad and \quad (xy)\square = ((x\square)y)\square , \quad \frac{\partial \mathcal{L}}{\partial x}$$

$$\frac{\partial \mathcal{L}}{\partial x} \quad x(yz) = (xy)z .$$

- 1.11. The ordinary equality sign = will be used only in the symmetric sense, to wit: if either side is defined then so is the other and they are equal. A theory, such as this, built on an ordered list of partial operations, the domain of definition of each given by equations in the previous, and with all other axioms equational, is called an ESSENTIAL-LY ALGEBRAIC THEORY.
- **1.12.** We shall use a venturi-tube  $\succeq$  for directed equality which means: if the left side is defined then so is the right and they are equal. The axiom that  $\Box(xy) = \Box(x(\Box y))$  is equivalent, in the presence of the earlier axioms, with  $\Box(xy) \succeq \Box x$  as can be seen below.

1.13. 
$$\square(\square x) = \square x$$
 because  $\square(\square x) = \square((\square x)\square) = (\square x)\square = \square x$ . Similarly  $(x\square)\square = x\square$ .

# Categories: Original axiom set by Freyd and Scedrov (modulo notation)

```
A1 E(x \cdot y) \leftrightarrow dom \ x \cong cod \ y

A2a cod(dom \ x) \cong dom \ x

A2b dom(cod \ y) \cong cod \ y

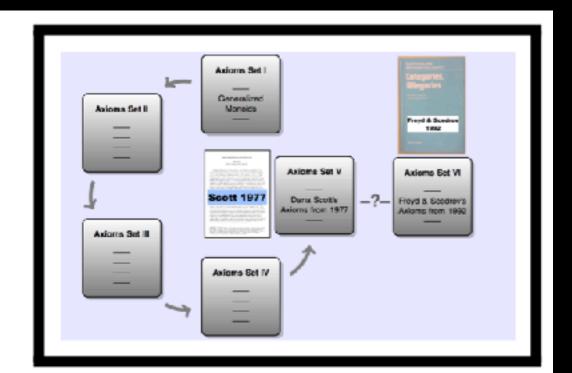
A3a x \cdot (dom \ x) \cong x

A3b (cod \ y) \cdot y \cong y

A4a dom(x \cdot y) \cong dom((dom \ x) \cdot y)

A4b cod(x \cdot y) \cong cod(x \cdot (cod \ y))

A5 x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
```



- Consistency? Nitpick finds a model.
- Consistency when assuming  $\exists x. \neg Ex$  Nitpick does not find a model.
- lemma  $(\exists x. \neg Ex) \rightarrow False$ : Sledgehammer. (Problematic axioms: A1, A2a, A3a)

# Categories: Axioms Set VI (Freyd and Scedrov, when corrected)

```
A1 E(x \cdot y) \leftrightarrow dom \ x \simeq cod \ y

A2a cod(dom \ x) \cong dom \ x

A2b dom(cod \ y) \cong cod \ y

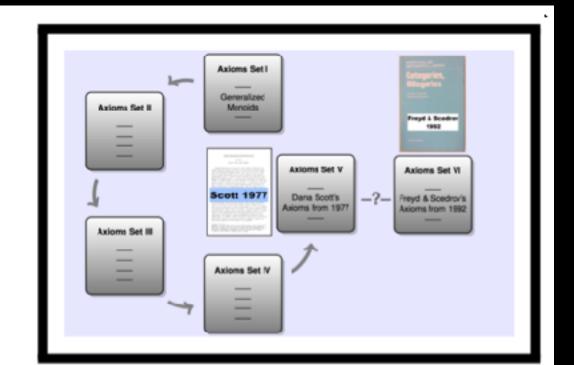
A3a x \cdot (dom \ x) \cong x

A3b (cod \ y) \cdot y \cong y

A4a dom(x \cdot y) \cong dom((dom \ x) \cdot y)

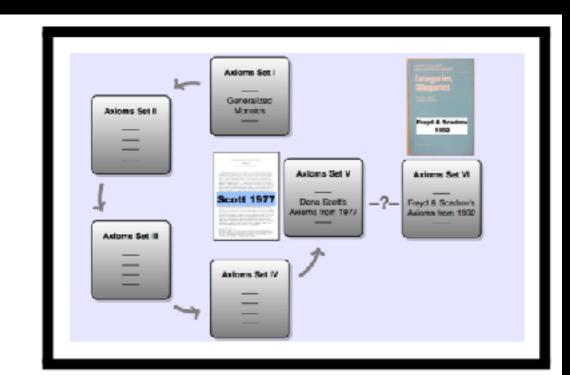
A4b cod(x \cdot y) \cong cod(x \cdot (cod \ y))

A5 x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
```



- Consistency holds (also when  $\exists x. \neg (Ex)$ ): confirmed by **NITPICK**.
- Axioms Set VI implies Axioms Set V: Sledgehammer.
- Axioms Set V implies Axioms Set VI: Sledgehammer.
- Redundancies:
- The A4-axioms are implied by the others: SLEDGEHAMMER.
- The A2-axioms are implied by the others: **SLEDGEHAMMER**.

Maybe Freyd and Scedrov do not assume a free logic. In algebraic theories free variables often range over existing objects only. However, we can formalise this as well:

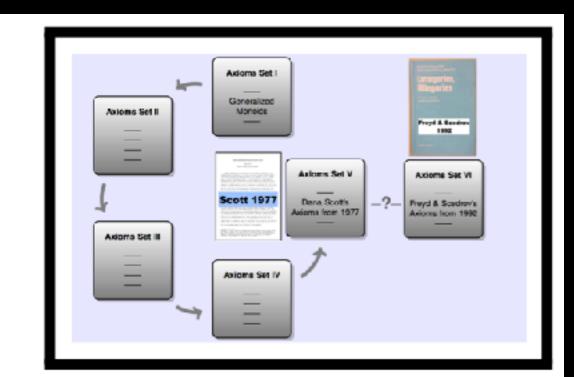


## Categories: "Algebraic reading" of axiom set by Freyd and Scedrov.

```
\forall xy.\ E(x \cdot y) \leftrightarrow dom\ x \cong cod\ y
Α1
A2a
          \forall x. \ cod(dom \ x) \cong dom \ x
A2b
          \forall y. dom(cod y) \cong cod y
A3a
          \forall x. \ x \cdot (dom \ x) \cong x
A3b
          \forall y. (cod y) \cdot y \cong y
A4a
          \forall xy.\ dom(x \cdot y) \cong dom((dom\ x) \cdot y)
          \forall xy. cod(x \cdot y) \cong cod(x \cdot (cod y))
A4b
A5
           \forall xyz. \ x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
```

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- However, none of V-axioms are implied: NITPICK.
- For equivalence to V-axioms: add strictness of dom, cod, ·, Sledgehammer.

Maybe Freyd and Scedrov do not assume a free logic. In algebraic theories free variables often range over existing objects only. However, we can formalise this as well:



## Categories: "Algebraic reading" of axiom set by Freyd and Scedrov.

```
\forall xy. \ E(x \cdot y) \leftrightarrow dom \ x \cong cod \ y
Α1
A2a
           \forall x. \ cod(dom \ x) \cong dom \ x
A2b
           \forall y. dom(cod y) \cong cod y
A3a
           \forall x. \ x \cdot (dom \ x) \cong x
A3b
           \forall y. (cod y) \cdot y \cong y
A4a
           \forall xy.\ dom(x \cdot y) \cong dom((dom\ x) \cdot y)
A4b
          \forall xy. cod(x \cdot y) \cong cod(x \cdot (cod y))
            \forall xyz. \ x \cdot (y \cdot z) \cong (x \cdot y) \cdot z
Α5
```

## Experiments with Isabelle/HOL

But: Strictness is not mentioned in Freyd and Scedrov!

And it could not even be expressed axiomatically, when variables range over of existing objects only. This leaves us puzzled about their axiom system.

Hence, we better prefer the Axioms Set V by Scott (from 1977).

#### GROUPS, CATEGORIES AND DUALITY

#### By Saunders MacLane\*

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CHICAGO

Communicated by Marshall Stone, May 1, 1948

It has long been recognized that the theorems of group theory display a certain duality. The concept of a lattice gives a partial expression for this duality, in that some of the theorems about groups which can be formulated in terms of the lattice of subgroups of a group display the customary lattice duality between meet (intersection) and join (union). The duality is not always present, in the sense that the lattice dual of a true theorem on groups need not be true; for example, a Jordan Holder theorem holds for certain ascending well-ordered infinite composition series, but not for the corresponding descending series.\(^1\) Moreover, there are other striking group theoretic situations where a duality is present, but is not readily expressible in lattice-theoretic terms.

As an example, consider the direct product  $D = G \times H$  of two groups

#### GROUPS, CATEGORIES AND DUALITY

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true theo
theorem
series, bu
are other
but is no

introduced the notion of a category.<sup>6</sup> A category is a class of "mappings" (say, homomorphisms) in which the product  $\alpha\beta$  of certain pairs of mappings  $\alpha$  and  $\beta$  is defined. A mapping e is called an *identity* if  $\rho\alpha = \alpha$  and  $\beta\rho = \beta$  whenever the products in question are defined. These products must satisfy the axioms:

- (C-1). If the products  $\gamma\beta$  and  $(\gamma\beta)\alpha$  are defined, so is  $\beta\alpha$ ;
- (C-1'). If the products  $\beta \alpha$  and  $\gamma(\beta \alpha)$  are defined, so is  $\gamma \beta$ ;
- (C-2). If the products  $\gamma\beta$  and  $\beta\alpha$  are defined, so are the products  $(\gamma\beta)\alpha$  and  $\gamma(\beta\alpha)$ , and these products are equal.
  - (C-3). For each  $\gamma$  there is an identity  $e_D$  such that  $\gamma e_D$  is defined;
  - (C-4). For each  $\gamma$  there is an identity  $e_R$  such that  $e_R \gamma$  is defined.

It follows that the identities  $e_D$  and  $e_R$  are unique; they may be called, respectively, the *domain* and the *range* of the given mapping  $\gamma$ . A mapping  $\theta$  with a two-sided inverse is an *equivalence*.

These axioms are clearly self dual, and a dual theory of free and direct products may be constructed in any category in which such products exist.

As before, we adopt an algebraic reading and add an explicit strictness condition.

## Categories: Axioms Set by Mac Lane

```
C0 E(\gamma \cdot \beta) \rightarrow (E\gamma \wedge E\beta) (added by us)

C1 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E((\gamma \cdot \beta) \cdot \alpha)) \rightarrow E(\beta \cdot \alpha)

C1' \forall \gamma, \beta, \alpha. (E(\beta \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \rightarrow E(\gamma \cdot \beta)

C2 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E(\beta \cdot \alpha)) \rightarrow (E((\gamma \cdot \beta) \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \wedge ((\gamma \cdot \beta) \cdot \alpha) = (\gamma \cdot (\beta \cdot \alpha)))

C3 \forall \gamma. \exists eD. IDMcL(eD) \wedge E(\gamma \cdot eD)

C4 \forall \gamma. \exists eR. IDMcL(eR) \wedge E(eR \cdot \gamma)

where IDMcL(\rho) \equiv (\forall \alpha. E(\rho \cdot \alpha) \rightarrow \rho \cdot \alpha = \alpha) \wedge (\forall \beta. E(\beta \cdot \rho) \rightarrow \beta \cdot \rho = \beta)
```

Consistency holds (also when  $\exists x. \neg (Ex)$ ): confirmed by **NITPICK**.

How about the Skolemized variant?

## Categories: Axioms Set by Mac Lane

```
C0 (E(\gamma \cdot \beta) \rightarrow (E\gamma \wedge E\beta)) \wedge (E(dom \gamma) \rightarrow (E\gamma)) \wedge (E(cod \gamma) \rightarrow (E\gamma)) (added)

C1 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E((\gamma \cdot \beta) \cdot \alpha)) \rightarrow E(\beta \cdot \alpha)

C1' \forall \gamma, \beta, \alpha. (E(\beta \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \rightarrow E(\gamma \cdot \beta)

C2 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E(\beta \cdot \alpha)) \rightarrow (E((\gamma \cdot \beta) \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \wedge ((\gamma \cdot \beta) \cdot \alpha) = (\gamma \cdot (\beta \cdot \alpha)))

C3 \forall \gamma. IDMcL(dom \gamma) \wedge E(\gamma \cdot (dom \gamma))

C4 \forall \gamma. IDMcL(cod \gamma) \wedge E((cod \gamma) \cdot \gamma)
```

Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by Nitpick.

This axioms set is equivalent to (as shown by Sledgehammer)

## Categories: Axioms Set V (Scott, 1977)

<b>S</b> 1	Strictness	$E(dom\ x) \to Ex$
<i>S</i> 2	Strictness	$E(cod\ y) \rightarrow Ey$
<i>S</i> 3	Existence	$E(x \cdot y) \leftrightarrow dom \ x \simeq cod \ y$
<i>S</i> 4	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
<i>S</i> 5	Codomain	$(cod\ y)\cdot y\cong y$
<i>S</i> 6	Domain	$x \cdot (dom \ x) \cong x$

How about the Skolemized variant?

## Categories: Axioms Set by Mac Lane

```
C0 (E(\gamma \cdot \beta) \rightarrow (E\gamma \wedge E\beta)) \wedge (E(dom \gamma) \rightarrow (E\gamma)) \wedge (E(cod \gamma) \rightarrow (E\gamma)) (added)

C1 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E((\gamma \cdot \beta) \cdot \alpha)) \rightarrow E(\beta \cdot \alpha)

C1 \forall \gamma, \beta, \alpha. (E(\beta \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \rightarrow E(\gamma \cdot \beta)

C2 \forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E(\beta \cdot \alpha)) \rightarrow (E((\gamma \cdot \beta) \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \wedge ((\gamma \cdot \beta) \cdot \alpha) = (\gamma \cdot (\beta \cdot \alpha)))

C3 \forall \gamma. IDMcL(dom \gamma) \wedge E(\gamma \cdot (dom \gamma))

C4 \forall \gamma. IDMcL(cod \gamma) \wedge E((cod \gamma) \cdot \gamma)
```

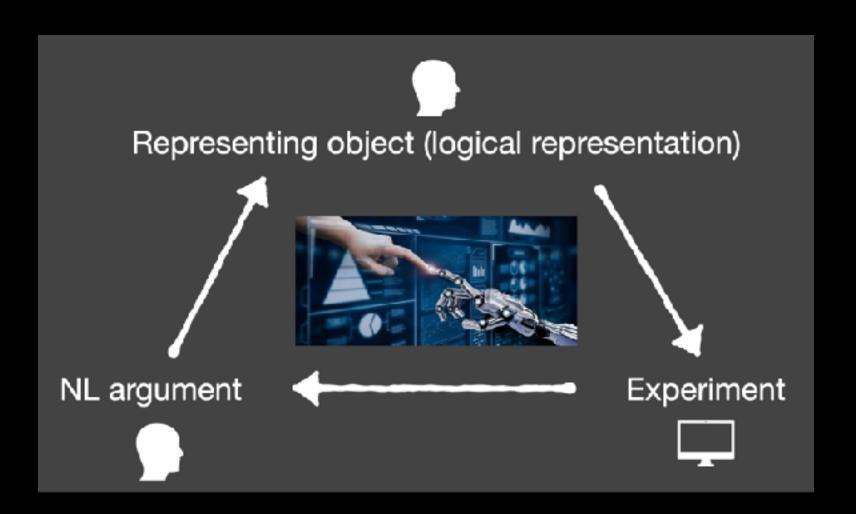
Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.

See also our "Archive of Formal Proofs" entry at: https://www.isa-afp.org/entries/AxiomaticCategoryTheory.html

# Results of this study (using free logic in HOL)

## Axiom Systems for Category Theory

- Connection depicted to generalised monoids
- Minimal axiom systems, dependencies
- Consistency, strictness assumptions
- Mutual relationships explored



## Methological Results

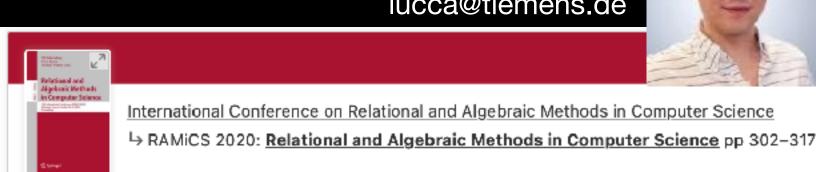
- Evidence for LogiKEy methodology when applied to free logic
- High degree of automation: theorem proving & (counter-)model finding
- Required familiarity with Isabelle/HOL still (too) high for non-experts

## Obvious Question

How about digging deeper?

## Further Experiments

lucca@tiemens.de



Computer-Supported Exploration of a Categorical Axiomatization of Modeloids

Lucca Tiemens ™, Dana S. Scott, Christoph Benzmüller & Miroslav Benda





A modeloid abstracts from a structure to the set of its partial automorphisms.

Using our axiomatisation of category theory we develop a generalization of a modeloid first to an inverse semigroup and then to an inverse category.

Formal framework to study relationship between structures of same vocabulary.

**Abstract representation of** Ehrenfeucht-Fraisse games between two structures.

Focuses on fragment of linear logic: intuitionistic multiplicative LL (IMLL); further generalisation possible.

Using our axiomatisation of category theory an interpretation of IMLL formulas and rules in **symmetric** monoidal closed categories is presented.

Sound Modeling & Automation: IMLL modelled in Axiomatic Category Theory modelled in Free Logic modell. in HOL.

Studies practicability/elegance of axiomatic category theory approach.

Studies infinite structures: category a-Set of functions between sets (with α-type elements); good automation.

Categ. with products & coproducts; some limitations discussed.

Category of categories: proves that categories themselves form a category with functors as arrows.

## Further Experiments

lucca@tiemens.de



International Conference on Relational and Algebraic Methods in Computer Science

→ RAMiCS 2020: Relational and Algebraic Methods in Computer Science pp 302–317

gonus.aleksey@gmail.com

Freie Universität Berlin



Categorical semantics of Intuitionistic Multiplicative Linear Logic and its formalization in Isabelle/HOL jonas.bayer@fu-berlin.de

Freie Universität Berlin Bachelor's Thesis



Exploring categories, formally

#### Computer-Supported Exploration of a Cate Axiomatization of Modeloids

Lucca Tiemens ™, Dana S. Scott, Christoph Benzmüller & Miroslav Benda

A **modeloid** abstracts from a to the set of its partial automo

Using our axiomatisation of theory we develop a generalized modeloid first to an inverse seand then to an inverse category.

Formal framework to study re between structures of same v

Abstract representation of Ehrenfeucht-Fraisse games two structures.

## Further formalized concepts

#### Constructions

(Co)products

Equalizers

Final & initial objects

Exponentials

Limits (generically)

Pullbacks

#### Instantiations

(typed) category Set

Category of Posets

Binary (co)product Category of Lattices

Category of Categories

### Categories + Structure

+ Binary (co)product

Cartesian categories

Cartesian closed categories

Elementary Toposes

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# Free Higher-Order Logic

# Free Higher-order Logic



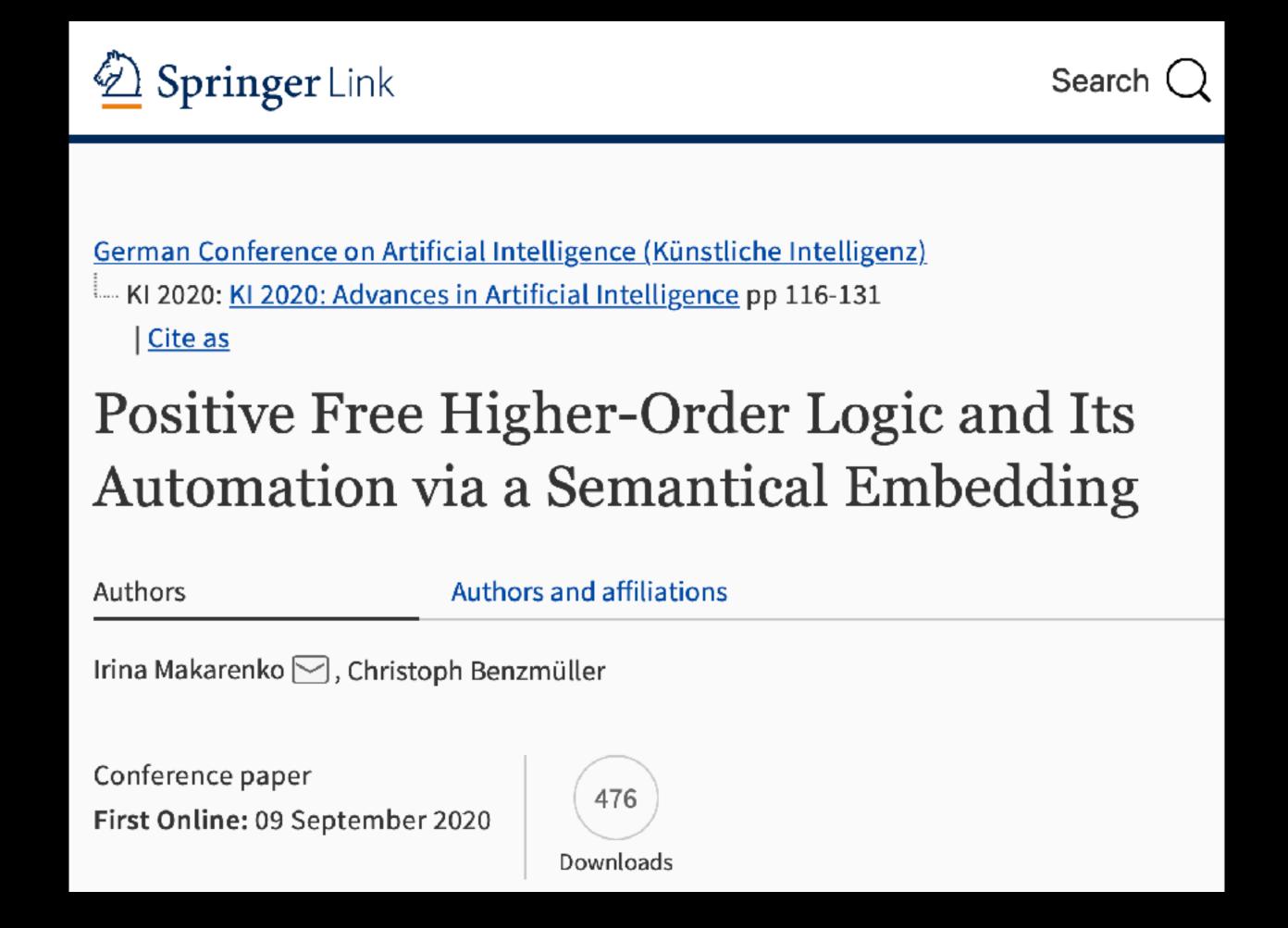
Freie Universität Berlin

Free Higher-Order Logic

Notion, Definition and Embedding in HOL

Master's Thesis

March 10, 2020





## Positive Free Higher-Order Logic

and its Automation via a Semantical Embedding



Irina Makarenko and Christoph Benzmüller
Kl'2020 · Knowledge Representation and Reasoning
24.09.2020

## Contributions of Irina

- Basic Notion of free HOL adapting prior work by (Farmer 1990, 1993, 2004)
- Definition & encoding of variants of positive free HOL, including different constraints regarding existence of Booleans and strictness
  - **Positive**: atomic formulas with non-denoting terms may evaluate to true (Lambert 1963, 1967; Scott 1967)
- Definition & encoding of variants of **negative** free HOL, including ... **Negative**: atomic formulas with non-denoting terms evaluate to false (Schock 1964, 1968; Scales 1969; Burge 1974)
- Definition of variants of neutral free HOL
   Neutral: atomic formulas with non-denoting terms evaluate to indetermined truth value (Lehmann 1994, 2001, 2002)
- Definition of variants of free HOL with supervaluation semantics Supervaluation: partial valuation function is extended to a total one by by considering all the values that the subformulas of a formula could have if their empty terms had referents. (Frassen 1966, Skryms 1968, Meyer and Lambert 1968, Bencivenga 1981, 1986)

# Contributions of Irina (cont'd)

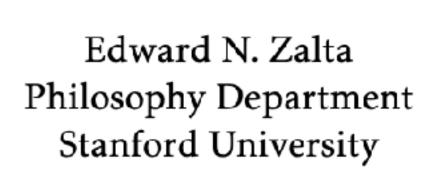
- Experiments in Isabelle/HOL using Prior's paradox as running example:
  - Positive free HOL: True if (E True) and (E False) are assumed, False otherwise
  - Negative free HOL: False
- Alternatives regarding base choices can be studied
- Experiments with supervaluation semantics (exploiting Barba Escriba's (2001) sound and complete translation into negative free modal logic S4.1)

- Irina's thesis and the Isabelle/HOL sources are available online:
  - https://www.mi.fu-berlin.de/inf/groups/ag-ki/Theses/Completed-theses/
     Master Diploma-theses/2020/Makarenko/MA-Makarenko.pdf
  - <a href="https://github.com/stilleben/Free-Higher-Order-Logic">https://github.com/stilleben/Free-Higher-Order-Logic</a>

# Another very complex study where Free Higher-Order Logic plays a role

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)

# Principia Logico-Metaphysica (Draft/Excerpt)



With critical theoretical contributions by

Daniel Kirchner
AI Systems Engineering
Universität Bamberg
and
Uri Nodelman
Philosophy Department
Stanford University

May 22, 2024

https://mally.stanford.edu/principia.pdf

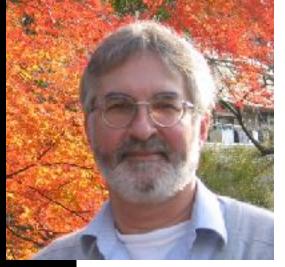
According to clause (.6.a), any formula  $\varphi$  can serve as the matrix of a relation term of the form  $[\lambda \nu_1 \dots \nu_n \varphi]$ , where  $n \geq 0$ . If we allow ourselves to speak informally about the denotation of a term, then it is important to alert the reader to the following facts about the system we shall be developing:

- Not every λ-expression is guaranteed to have a denotation (indeed, some λ-expressions will provably fail to have denotations), and so these expressions, like definite descriptions, will be governed by a negative free logic.
- Every 0-ary  $\lambda$ -expression [ $\lambda \varphi$ ] is guaranteed to have a denotation, by axiom (39.2), and every formula  $\varphi$  is guaranteed to have a denotation, by theorem (104.2).
- It will be provable that  $[\lambda \varphi]$  and  $\varphi$  always denote the same 0-ary relation, by theorem (111.1).

In general,  $\lambda$ -expressions are *not* to be interpreted as terms that potentially denote functions, but rather as terms that potentially denote relations. Thus, when we introduce axioms and rules of inference governing  $\lambda$ -expressions in the next two chapters, the resulting  $\lambda$ -calculus is to be understood as a calculus of relations.

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)

# Principia Logico-Metaphysica (Draft/Excerpt)



Edward N. Zalta
Philosophy Department
Stanford University

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Stanford University

May 22, 2024

https://mally.stanford.edu/principia.pdf

Computer-Verified Foundations of Metaphysics and an Ontology of Natural Numbers in Isabelle/HOL



zur Erlangung des Grades eines Doktors der Naturwissenschaften

am Fachbereich Mathematik und Informatik der Freien Universität Berlin



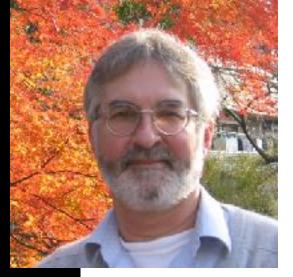
**Daniel Kirchner** 

Entire PhD
thesis was
written
directly in
Isabelle/HOL

Berlin, December 2021

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)

Principia Logico-Metaphysica (Draft/Excerpt)



Edward N. Zalta
Philosophy Department
Stanford University

With critical theoretical contributions by

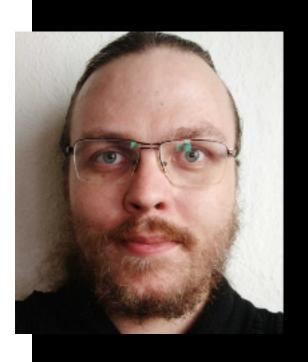
Daniel Kirchner
AI Systems Engineering
Universität Bamberg
and
Uri Nodelman
Philosophy Department
Stanford University

May 22, 2024

https://mally.stanford.edu/principia.pdf

Foundational metaphysical theory (based on a hyperintensional relational HO modal logic)
Formalised & studied in Isabelle/HOL

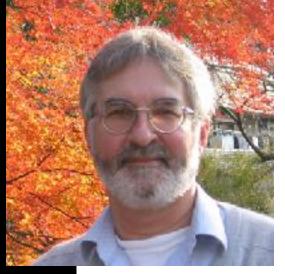
- approx. 24000 loc
- using LogiKEy methodology
- paradox rediscovered & fixed
- derivation of natural numbers



Latest versions of this theory shifted towards free logic; strongly influenced (& verified) by computer-experiments

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)

# Principia Logico-Metaphysica (Draft/Excerpt)



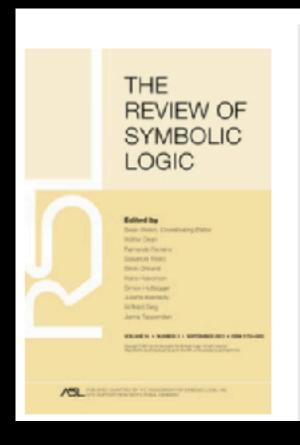
Edward N. Zalta
Philosophy Department
Stanford University

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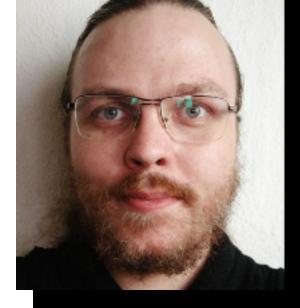


#### MECHANIZING PRINCIPIA LOGICO-METAPHYSICA IN FUNCTIONAL TYPE-THEORY

Published online by Cambridge University Press: 12 July 2019

DANIEL KIRCHNER, CHRISTOPH BENZMÜLLER and EDWARD N. ZALTA

Show author details V



**ARTICLE 3** Open Access

Computer Science and Metaphysics: A Cross-Fertilization
Open Philosophy

Daniel Kirchner, Christoph Benzmüller, Edward N. Zalta August 23, 2019



daniel@ekpyron.org

### Conclusion I: Successful Application(s) of LogiKEy

Revision (often small changes):



Human-Computer Interaction

Representing object (logical representation)

Applications

Domain-Specific Language(s)/Theorie(s)

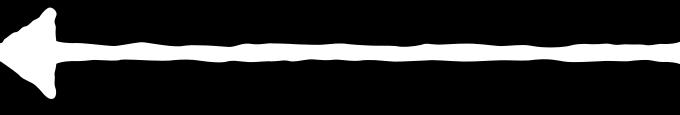
Meta-Logic (HOL)

Meta-Logic (HOL)

Modified experiments:

Revision:

Argument/Theory



New insights (e.g. falsification)

Experiment





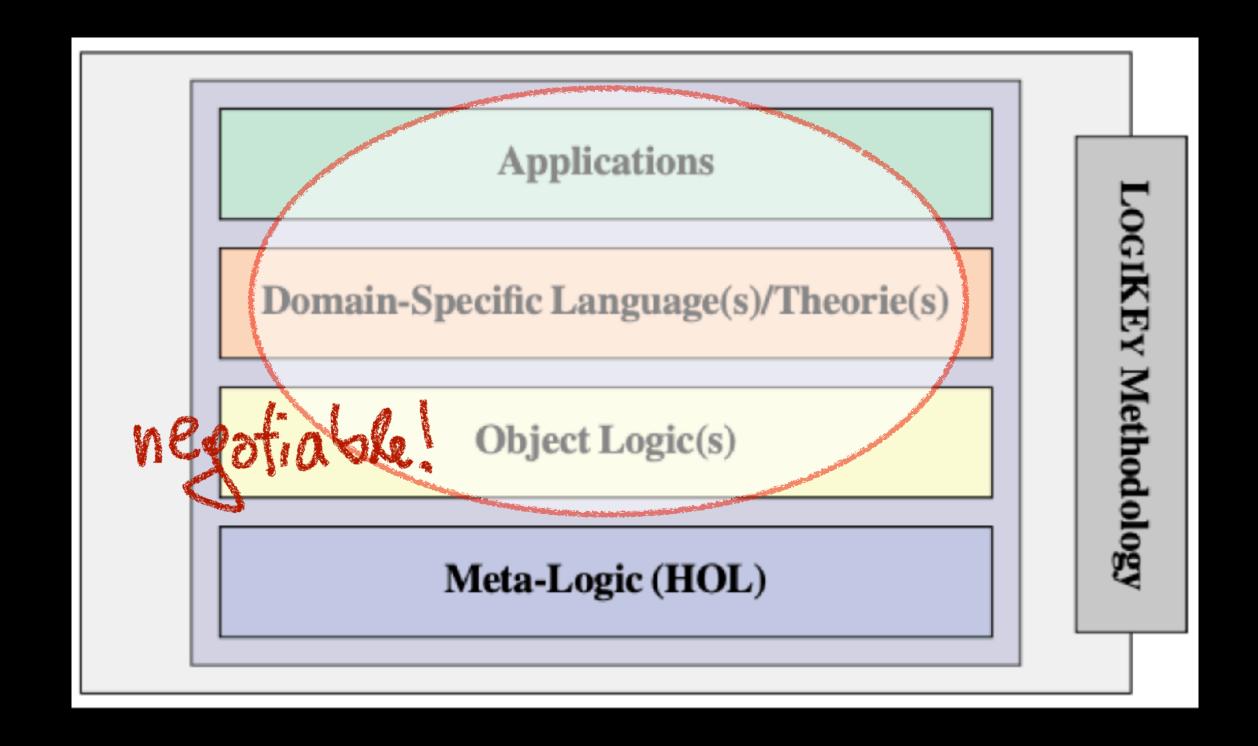
### Conclusion I: Logico-Pluralistic LogiKEy Approach

### LogiKEy successfully applied for

- a wide range of object logics
- various object logic combinations
- different application domains (with contribution of new insights)

### LogiKEy in Isabelle/HOL

- good proof automation with Sledgehammer
- even more valuable is (counter-)model finding with Nitpick
- very good syntax representations



LogiKEy offers a uniform methodology and infrastructure where even object logics and their conbinations become negotiable and objects of study.

### Conclusion II: Free Logic in LogiKEy

- Free logics can be explored as fragments of HOL
- Elegant shallow embeddings using the LogiKEy methodology enable ...
- ... intuitive user interaction within a proof assistant such as Isabelle/HOL
- ... proof automation and (counter-)model finding in Isabelle/HOL
- Applications (also larger ones) are well supported and ...
- ... errors/issues can be detected

Let's end the wallflower existence of free logics and explore and foster its adoption in practical applications!

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### HOL (see also the papers of Church, Henkin, Andrews and Muskens in JSL as mentioned before)

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## Reading (cont'd)

#### Studies on the Ontological Argument (cont'd)

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#### Isabelle/HOL:

- Website: <a href="https://isabelle.in.tum.de">https://isabelle.in.tum.de</a>
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